



MEMORANDUM – 2014-023

To : All Trading Participants



Subject : Employee Movement

Date : 2 July 2014

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2014.

For information and guidance.


GRACE M. CALUBAQUIB
Officer-In-Charge 

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PREVIOUS EMPLOYER	PRESENT EMPLOYER	REMARKS
12-Mar-14	GRANDE	RICARDO RENATO GOMEZ	TELEPHONE CLERK	-	S. J. ROXAS & COMPANY, INC.	-	RESIGNATION (no clearance required)
01-Apr-14	LORENZO	LUZ L.	SVP/ ECONOMIST/ HEAD RESEARCH	EVP/ ECONOMIST/ HEAD RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	PEVIDAL	JAYSON R.	I. T. OFFICER	ASSISTANT MANAGER	MAYBANK ATR KIM ENG SECURITIES, INC.	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Apr-14	LINA	MARIA ANGELICA BEA T.	MANAGER/ SENIOR DEALER	SENIOR MANAGER/ SENIOR DEALER	MAYBANK ATR KIM ENG SECURITIES, INC.	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
11-Apr-14	MANAYAG	CORYESMA SEMBRANO	CUSTOMER CARE ASSOCIATE	-	ACCORD CAPITAL EQUITIES CORPORATION	-	RESIGNATION (clearance under process)
28-Apr-14	ANGELES	MARK ERIKSON TOLEJANO	MULTIMEDIA ARTIST	-	ACCORD CAPITAL EQUITIES CORPORATION	-	RESIGNATION (cleared)
02-May-14	MORELOS	ISIDRO P.	MESSENGER	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
05-May-14	LLOYD	MICHAEL JOHN	-	ASSOCIATE DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
05-May-14	LOPEZ	GILBERT YANSON	ASSOCIATE DIRECTOR	ASSOCIATE DIRECTOR/ HEAD OF RESEARCH	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
05-May-14	AGUILAR	BARNEY BALBUENA	COMPLIANCE MANAGER	ASSOCIATED PERSON	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
09-May-14	PASION	MARTIN ANGELO GABRIEL DE OCAMPO	FO - EQUITY SALES ASSISTANT	FO - EQUITY SALES	RCBC SECURITIES, INC.	RCBC SECURITIES, INC.	RE-DESIGNATION
09-May-14	SANTOS	KATRINA VICTORINO	FO - EQUITY SALES ASSISTANT	FO - EQUITY SALES	RCBC SECURITIES, INC.	RCBC SECURITIES, INC.	RE-DESIGNATION
10-May-14	PALANCA	PATRICIA JESSICA	RESEARCH ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	-	RESIGNATION (cleared)
14-May-14	MONTEMAYOR	MICHAEL DAVID	RESEARCH ASSISTANT	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	-	RESIGNATION (cleared)

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15-May-14	SOLIS	MYLEEN MARCELO	ACCOUNTING CLERK	-	ANGPING & ASSOCIATES SECURITIES, INC.	-	RESIGNATION (cleared)
15-May-14	ANDRES	CONRADO IV IGNACIO	TELEPHONE CLERK	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
15-May-14	MARTIN	ADRAN CHRISTIAN SANTOS	TECHNICAL SUPPORT HEAD OFFICE	-	ACCORD CAPITAL EQUITIES CORPORATION	-	RESIGNATION (clearance under process)
16-May-14	CAPILI	FERLIE MARIZ E.	-	ADMINISTRATIVE ASSISTANT - MID OFFICE	-	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-May-14	DYTOC	BONNER C.	COO	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
16-May-14	MAGÑAMPO	RICHELLE LEA SERESOLA	ACCOUNTING STAFF	-	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	-	RESIGNATION (cleared)
16-May-14	BATERINA	STACEY LIAN DOMINGO	TRADING ASSISTANT	SALESMAN/ TRADER	HDI SECURITIES, INC.	HDI SECURITIES, INC.	RE-DESIGNATION
16-May-14	BARROGA	ROXANNE LAUREEN P.	-	SENIOR ACCOUNTANT	-	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-May-14	FACTOR	CHERRY FLORA	MESSENGER	STAFF ASSISTANT/ MESSENGER	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	RE-DESIGNATION
19-May-14	AGUILA	GABRYLE DE MESA	-	JUNIOR RESEARCH ANALYST	-	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
21-May-14	TENGCO	RODEL OLAYTA	-	MESSENGER (CONTRACTUAL)	-	DEUTSCHE REGIS PARTNERS, INC.	NEW EMPLOYMENT
21-May-14	ESPIRITU	MICHAEL DE MARUCUT	MESSENGER	-	DEUTSCHE REGIS PARTNERS, INC.	-	RESIGNATION (cleared)
22-May-14	SAMSON	ANNA MARGARITA G.	VICE PRESIDENT & HEAD, OPERATIONS	-	MAYBANK ATR KIM ENG SECURITIES, INC.	-	RESIGNATION (clearance under process)
22-May-14	DELA CRUZ	RODOLFO III SIDRO	SALESMAN	-	I. ACKERMAN & COMPANY, INC.	-	RESIGNATION (clearance under process)
23-May-14	BASCUGUIN	LYRA RUTH MONTES	-	SETTLEMENTS	-	DEUTSCHE REGIS	NEW EMPLOYMENT

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23-May-14	BASCUGUIN	LYRA RUTH MONTES	-	SETTLEMENTS ASSISTANT	-	DEUTSCHE REGIS PARTNERS, INC.	NEW EMPLOYMENT
24-May-14	CARTALLA	SATURNINO N.	AUDITOR	TRANSFER TO AFFILIATES	I. B. GIMENEZ SECURITIES, INC.	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
26-May-14	BISNAR	JOHN CHRISTIAN O.	SALESPERSON	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	-	RESIGNATION (cleared)
26-May-14	LIM	GLENSON K.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
26-May-14	COBANKIAT	CHARMAINE NG	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
27-May-14	MIER	OMAR BYRON TAGORDA	DIRECTOR	-	PNB SECURITIES, INC.	-	RETIREMENT
28-May-14	ELIGIO	MICHELLE BUENAVISTA	OPERATION STAFF	ACTING SETTLEMENT OFFICER	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	RE-DESIGNATION
29-May-14	HUANG	ALLEN ALBERT NOCOM	-	CERTIFIED SECURITIES REPRESENTATIVE	-	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
29-May-14	LEE	KUAN WEI	-	DIRECTOR	-	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
29-May-14	BASILIO	DENNIS MADRID	DIRECTOR/ HEAD OF OPERATIONS & LOGISTICS	HEAD OF OPERATIONS & LOGISTICS	UBS SECURITIES PHILIPPINES, INC.	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
30-May-14	PACIFICADOR	ZARR ALEXANDRE	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	-	RESIGNATION (clearance under process)
30-May-14	MOLINA	MANUEL JAN	TRAINEE	-	UPCC SECURITIES CORPORATION	-	RESIGNATION (no clearance required)
30-May-14	SY	TINCHI NG	OFFICE CLERK	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
30-May-14	LITAO	MA. CECILIA M.	STAFF SPECIAL PROJECT	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
30-May-14	BAUSTISTA	MARIBEL RODIL	SETTLEMENTS ASSISTANT	-	DEUTSCHE REGIS PARTNERS, INC.	-	RESIGNATION (cleared)
31-May-14	POMENTO	ALEXANDER DIZON	ASSOCIATE DIRECTOR/ HEAD OF RESEARCH	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	-	RESIGNATION (clearance under process)

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31-May-14	PROWEL	JEANNIELLE BAUTISTA	OJT	-	YU & COMPANY	-	RESIGNATION (end of OJT)
31-May-14	DEL PUERTO	JAIME JOSE M.	EQUITY RESEARCH ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	-	RESIGNATION (cleared)
01-Jun-14	JALBUNA	JORDAN B.	ACCOUNTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	-	RESIGNATION (no clearance required)
01-Jun-14	ALVARRO	ROSALIND CABUSO	CLERK	SETTLEMENT HEAD	MERCANTILE SECURITIES CORPORATION	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-14	ROXAS	ANNA BEA BAJALA	-	OFFICE CLERK	-	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-14	RANIS	MARIA PERPETUA M.	SETTLEMENT ASSISTANT	ACCOUNTING ASSISTANT	MERIDIAN SECURITIES INCORPORATED	MERIDIAN SECURITIES INCORPORATED	RE-DESIGNATION
02-Jun-14	LEH	CANDICE EMILY L.	TRAINEE	SALESMAN/ TRADER	COL FINANCIAL PHILIPPINES	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
02-Jun-14	CHANG	MA. WINCEL D.	-	ASSOCIATED PERSON	COHERCO SECURITIES. INC.	J.M. BARCELON & CO., INC.	NEW EMPLOYMENT
02-Jun-14	MAGTAGNOB	CRISTETA U.	APPOINTED ASSOCIATED PERSON	ACCOUNTANT	J.M. BARCELON & CO., INC.	J.M. BARCELON & CO., INC.	REDESIGNATION
02-Jun-14	TI	BRADFORD KYLE KING	-	SALESMAN/ TRADER	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
02-Jun-14	SCHAFFNER	CHRISTOPHER JAMES	-	SALESMAN/ TRADER	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
02-Jun-14	ZAYCO	JOHN ABRAHAM L.	-	AVP, PORTAL MASTER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
02-Jun-14	DE RAMOS	MARK ARTHUR S.	-	TRAINEE	-	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
02-Jun-14	CHUA	VANI LION T.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
02-Jun-14	CHUA	MICHAEL C.	-	SALESMAN/ TRADER	NIEVES SECURITIES, INC.	HDI SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	PULIDO	MA. CHRISTINA RENTOY	-	ACCOUNTING CLERK	-	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT

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02-Jun-14	OMBINA	CHERYL ANN G.	-	BRANCH ASSISTANT	-	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	DELA CRUZ	ROSALIE OCAMPO	-	HEAD OF OPERATIONS	-	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
02-Jun-14	BAGUNU	ROSETTE ANN GAZZINGAN	ACTING SETTLEMENT OFFICER	ACCOUNTING STAFF	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	THE FIRST RESOURCES MANAGEMENT & RESOURCES CORPORATION	RE-DESIGNATION
02-Jun-14	SARMIENTO	JEFFREY GERERO	-	TRAINEE	-	HDI SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	KING	JEMY LYN S.	-	ASSISTANT MANAGER/ OPERATIONS OFFICER	BDO - BRANCH BANKING GROUP	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
02-Jun-14	PICACHE	DANIELO CALLAO	-	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
02-Jun-14	CAYABYAB	JEANNE CHRISTINE R.	-	RESEARCH ASSISTANT	STAFF ALLIANCE, INC.	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	CRUZ	CARMEN CLARA FILOMENA OCAMPO	-	INVESTMENT CONSULTANT	-	IGC SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	SULLIVAN	THOMAS SEAN	-	TRAINEE	-	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
03-Jun-14	DELGADO	MARIA CRISTINA M.	SALESMAN	-	PCCI SECURITIES BROKERS CORPORATION	-	RESIGNATION
03-Jun-14	GUADES	LECILLE G.	-	ADMIN STAFF	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
04-Jun-14	CHUA	VANI LION TIA	-	TRADER	-	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
04-Jun-14	ORNUM	DELJAN LUTHER HALLADO	TRADER/ TELEPHONE CLERK	-	I. ACKERMAN & COMPANY, INC.	-	RESIGNATION (clearance under process)
05-Jun-14	TAN	ENGELBERGE DAR S.	-	SALESMAN/ TRADER	-	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
06-Jun-14	RELENTE	MARY ROSE F.	SETTLEMENTS ASSISTANT	-	CITISECURITIES, INC.	-	RESIGNATION (clearance under process but may join other broker)
06-Jun-14	SANTOS	SHENNA SATIMBRE	-	ACCOUNTING ASSISTANT	-	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT

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06-Jun-14	GARCIA	ALFRED BENJAMIN R.	-	CERTIFIED SECURITIES REPRESENTATIVE	-	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
06-Jun-14	CHENG	AGRIFINO	TELEPHONE CLERK	-	GOLDEN TOWER SECURITIES & HOLDING, INC.	-	RESIGNATION
09-Jun-14	BARNUEVO	HAZEL U.	-	ACCOUNTING ASSISTANT	-	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
09-Jun-14	DI	NATALIE GO	-	ASSISTANT CORPORATE SECRETARY	-	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
09-Jun-14	GO	BARBARA DEE	-	DIRECTOR	-	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
09-Jun-14	DAKIS	FRANCES CELLA LOZANO	-	COMPLIANCE ASSISTANT	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
09-Jun-14	LEE	CLARISSA DEANNA PO	TRADER	-	SOLAR SECURITIES, INC.	-	RESIGNATION (did not ask for clearance to join other broker)
09-Jun-14	MACARAEG	KAREN A.	ACCOUNTANT	ACCOUNTANT	PNB SECURITIES, INC.	JPNB LEASING & FINANCE CORPORATION	TRANSFERRED TO AN AFFILIATE COMPANY
09-Jun-14	DAVID	MARILYN P.	-	ACCOUNTANT	-	PNB SECURITIES, INC.	NEW EMPLOYMENT
09-Jun-14	TUMBOKON	JOYCE R.	-	EQUITY SALES ONLINE - MIDDLE OFFICE	-	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-14	SERFINO	MA. LITA D.	-	GENERAL CLERK	-	GLOBALINKS SECURITIES & STOCKS, INC.	TEMPORARILY ASSIGNED
11-Jun-14	SESTOSO	JOSEPHINE A.	JUNIOR FLOOR TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	-	RESIGNATION (clearance under process)
11-Jun-14	DAVID	PRINCESS DELA CRUZ	SECURITIES CUSTODIAN	-	I. ACKERMAN & COMPANY, INC.	-	RESIGNATION (clearance under process)
10-Jun-14	GO	WILLIAM O.	PRESIDENT	PRESIDENT/ CHAIRMAN	PLATINUM SECURITIES, INC.	PLATINUM SECURITIES, INC.	RE-DESIGNATION
13-Jun-14	SESTOSO	JOSEPHINE A.	-	TRADER	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT

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13-Jun-14	SAAVEDRA	KRISTLE C.	CERTIFIED SECURITIES REPRESENTATIVE	-	NIEVES SECURITIES, INC.	-	RESIGNATION (cleared)
16-Jun-14	BAILEY	SIMON BOULSTRIDGE	DIRECTOR/ NOMINEE/ PRESIDENT	DIRECTOR/ NOMINEE/ PRESIDENT & CEO	SALISBURY BKT SECURITIES CORPORATION	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-14	VALDEZ	RICHARD SALINAS	LICENSED SALESMAN	HEAD OF SALES	SALISBURY BKT SECURITIES CORPORATION	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-14	MABIOG	ALBERTO MILAN	CERTIFIED SECURITIES REPRESENTATIVE	HEAD OF ELECTRONIC TRADING	SALISBURY BKT SECURITIES CORPORATION	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-14	SANTARINA	MARK CRISMON VALMONTE	IT NETWORK SYSTEMS SUPPORT	IT NETWORK ADMINISTRATOR	SALISBURY BKT SECURITIES CORPORATION	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-14	UNIRA	JENNYLYN MERRY G.	-	SETTLEMENT ASSISTANT	-	CITISECURITIES, INC.	NEW EMPLOYMENT
16-Jun-14	BATICA	EXEQUEL SACAGUING	FIELD REPRESENTATIVE	-	FIRST ORIENT SECURITIES, INC.	-	RESIGNATION (no clearance required)
16-Jun-14	YU	KENNETH CHUA	-	SALESMAN	-	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	PAZCOGUIN	NEIL ROYCE BIAG	SALES TRAINEE	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
16-Jun-14	NUQUE	BONVIN G.	-	TRAINEE	-	STAR ALLIANCE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	DEVILA	BRIAN MONDAREZ	-	SETTLEMENT CLERK	-	VSEC.COM, INC.	NEW EMPLOYMENT
16-Jun-14	AVIÑANTE	BERNARD CONCEPCION	AVP RESEARCH	VP - INSTITUTIONAL SALES	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
16-Jun-14	MABANTA	MARIO ANGELO JAVIER VILLONCO	SALES TRAINEE	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	WEALTH SECURITIES, INC.	RE-DESIGNATION
16-Jun-14	CASTILLON	DARLENE JOY E.	-	ACCOUNTING ASSISTANT	DEPARTMENT OF AGRARIAN REFORM	GOLDEN TOWER SECURITIES & HOLDING, INC.	NEW EMPLOYMENT
16-Jun-14	YAP	ANNA ELISA ONG	-	ITRS CONSULTANT	-	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

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20-Jun-14	MALLILLIN	FRANCIS MEL G.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL PHILIPPINES	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
23-Jun-14	LONTOC	ERICA JUSTINE M.	-	ADMIN STAFF	-	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
26-Jun-14	BONDAD	CRISSA UMALI	RESEARCH ANALYST	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	-	RESIGNATION (cleared)
01-Jul-14	LITAO	MA. CECILA	-	TRADING ASSISTANT	-	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT