



## MEMORANDUM – 2014-008

**To** : All Trading Participants

**Subject** : Risk Based Capital Adequacy (“RBCA”) Seminar

**Date** : 11 February 2014

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The Capital Markets Integrity Corporation (“CMIC”), as announced during the Trading Participants’ (“TPs”) seminar last 22 November 2013, has organized a series of seminars for TP’s in connection with the RBCA review which will be held on **March 3-7, 2014 and March 17-21, 2014 from 1:30 to 5:00 in the afternoon at the PSE Library, Unit 27-E2, Tower 1 & Exchange Plaza, Ayala Avenue, Makati City**. Please note that all the relevant topics will be discussed in one day and the TP’s representatives may only attend one of the aforesaid dates; CMIC has only set several dates to accommodate all the prospective attendees.

This event aims to benefit all associated persons, compliance officers, accountants and other personnel of the TP’s. A minimal fee of Php 600.00 will be charged to cover food and seminar costs.

In view of the foregoing, please fill out the attached Commitment Form to confirm your attendance and submit the same personally to the CMIC office (Unit 27-E1 Tower 1 & Exchange Plaza, Ayala Avenue, Makati City) or through fax (659-6001/856-3058) or email ([info@cmic.com.ph](mailto:info@cmic.com.ph)).

The deadline for the payment of the registration fee and the submission of the Commitment Form will be on **25 February 2014**. Due to limited capacity of the venue, please provide your desired schedule, based on those mentioned above, and a list of the employees, maximum of two (2) per TP, who will attend the seminar.

For information and guidance.

**CORNELIO C. GISON**  
President

JOG/AOP/ROV



**The Capital Markets Integrity Corporation**  
cordially invites Trading Participants to the

## *RBCA Seminar*

to be held on **March 3-7, 2014** and **March 17-21, 2014** from 1:30 to 5:00 in the afternoon

at the **PSE Library**

Unit 27-E2, Tower 1 & Exchange Plaza, Ayala Avenue, Makati City

Send representatives to this seminar and improve your company's level of compliance.

Registration fee:  
PhP 600.00 per attendee

The registration will start at 1:30 in the afternoon  
and the seminar proper will start promptly at 2:00 in the afternoon

For reservations, please accomplish the attached Commitment Form and send to fax # 659-6001/856-3058  
or email ([info@cmic.com.ph](mailto:info@cmic.com.ph)) or contact Ms. Vilma at #819-4482.

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### COMMITMENT FORM

Date: \_\_\_\_\_

Name of Trading Participant: \_\_\_\_\_

Tel. No.: \_\_\_\_\_

Desired Dates (please fill up at least three (3) desired dates just in case the other dates are already full):

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

Seminar Attendees (Name/ Position) - maximum of two (2)

1. \_\_\_\_\_

2. \_\_\_\_\_

Reservation made by:

\_\_\_\_\_  
Name and Signature

\_\_\_\_\_  
Position