



MEMORANDUM – 2014-028

To : All Trading Participants

Subject : Employee Movement

Date : 8 September 2014

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 29 August 2014.

For information and guidance.


GRACE CALUBAQUIB
Officer-In-Charge

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
31-May-14	TAN	JOSE DOMINGO	CORPORATE SECRETARY	-	JAKA SECURITIES CORPORATION	RESIGNATION (cleared)
02-Jun-14	ORETA	ROY ANTHONY SALAMEDA	-	ASSISTANT CORPORATE SECRETARY	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	COSICO	MARICAR PALMA	-	ACCOUNTING CLERK/ ENCODER	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
23-Jun-14	PEREZ	CHERWYN REYES	-	OPERATIONS ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jul-14	DARWISH	RANELA V.	-	BOOKKEEPER	B. H. CHUA SECURIIES CORPORATION	NEW EMPLOYMENT
01-Jul-14	BONDAD	CRISSA MARIE UMALI	-	RESEARCH ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jul-14	ZAMORA	RUBEN VICTOR LLANADO	-	HEAD - EQUITY SALES	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
04-Jul-14	SANTOS	LAURA D.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION
07-Jul-14	MANUEL	JONALYN G.	-	SETTLEMENT STAFF	B. H. CHUA SECURIIES CORPORATION	NEW EMPLOYMENT
07-Jul-14	CORCEGA	ATTY. MEDINER N.	-	IN-HOUSE CORPORATE COUNSEL	HDI SECURITIES, INC.	NEW EMPLOYMENT
07-Jul-14	ARCANGEL	HENRY NOGAL	-	INTERN (ADMIN)	HDI SECURITIES, INC.	NEW EMPLOYMENT
10-Jul-14	VILLADIEGO	DAVID JASPEO	BOOKKEEPER	-	B. H. CHUA SECURIIES CORPORATION	RESIGNATION (cleared)
10-Jul-14	CABRAL	SOFIA VILLANUEVA	-	RESEARCH ASSISTANT	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
11-Jul-14	SEE	KEVIN DUANE SUN	TRADING ASSISTANT	TRADER/ SALESMAN	HDI SECURITIES, INC.	RE-DESIGNATION
11-Jul-14	SOLEMA	CHOERLEN BIANCA R.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION
14-Jul-14	BALITBIT	ALONA B.	ACCOUNTING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
14-Jul-14	BASILIDES	ARA VIANKA MOLINA	-	ACCOUNTING STAFF	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-14	CHAN	KIRK PATRICK GO	-	MANAGEMENT TRAINEE	YU & COMPANY, INC.	NEW EMPLOYMENT
16-Jul-14	OCLARINO	DIANE KHRYTENE M.	ACCOUNTING CLERK	-	INTRA-INVEST SECURITIES, INC.	RESIGNATION
16-Jul-14	SUBIATE	MA. GEMMA GATUS	TREASURER	-	PNB SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jul-14	VALDEZ	SOLOMON Q.	-	TREASURER	PNB SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-14	NUEVAREZ	JONATHAN V.	-	MESSENGER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-14	BALITBIT	ALONA B.	-	OPERATIONS STAFF	SALISBURY BKT SECURITIES	NEW EMPLOYMENT

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					CORPORATION	
16-Jul-14	DELA CRUZ	MARINELL C.	-	CUSTOMER SERVICE	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
17-Jul-14	CAPINPIN	MAE LEE	DEALER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
19-Jul-14	EMRALINO	DENNIS VALENCIA	COLLECTOR/ MESSENGER	-	VALUE QUEST SECURITIES CORPORATION	RESIGNATION (cleared)
21-Jul-14	LUMAPAS	EMILY RICA USA	SETTLEMENT STAFF	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)
21-Jul-14	BERNARDO	PAOLO VICENTE A.	-	RESEARCH AND FINANCE ANALYST	HDI SECURITIES, INC.	NEW EMPLOYMENT
22-Jul-14	MACARAYA	ROMEO POLISTICO	-	SALESMAN	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
22-Jul-14	CHAVEZ	MARIA RAQUEL S.	-	CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS., INC.	NEWLY ELECTED
22-Jul-14	AMBROSIO	JOB ADRIAN M.	CORPORATE SECRETARY	-	J. P. MORGAN SECURITIES PHILS., INC.	RESIGNATION (no clearance required)
24-Jul-14	BISNAR	JOHN CHRISTIAN ODILAO	-	SALESMAN	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
24-Jul-14	COBIANKAT	CHARMAINE NG	-	TRADER/ SALESMAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
25-Jul-14	SAN PEDRO	CRISTOPHER ADRIAN TABAFUNDA	CERTIFIED SECURITIES REPRESENTATIVE	-	ANGPING & ASSOCIATES SECURITIES, INC.	RESIGNATION (cleared)
25-Jul-14	SAN JOAQUIN	MARK ANTHONY D. R.	AGM/ SALESMAN/ TRADER	AGM/ ASSOCIATED PERSON	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
25-Jul-14	LEE	JASON CHUA	RESEARCH ANALYST	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
25-Jul-14	GO	ABEGAEL ISELLE GO	SALES TRAINEE	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
28-Jul-14	LEYVA	KIM CRISHA D.	RESEARCH AND FINANCIAL ANALYST	-	HDI SECURITIES, INC.	RESIGNATION (clearance under process)
28-Jul-14	VALINO	ANTONINO F.	EQUITY RESEARCH TRAINEE	EQUITY TRADER/ SALESMAN	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
28-Jul-14	AGUZAR	JOHN BENEDICT GATAN	-	AUTHORIZED SALESMAN	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
30-Jul-14	TIMBOL	ALEXANDER S.	SENIOR MANAGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION
30-Jul-14	PORTACIO	HEHERSON GARCIA	-	SALES AGENT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
31-Jul-14	BENGSON	MICHAEL ROXAS	SENIOR ANALYST	-	DEUTSCHE REGIS PARTNERS, INC.	RESIGNATION (cleared)

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31-Jul-14	NICOLAS	ZENAIDA A.	INDEPENDENT DIRECTOR	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (no clearance required)
31-Jul-14	TING	TOMAS B.	DIRECTOR	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (no clearance required)
31-Jul-14	MATAYA	DARA SARITA M.	ASSOCIATE - SETTLEMENTS	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Jul-14	TACTACAN	OSCAR PESCASIO	SETTLEMENT OFFICER	-	UPCC SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Aug-14	TIU	BENJAMIN JOHN C.	-	TRADING ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	MARQUEZ	JASPER R.	AGENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
01-Aug-14	MATAYA	DARA SARITA M.	-	SETTLEMENT AND ACCOUNTING STAFF	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Aug-14	REYES	MARK LORENZO MARTELINO	SALESMAN (IN HOUSE)	AGENT	CAMPOS, LANUZA & COMPANY, INC.	RE-DESIGNATION
01-Aug-14	LUBI	KAYELETT ROSE ANN V.	SECTOR ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
01-Aug-14	NEVADO	CARLA FRANCESCA I.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Aug-14	TAMASE	PATRICIA ISABEL SALAS	-	RESEARCH CORPORATE STAFF	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
01-Aug-14	DE GUZMAN	PATRICK JOHN ALAVA	-	SETTLEMENT CLERK	GUILD SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	GIMENEZ	IGNACIO SALVADOR III R.	-	DIRECTOR/ CHAIRMAN	I. B. GIMENEZ SECURITIES, INC.	NEW APPOINTMENT
01-Aug-14	GIMENEZ	THEA ARCELY R.	-	DIRECTOR/ PRESIDENT	I. B. GIMENEZ SECURITIES, INC.	NEW APPOINTMENT
01-Aug-14	CARLYLE	JONATHAN S.	INVESTMENT CONSULTANT	DIRECTOR/ CEO	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
01-Aug-14	PAGUEL	ESTELA U.	DIRECTOR/ PRESIDENT	INDEPENDENT DIRECTOR	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
01-Aug-14	SORIANO	PAMELA BIANCA DE VENECIA	EXECUTIVE	MANAGER	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
01-Aug-14	TANYEN	JENNIFER JOY C.	-	EXECUTIVE ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	LEYVA	KIM CRISHA D.	-	TRADING PARTNER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	DELOS REYES	ALEJO	COMPANY DRIVER	-	PREMIUM SECURITIES INCORPORATED	RESIGNATION
01-Aug-14	GOBALIZA	JONATHAN V.	-	COMPANY DRIVER	PREMIUM SECURITIES INCORPORATED	NEW EMPLOYMENT

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01-Aug-14	GARCIA	MA. ROSARIO LOURDES SAGALA	SALESMAN - TRAINEE	SALESMAN	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
01-Aug-14	GALLEGO	MA. GILA SOMINDO	-	TRADING ASSISTANT	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
01-Aug-14	VILLANUEVA	JULIO CESAR REYES	-	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	SARINO	CESAR JUAN CARLO MENDOZA	SALES TRAINEE	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
01-Aug-14	BERNARDO	ANALYN YANGA	TELEPHONE CLERK	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
01-Aug-14	ONG	RUSSELL LEE	RESEARCH ANALYST	-	WEALTH SECURITIES, INC.	RESIGNATION (cleared)
01-Aug-14	SANTOS	LAURA D.	-	RESEARCH ANALYST	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-14	UY	SARAH MARIA DIONISIO	RESEARCH ANALYST	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
02-Aug-14	MARQUEZ	JASPER D.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
04-Aug-14	CLAVERIA	MARY GRACE A.	-	ACCOUNTANT	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
04-Aug-14	DEE	MICHAEL SILVERIO	-	SALESPERSON	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
04-Aug-14	MAGSINO	MARGARITA A.	-	PARALEGAL	HDI SECURITIES, INC.	NEW EMPLOYMENT
04-Aug-14	ALBOS	ROWENA C.	-	EQUITY RESEARCH TRAINEE	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
04-Aug-14	CRUZ	LEA SANTOS	ACCOUNTING CLERK	-	YU & COMPANY, INC.	RESIGNATION (cleared)
05-Aug-14	DELOS SANTOS	EULOGIO QUIRAO	-	SETTLEMENT STAFF	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
05-Aug-14	DANGO	LAARNI RENAE CORDOVA	-	SECRETARY	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
05-Aug-14	TAN	FRANCES CASSIE TIU	AUTHORIZED AGENT	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
05-Aug-14	CAGUIOA	ARMER BERNARDO	MIS HEAD	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
05-Aug-14	YOUNG	ENGELO MARTINEZ	AUTHORIZED AGENT	AUTHORIZED SALESMAN/ TRADER	WEALTH SECURITIES, INC.	RE-DESIGNATION
06-Aug-14	DEE	DESIREE JAYNE R.	-	ADMINISTRATIVE ASSISTANT	GLOBALINKS SECURITIES AND STOCKS, INC.	NEW EMPLOYMENT

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07-Aug-14	RACADIO	WILFRED SANTOS	-	SENIOR VICE PRESIDENT	VENTURE SECURITIES, INC.	NEWLY ELECTED
08-Aug-14	DIZON	JOHANN TANHUECO	-	CHIEF TECHNICAL OFFICER	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
11-Aug-14	FERNANDEZ	ROWELL D.	-	TECHNICAL SUPPORT	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
11-Aug-14	AGUIRRE	ARNOLD MARASIGAN	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
11-Aug-14	ANTONINO	VICTOR F.	-	TRADING ASSISTANT	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
13-Aug-14	FRANCO	MARIA KATRINA C.	CORPORATE SECRETARY	-	TRI-STATE SECURITIES, INC.	RESIGNATION
13-Aug-14	ESPINOSA	KRISTINA M.	-	CORPORATE SECRETARY	TRI-STATE SECURITIES, INC.	NEW EMPLOYMENT
14-Aug-14	MACLANG	REYNALDO A.	DIRECTOR	-	PNB SECURITIES, INC.	RESIGNATION (no clearance required)
14-Aug-14	SALGADO	CIELO M.	INDEPENDENT DIRECTOR	-	PNB SECURITIES, INC.	RESIGNATION (no clearance required)
14-Aug-14	GONZALES	MANUEL T.	-	DIRECTOR	PNB SECURITIES, INC.	NEW APPOINTMENT
14-Aug-14	RAFOLS	ROY JOSEPH M.	-	INDEPENDENT DIRECTOR	PNB SECURITIES, INC.	NEW APPOINTMENT
14-Aug-14	PALMIERY	REYNALDO P.	-	INDEPENDENT DIRECTOR	PNB SECURITIES, INC.	NEW APPOINTMENT
15-Aug-14	TIU	ALEXANDER ADRIAN O.	-	MANAGER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Aug-14	MANZON	RAYMARK	MESSENGER/ CLERK	-	J. M. BARCELON & COMPANY, INC.	RESIGNATION (no clearance required)
15-Aug-14	LAPINA	MARTIN SIMMON SARINAS	-	TRAINEE	SUNSECURITIES, INC.	NEW EMPLOYMENT
15-Aug-14	MACHACON	GRACE GEAN ANTONIO	OPERATIONS HEAD	-	VENTURE SECURITIES, INC.	RESIGNATION (did not apply for clearance to join other broker)
18-Aug-14	LABIO	NATHANIEL J.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
18-Aug-14	DOMINGO	KENNETH LISSY T.	-	ADMIN STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Aug-14	CORMINAL	MARNEL E.	-	MESSENGER CLERK	J. M. BARCELON & COMPANY, INC.	NEW EMPLOYMENT
18-Aug-14	TIOSEJO	ROBYN NICOLE BUTIAL	-	TRADING FLOOR TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
18-Aug-14	IWASAKI	YOSUKE YAMAMOTO	-	TRADING FLOOR TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
25-Aug-14	ORTIZ	MARY KHAY S.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
25-Aug-14	AQUINO	LOURIANN ALILAY	-	CONTRACTUAL EMPLOYEE	RTG & COMPANY, INC.	NEW EMPLOYMENT

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26-Aug-14	CERA	MARY GRACE V.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Sep-14	PAPA	LUIS MIGUEL PANLILIO	TELEPHONE CLERK	ASSISTANT VICE PRESIDENT AND TRADER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
08-Sep-14	MANGABA	SALVACION P.	ASSISTANT COMPLIANCE OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)