



## MEMORANDUM – 2014-009

To : Investing Public

Subject : Investor's Symposium: "Enhancing Investor Safeguards"

Date : March 5, 2014

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The Capital Markets Integrity Corporation ("CMIC"), in cooperation with the Philippine Stock Exchange ("PSE"), invites the investing public to the first **Investors' Symposium: Enhancing Investor Safeguards** to be held on **March 28, 2014**, from three o'clock (3:00) to five o'clock (5:00) in the afternoon, at the PSE Broker's Lounge, 3/F PSE Plaza, Ayala Triangle, Ayala Avenue, Makati City.

This symposium is being organized to provide the investing public an avenue for an interactive discussion on matters material to stock investments, such as current industry practices, stock manipulation and other trading irregularities. The aforesaid event also aims to substantially aid the investors in acquiring a better understanding of the Securities Laws and other rules relative to the capital markets industry.

This seminar is free of charge; the slots, however, are limited. Registration will be on a first-come-first-served basis. To register, please accomplish the attached registration form and email the same to [info@cmic.com.ph](mailto:info@cmic.com.ph). A message will then be sent to your email address to confirm your registration. The deadline for the submission of the registration form will be on **Friday, March 21, 2014**. For inquiries, please contact CMIC at (+632) 819 4492 (c/o Francis Medina).

For information and guidance.

  
CORNELIO C. GISON  
President



**The Capital Markets Integrity Corporation**

cordially invites the Investing Public to the

**Investors' Symposium: Enhancing Investor Safeguards**

to be held on **March 28, 2014** from 3:00 to 5:00 in the afternoon

at the **PSE Broker's Lounge**

3/F PSE Plaza, Ayala Triangle, Ayala Ave.,

Makati City 1226

## Registration Form

Date: \_\_\_\_\_

### About You

Complete Name: \_\_\_\_\_ Birth Date: \_\_\_\_\_

Company/ Business Name: \_\_\_\_\_

Position/ Job Title: \_\_\_\_\_

Cel. /Tel No.: \_\_\_\_\_

Email Address: \_\_\_\_\_

### Trading Profile

Name of Stockbroker/s: \_\_\_\_\_

Years of Stock Market Investing Experience: \_\_\_\_\_

### Investment Objective:

- |  |   |
|--|---|
| <input type="checkbox"/> Speculation             | <input type="checkbox"/> Long Term Investment |
| <input type="checkbox"/> Preservation of Capital | <input type="checkbox"/> Growth               |



**In cooperation with:**



# **Investors' Symposium: "Enhancing Investor Safeguards"**

**March 28, 2014, Friday  
3:00PM - 5:00PM  
Broker's Lounge  
3/F PSE Plaza, Ayala Triangle,  
Makati City 1226**