



MEMORANDUM – 2014-017

To : All Trading Participants



Subject : Employee Movement

Date : 9 May 2014

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2014.

For information and guidance.


CORNELIO C. GISON
President 

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PREVIOUS EMPLOYER	PRESENT EMPLOYER	REMARKS
17-Feb-14	BERNARDINO	SHELLA ANTONIO	-	MARKETING ASSISTANT	-	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
03-Mar-14	ROSARIO	KEVIN EDWARD A.	RESEARCH ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	-	VOLUNTARY RESIGNATION
03-Mar-14	SALVADOR	MARK ANTHONY P.	FINANCIAL REPORTING 1	ASSISTANT COMPLIANCE	PCCI SECURITIES BROKERS CORPORATION	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
03-Mar-14	GOJUNCO	NORMAN FRANCISCO	-	HEAD, CEBU EQUITY SALES	-	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
04-Mar-14	MALVAR	ATTY. ALFREDO S.	-	CORPORATE SECRETARY	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
04-Mar-14	PUNSALANG	ATTY. SOCORRO P.	CORPORATE SECRETARY	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	-	RESIGNATION (cleared)
05-Mar-14	LIM	VITTORIO PAULO P.	-	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
10-Mar-14	NEVADO	NAISA GRACIELLE L.	-	ACCOUNTING STAFF	-	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
10-Mar-14	TAMAYO	MAUREEN A.	RECEPTIONIST/ HR ASSISTANT	OPERATIONS ASSISTANT	MERIDIAN SECURITIES INCORPORATEN	MERIDIAN SECURITIES INCORPORATED	RE-DESIGNATION
10-Mar-14	ARZAGA	CHERYLDANE C.	-	RECEPTIONIST	-	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
14-Mar-14	GAMBOA	CHERRY MARIE P.	TRADER	-	BPI SECURITIES CORPORATION	-	RESIGNATION (clearance under process)
15-Mar-14	ESTRELLA	MANUEL PADUA	BOOKKEEPER	-	CAMPOS, LANUZA & COMPANY, INC.	-	RESIGNATION (no clearance required)
17-Mar-14	CARLOS	ORENZ DOMINIQUE	-	TRAINEE	-	HDI SECURITIES, INC.	NEW EMPLOYMENT
17-Mar-14	ADONA	RAPHAEL S.	-	INTERN (ADMIN)	-	HDI SECURITIES, INC.	NEW EMPLOYMENT
17-Mar-14	SALES	JOHNNY OLIVER	-	SENIOR ACCOUNTANT	-	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT

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19-Mar-14	SEVILLA	LOURDES DARJUAN	SECURITIES CUSTODIAN	-	I. ACKERMAN & COMPANY, INC.	-	RESIGNATION (clearance under process)
21-Mar-14	AYCOCHO	UNIKA	ASSOCIATE, SETTLEMENTS	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	-	RESIGNATION (clearance under process)
21-Mar-14	TAN	OLIVER YAP	-	INDEPENDENT DIRECTOR	-	SALISBURY BKT SECURITIES CORPORATION	NEWLY ELECTED
24-Mar-14	SALVADORA	GAYLE P.	-	RESEARCH ASSISTANT	-	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
24-Mar-14	VILLONES	ARMELYN R.	-	INTERN (RESEARCH)	-	HDI SECURITIES, INC.	NEW EMPLOYMENT
24-Mar-14	PECAOCO	AGNES MENDOZA	-	ASSOCIATE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
28-Mar-14	MAURICIO	JOANNA MARIZ D.	OPERATIONS STAFF	-	COL FINANCIAL PHILIPPINES	-	RESIGNATION (clearance under process)
28-Mar-14	CRUZ	MA. PAULA B.	OPERATIONS STAFF	-	COL FINANCIAL PHILIPPINES	-	RESIGNATION (clearance under process)
28-Mar-14	SANICO	NATHANIEL A.	-	RESEARCHER/ ANALYST	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
28-Mar-14	AGUIRRE	ARNOLD MARASIGAN	LICENSED SALESMAN/ AGENT	-	SALISBURY BKT SECURITIES CORPORATION	-	RESIGNATION (cleared)
28-Mar-14	SANICO	NATHAN ANCHETA	-	RESEARCH ANALYST	I-REMIT INCORPORATED	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
30-Mar-14	ANTES	ROSARIO DUMANOG	SETTLEMENT STAFF	-	SUNSECURITIES, INC.	-	RESIGNATION (cleared)
31-Mar-14	IGNACIO	MARIA ANTOINETTE U.	-	MARKETING OFFICER	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
31-Mar-14	DEL MUNDO	LARA JEANNE A.	-	NEW ACCOUNTS OFFICER	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT

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31-Mar-14	HENSON	VICTOR ANDREW V.	SALESMAN	-	PCCI SECURITIES BROKERS CORPORATION	-	RESIGNATION (with clearance to join other broker)
31-Mar-14	LIM	KATHERINE ANNE ALDE	-	EXECUTIVE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
31-Mar-14	QUE PUA	CHARMAINE CHICOMBING	SETTLEMENT CLERK	-	PHIL-PROGRESS SECURITIES CORPORATION	-	RESIGNATION (cleared)
31-Mar-14	ZAMORA	POCH EDWARD IMPERIAL	MANAGING DIRECTOR	-	SB EQUITIES, INC.	-	RESIGNATION (cleared)
31-Mar-14	TAN	FRANCES CASSIE TIU	RESEARCH ASSISTANT	-	WEALTH SECURITIES, INC.	-	RESIGNATION (cleared)
01-Apr-14	DAÑOS	MAUREEN B.	-	ACCOUNTING CLERK	ROBINSONS BANK CORPORATION	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Apr-14	AQUINO	JUAN CARLOS G.	CSR/ TRADER	ASSOCIATED PERSON	CITISECURITIES, INC.	CITISECURITIES, INC.	RE-DESIGNATION
01-Apr-14	GALLETO	JEIMEE S.	-	OPERATIONS STAFF	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
01-Apr-14	BALITBIT	ALONA B.	-	ACCOUNTING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	ADVIENTO	RAMON PETER E.	-	VICE PRESIDENT, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	LIGGAYU	OLIVER GERARD B.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	-	VOLUNTARY RESIGNATION
01-Apr-14	BANAAG	ANACLETO JR. DE MESA	-	INDEPENDENT DIRECTOR	-	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Apr-14	MATHAY	PONCIANO A.	INDEPENDENT DIRECTOR	-	PCCI SECURITIES BROKERS CORPORATION	-	DECEASED
01-Apr-14	INGCOCO	JUDY P.	TELEPHONE CLERK	REGISTERED SALESMAN	STAR ALLIANCE SECURITIES CORPORATION	STAR ALLIANCE SECURITIES CORPORATION	RE-DESIGNATION
01-Apr-14	LEE	LAWRENCE CHUA	-	DIRECTOR	-	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	TEO	ELEONORE LEE	-	DIRECTOR	-	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	LEE	EDMUND CHUA	-	DIRECTOR	-	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	TAN	JOSEPH CHUNG	-	DIRECTOR	-	CITISECURITIES, INC.	NEW EMPLOYMENT

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01-Apr-14	OCMER	RAUL B.	NETWORK PERSONNEL	TECHNICAL SERVICES COORDINATOR	COL FINANCIAL PHILIPPINES	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-14	GENTALLAN	WELLY M.	-	FIELD REPRESENTATIVE	-	GUILD SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	CONCEPCION	JENELLE ELISE B.	-	INTERN (RESEARCH)	-	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	BATERINA	STACEY LIAN D.	TRAINEE	TRADING ASSISTANT	HDI SECURITIES, INC.	HDI SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	GARCIA	IRENE LACAP	-	ASSOCIATE	-	J. P. MORGAN SECURITIES PHILS., INC.	NEW EMPLOYMENT
01-Apr-14	TAN	MYLA RIZALINA G.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
01-Apr-14	SAYO	MA. DANETTE N.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
01-Apr-14	LIM	GLENSON KUI	-	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	MATEL	RINA GATCHALIAN	-	SETTLEMENT CLERK	-	PHIL-PROGRESS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Apr-14	SOLILAPSI	EDGAR B.	INDEPENDENT DIRECTOR	-	SALISBURY BKT SECURITIES CORPORATION	-	RESIGNATION
01-Apr-14	DIARON	RONABIL MENDOZA	ACCOUNTANT I	ASST. COMPLIANCE OFFICER	SALISBURY BKT SECURITIES CORPORATION	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Apr-14	TAMAYO	AGUSTINA LLAMELO	-	ACCOUNTING ASSISTANT	-	SUNSECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	TAN	MATTHEW RANIER SY	AUTHORIZED AGENT	RESEARCH ANALYST	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	FERNANDEZ	NICHOLAS DOMINADOR GONZALES	AUTHORIZED AGENT	RESEARCH ANALYST	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	UY	SARAH MARIA DIONISIO	AUTHORIZED AGENT	RESEARCH ANALYST	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	ONG	RUSSELL LEE	-	RESEARCH ANALYST	-	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-14	YU	VALERIE ONG	-	TRAINEE RESEARCH	-	YU & COMPANY, INC.	NEW EMPLOYMENT

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02-Apr-14	MINARDO	JOSEPH BENJAMIN RIGOS	TRADER	-	A. T. DE CASTRO SECURITIES CORPORATION	-	RESIGNATION (no clearance required)
02-Apr-14	PANALIGAN	MARELIZA H.	ASST. COMPLIANCE OFFICER	ACTING OPERATIONS HEAD	BPI SECURITIES CORPORATION	BPI SECURITIES CORPORATION	RE-DESIGNATION
02-Apr-14	YU	KENNETH CHUA	AUTHORIZED TRADER	-	YU & COMPANY, INC.	-	RESIGNATION (cleared)
04-Apr-14	SAYO	MICHAEL S.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
04-Apr-14	MALVAR	ALFREDO PABLO S.	-	CORPORATE SECRETARY	ANGARA ABELLO CONCEPCION REGALA & CRUZ LAW OFFICE	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
04-Apr-14	TY	SAMANTHA JAYNE CHUASON	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	-	RESIGNATION (no clearance required)
07-Apr-14	TAN	ALVIN	HEAD OF RESEARCH	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	-	RESIGNATION
07-Apr-14	ARROGO	ALVIN	RESEARCH ANALYST	HEAD OF RESEARCH	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
07-Apr-14	AYCOCHO	UNIKA KRISTINE	-	OPS STAFF	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
08-Apr-14	SIGGAOAT	ALVIN JOSEFF TAN	-	SECURITIES REPRESENTATIVE TRAINEE	-	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
08-Apr-14	GOJUNCO	JONN CARLO	-	SECURITIES REPRESENTATIVE TRAINEE	-	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
11-Apr-14	KO	CHRISTINE DARA KHO	-	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	NEW EMPLOYMENT
14-Apr-14	SY	JENSINE DAPHNE E.	-	ASSOCIATE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
16-Apr-14	AGUIRRE	ARNOLD MARASIGAN	-	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	NEW EMPLOYMENT

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21-Apr-14	QUITON	JHOANNA MARIE J.	-	NEW ACCOUNTS OFFICER	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
21-Apr-14	GARCIA	JUAN ALFONSO LARIN	-	RESEARCH ASSISTANT	-	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
21-Apr-14	REYES	ALFREDO B.	-	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
21-Apr-14	SANTOS	ANDRE CHRISTIAN B.	-	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
21-Apr-14	ARCE	TOBY ALLAN CRISPALA	-	DEALER/ TRADER	-	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
21-Apr-14	ARCE	TOBY ALLAN CRISPALA	TRADER	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	-	RESIGNATION (cleared)
22-Apr-14	SI	DANIEL AGBALAY	TRADER	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	-	RESIGNATION (cleared)
22-Apr-14	CANONCE	ELVIRA LLEDO	ACCOUNTING ASSISTANT	-	VENTURE SECURITIES, INC.	-	RESIGNATION (did not apply for clearance to join other broker)
23-Apr-14	WATIWAT	BRYAN ESGUERRA	-	TRADER TRAINEE	-	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT