



MEMORANDUM – 2014-025

To : All Trading Participants

Subject : Employee Movement

Date : 5 August 2014

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2014.

For information and guidance.


CORNELIO C. GISON
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
30-May-14	PECAOCO	AGNES MENDOZA	ASSOCIATE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
30-May-14	RITO	JO-ANN MEDINA	-	EXECUTIVE ASSISTANT	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
01-Jun-14	TAN	ATTY. JOSE DOMINGO L.	CORPORATE SECRETARY	-	JAKA SECURITIES CORPORATION	RESIGNATION
01-Jun-14	ORETA	ATTY. ROY ANTHONY S.	-	ASSISTANT CORPORATE SECRETARY	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
02-Jun-14	GALANG	ARLENE ROSE IBARRA	ACCOUNTING ASSISTANT	I. T. HEAD	OPTIMUM SECURITIES CORPORATION	RE-DESIGNATION
02-Jun-14	CAYABYAB	JEANNE CHRISTINE R.	-	RESEARCH ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
02-Jun-14	CALISON	KRISTINE S.	-	SPECIALIST/ SALESPERSON/ TRADER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
09-Jun-14	DE LEON	NOEL TEOTICO	MARKETING DIRECTOR	-	BELSON SECURITIES, INC.	DECEASED
16-Jun-14	BAUTISTA	ABBEY DALE I.	-	RESEARCHER/ ANALYST	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	YABUT	FRANCESCA VANESSA R.	-	TRADING ASSISTANT	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	GUINTO	JULIE ANN REYES	-	OFFICE ASSISTANT	ALAKOR SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	GLEAN	MARIA LIZA LAIG	-	SALES REPRESENTATIVE	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
16-Jun-14	ANG	RICHMOND CO	-	SALES TRAINEE	DW CAPITAL, INC.	NEW EMPLOYMENT
20-Jun-14	HERNANDEZ	RAPHAEL JOURDAN MACALINDONG	TRADER/ ENCODER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
23-Jun-14	VALINO	ANTONINO FAJARDO	-	EQUITY RESEARCH TRAINEE	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
23-Jun-14	RAMOS	MARK BORGONIA	-	INFORMATION TECHNOLOGY ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
23-Jun-14	DELA CRUZ	RODOLFO SIDRO III	-	TELEPHONE CLERK	BELSON SECURITIES, INC.	NEW EMPLOYMENT
23-Jun-14	DE DIOS	SHIELA L.	LEGAL ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (did not ask for clearance to join other broker)
24-Jun-14	SION	PIA R.	SENIOR SPECIALIST - ACCOUNTING	-	BPI SECURITIES CORPORATION	RESIGNATION
25-Jun-14	GARCIA	IRENE L.	ASSOCIATE	ASSOCIATED PERSON	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RE-DESIGNATION

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26-Jun-14	DY TANG	ELMER JASON DELOS SANTOS	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
26-Jun-14	RUFFY	FRANCIS NICOLO PUNZALAN	SALES TRAINEE	SALESMAN	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
26-Jun-14	REYES	REGULO GENEROSO REYNON IV	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
27-Jun-14	CHIKIAMCO	CALIXTO V.	INDEPENDENT DIRECTOR	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
27-Jun-14	DYCHIAO	GARY WILMER GO	INDEPENDENT DIRECTOR	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
27-Jun-14	WONG	GEORGE T. S.	-	INDEPENDENT DIRECTOR	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
27-Jun-14	TUQUIB	JUSTIN JAY B.	FINANCIAL ANALYST	-	CITISECURITIES, INC.	TERMINATION DUE TO DEATH
27-Jun-14	MONFORT	MA. SOCORRO K.	-	INDEPENDENT DIRECTOR	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
28-Jun-14	AZURA	ARNOLD GLINO	SALESPERSON	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION
28-Jun-14	ADONA	RAPHAEL S.	INTERN (ADMIN)	-	HDI SECURITIES, INC.	RESIGNATION (end of internship)
30-Jun-14	MALUBAG	AILENE MANAOIS	ACCOUNTANT	-	ANGPING & ASSOCIATES SECURITIES, INC.	RESIGNATION (cleared)
30-Jun-14	ROSAÑES	ANDREW TEE	RESEARCH/ ANALYST	TRADER	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-14	CEDRO	ANNE MARGARET C.	OPERATIONS ASSISTANT	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION
30-Jun-14	LAPUT	CARLO C.	RESEARCH/ ANALYST	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-14	MAHOMED	FERHEEN	DIRECTOR	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
30-Jun-14	VELUYA	GIOVANNI P.	ASSOCIATED PERSON	-	LUY'S SECURITIES COMPANY, INC.	RESIGNATION (did not ask for clearance to join other broker)
30-Jun-14	MIRANDA	MICHAEL ALBERTO	GENERAL CLERK	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-14	BANAYAT	MIDORI B.	ACCOUNTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Jun-14	ANTES	ROSARIO DOMANOG	-	SECURITIES CUSTODIAN	I. ACKERMAN & COMPANY, INC.	NEW EMPLOYMENT
30-Jun-14	CONCEPCION	RUBI AMALIA M.	TRADER	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-14	GLADSTONE	THOMAS XENOPHON	-	DIRECTOR	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

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01-Jul-14	PATAG	CARISSA JUNIO	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
01-Jul-14	ROMAN	CARLO JOSEPH AVECILLA	-	TRADING FLOOR TRAINEE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-14	BONILLA	CRISTINE JOYCE C.	-	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-14	RIZON	EMELY FLOR R.	-	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-14	UY	FLORRIE L.	ASSISTANT ACCOUNTING SUPERVISOR	ACCOUNTING SUPERVISOR	CITISECURITIES, INC.	RE-DESIGNATION
01-Jul-14	GECANGAO	JOEY GARDE	-	CONSULTANT - SETTLEMENT CLERK	EASTWEST CAPITAL CORPORATION	NEW EMPLOYMENT
01-Jul-14	SUNGA	JOSE MARIA Z.	TRAINEE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Jul-14	NOGRALES	JOSEPH MARTIN A.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Jul-14	MAGPAYO	KARISA GARCIA	-	SENIOR RESEARCH ANALYST	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
01-Jul-14	MIRANDA	MICHAEL ALBERTO	-	ASSISTANT SETTLEMENT CLERK	PLATINUM SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-14	BANZON	MILAGROS RESULA	-	BOOKKEEPER	LUY'S SECURITIES COMPANY, INC.	NEW EMPLOYMENT
01-Jul-14	FONG	ROBERT GO	DIRECTOR/ HEAD OF SALES/ TRADER	TRADER	SUNSECURITIES, INC.	RE-DESIGNATION
01-Jul-14	VILLAROZA	SHERYLL CAOILE	-	HUMAN RESOURCES AND ADMINISTRATIVE MANAGER	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
01-Jul-14	PEREZ	DAVID MACASAET	OIC - ACCOUNTING UNIT	JAM - OPERATIONS MANAGER	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-14	MENDOZA	MADETH DAGOHOY	IC - SETTLEMENT UNIT	FO - SETTLEMENT UNIT HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-14	VELASCO	SUNDEE BARTOLOME	IC - CASH DIVIDEND & COLLECTION UNIT	FO - COMPLIANCE SPECIALIST	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-14	MOVILLA	KAREN GARIN	SETTLEMENT CLERK	IC - CASH DIVIDEND & COLLECTION UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION

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01-Jul-14	SALINOG	JACKIE LOU DAGAYLOAN	ACCOUNTING CLERK	IC - ACCOUNTING UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-14	AGRAVANTE	HAZEL BENITO	-	SETTLEMENT CLERK	RCBC SECURITIES, INC.	NEW EMPLOYMENT
04-Jul-14	SANTOS	LAURA D.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
04-Jul-14	BAUTISTA	MARIA ANDREA VIRAY	APPOINTED ACCOUNTANT	-	J. M. BARCELON & COMPANY, INC.	RESIGNATION (no clearance required)
04-Jul-14	PENEYRA	MICHELLE Y.	VP RESEARCH	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION
04-Jul-14	PASIL	REMEDIOS CRUZ	ASSOCIATED PERSON	ASSOCIATE	J. P. MORGAN SECURITIES PHILS., INC.	RE-DESIGNATION
06-Jul-14	BASILIO	DENNIS MADRID	HEAD OF OPERATIONS & LOGISTICS	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
07-Jul-14	SOTELO	CIELO LOVEJOY SANTIAGO	-	DEPUTY HEAD OF FINANCE/ FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
07-Jul-14	CLAUDIO	CORAZON COBERO	-	ACCOUNTING ASSISTANT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
07-Jul-14	SOBEJANA	IBIN CABOG	HR & ADMIN MANAGER	CUSTOMER CARE ASSISTANT	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
07-Jul-14	LEUNG	MARK	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (end of internship)
07-Jul-14	MIGUEL	MARVIN RAAGAS	CUSTOMER CARE & REVENUE MANAGER	SALES EQUITIES TRADER	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
08-Jul-14	LONTOC	ERICA JUSTINE M.	ADMIN STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (without clearance)
10-Jul-14	DELA CRUZ	JANINE CENTENO	-	CUSTOMER CARE ASSISTANT	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
10-Jul-14	HERNANDEZ	RAPHAEL JOURDAN MACALINDONG	-	EQUITIES TRADER ASSISTANT	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
11-Jul-14	WATIWAT	BRYAN E.	TRADER TRAINEE	TRADER	A. T. DE CASTRO SECURITIES CORPORAITON	RE-DESIGNATION
11-Jul-14	SOLEMA	CHOERLEEN BIANCA R.	RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
14-Jul-14	SOLEMA	CHOERLEEN BIANCA R.	-	RESEARCH ANALYST	DBP-DAIWA CAPITAL MARKETS PHILS., INC.	NEW EMPLOYMENT
14-Jul-14	ORNUM	DELIAN LUTHER HALLADO	-	TRADER	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT

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15-Jul-14	TUAZON	CARLOS B.	IT OPERATIONS MANAGER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
15-Jul-14	BUENA	LOURDES FAJARDO	BOOKKEEPER	-	CENTURY SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jul-14	ATENDIDO	ROSEMARIE ARCEO	OPERATIONS AMANAGER - SENIOR ASSISTANT MANAGER	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
15-Jul-14	ROJAS	JIEZLE SALCEDO	COMPLIANCE ASSISTANT	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jul-14	GAN	BRADFORD TIN	SALESMAN/ TRAINEE	TELEPHONE CLERK	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
16-Jul-14	OCLARINO	DIANE KHRYSTENE MASIBAG	ACCOUNTING CLERK	-	INTRA-INVEST SECURITIES, INC.	RESIGNATION (cleared)
16-Jul-14	YOUNG	ENGELO MARTINEZ	-	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-14	BUENA	ERIC FAJARDO	-	BOOKKEEPER	CENTURY SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-14	TAN	FRANCES CASSIE TIU	-	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-14	BACTONG	HERBERT JOEI LELIS	-	IT HEAD	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-14	CABRERA	JENNY REYES	-	SENIOR SPECIALIST - ACCOUNTING	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jul-14	PADULLO	MARIBEL G.	BOOKKEEPER	-	LUY'S SECURITIES COMPANY, INC.	RESIGNATION (did not ask for clearance to join other broker)
16-Jul-14	GARZON	AIZA BAUTISTA	-	ACCOUNTING CLERK	RCBC SECURITIES, INC.	NEW EMPLOYMENT
21-Jul-14	FERNANDEZ	ARVIN ROMEL RACHO	TELEPHONE CLERK	TRADER	SB EQUITIES, INC.	RE-DESIGNATION
21-Jul-14	ROA	HASSEVEL FELIZARDO	-	OFFICE CLERK	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
21-Jul-14	DELGADO	MA. CRISTINA M.	SALESPERSON TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
21-Jul-14	DAVID	PRINCESS DELA CRUZ	-	ACCOUNTING CLERK	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
23-Jul-14	BELLEZA	GERALDINE ESTEROZA	-	SECURITIES CUSTODIAN	I. ACKERMAN & COMPANY, INC.	NEW EMPLOYMENT
24-Jul-14	AMPARO	BEVERLY C.	SECURITIES CLERK	TRADING ASSISTANT	PAPA SECURITIES CORPORATION	RE-DESIGNATION
24-Jul-14	SAN PEDRO	CHRISTOPHER ADRIAN TABAFUNDA	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
24-Jul-14	HUANG	LANNA LESLIE NOCOM	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT

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24-Jul-14	SAYO	MICHAEL SAMSON	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
24-Jul-14	REITHER	MICHELLE GERALDINE G.	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
25-Jul-14	SAN JOAQUIN	MARK ANTHONY D.	AGM	AGM/ ASSOCIATED PERSON	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
28-Jul-14	VALINO	ANTONINO FAJARDO	EQUITY RESEARCH TRAINEE	EQUITY TRADER/ SALESMAN	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
29-Jul-14	JIMENEZ	CONCEPCION JOSE	DEPUTY HEAD OF FINANCE/ FINANCIAL ACCOUNTANT	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
31-Jul-14	DETOYATO	JULIE	CONSULTANT - SETTLEMENT CLERK	-	EASTWEST CAPITAL CORPORATION	RESIGNATION (no clearance required)