



MEMORANDUM – 2015-017

To : All Trading Participants

Subject : Employee Movement

Date : 9 June 2015

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 29 May 2015.

For information and guidance.


CORNELIO C. GISON
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
04-Apr-15	MACAPAGAL	ROMMEL RONALD M.	VICE PRESIDENT - EQUITY SALES	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
04-Apr-15	MUTYA	MARLON P.	SENIOR ASSOCIATE - EQUITY SALES	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
06-Apr-15	LUCERO	JONATHAN M.	-	MESSENGER	RTG & COMPANY, INC.	EXTENSION OF PROBATIONARY EMPLOYMENT
07-Apr-15	DAGURO	PREMILYN C.	-	SENIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Apr-15	PAMINTUAN	CHARLENE A.	-	JUNIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Apr-15	QUITAIN	EDELYN B.	-	JUNIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Apr-15	ZAMORA	POCH EDWARD I.	-	MANAGING DIRECTOR/ HEAD OF FOREIGN INSTITUTIONAL EQUITIES	SB EQUITIES, INC.	NEW EMPLOYMENT
08-Apr-15	ZOILO	ASPENCAYE B.	OPERATION ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
10-Apr-15	UY	LUCKY DICKINSON T.	-	SALES AGENT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
13-Apr-15	MENDOZA	JAMES DANIEL C.	-	STAFF (SPECIAL PROJECT)	HDI SECURITIES, INC.	NEW EMPLOYMENT
13-Apr-15	TIMBREZA	SANDRAH J.	-	ACCOUNTANT	I. ACKERMAN & COMPANY, INC.	NEW EMPLOYMENT
15-Apr-15	BARACHINA	MARIAN S.	-	SALES AND BUSINESS DEVELOPMENT MANAGER	HDI SECURITIES, INC.	NEW EMPLOYMENT
15-Apr-15	BAYLON	ERICK JOHN M.	-	IT HEAD	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-15	ABARCA	LEO CAESAR	ACCOUNT OPENING ASSOCIATE	SHARES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Apr-15	CRUZ	CHARMAINE B.	-	ACCOUNTANT	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
19-Apr-15	FERNANDEZ	MA. CONCEPCION Y.	VICE PRESIDENT - EQUITY	FIRST VICE PRESIDENT - EQUITY	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
19-Apr-15	AZURIN	LEXTER FREDERICH L.	MANAGER - EQUITY RESEARCH	SENIOR MANAGER - EQUITY RESEARCH	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
19-Apr-15	GABALDON	NEIL PATRICK A.	ASSOCIATE - EQUITY SALES	ASSISTANT MANAGER - EQUITY SALES	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
19-Apr-15	CASTAÑEDA	LESTER B.	JR. ASSOCIATE - FILING CLERK	ASSOCIATE - SETTLEMENT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
20-Apr-15	BALANI	ROSHNI	-	ASSISTANT CORPORATE SECRETARY	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
20-Apr-15	ANCHETA	MELYJANE B.	ASSISTANT CORPORATE SECRETARY	CORPORATE SECRETARY	CLSA PHILIPPINES, INC.	RE-DESIGNATION

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20-Apr-15	KING	ROSE MARIE M.	CORPORATE SECRETARY	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance not required)
20-Apr-15	MARICA	MICHELLE ANNE M.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
20-Apr-15	SANCHEZ	KRISHAFE C.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
20-Apr-15	SERDON	CHATRINA A.	-	OPERATIONS SPECIALIST	SB EQUITIES, INC.	NEW EMPLOYMENT
21-Apr-15	ESPINA	GIAN FRANCO V.	RESEARCH ANALYST	EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
22-Apr-15	VARGAS	JEFFREY	-	CLIENT ACQUISITION SUPPORT - CONTRACTUAL	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
22-Apr-15	GUTIERREZ	ESPIE G.	-	GENERAL CLERK	GLOBALINKS SECURITIES AND STOCKS, INC.	NEW EMPLOYMENT
23-Apr-15	SALAZAR	ALYSSA MARIE E.	-	SALES TRAINEE	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
24-Apr-15	MAMURIC	JOSE ROBERTO L.	CORPORATE SECRETARY	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (clearance under process)
24-Apr-15	MARIANO	SHERYL B.	-	CORPORATE SECRETARY	B. H. CHUA SECURITIES CORPORATION	NEW EMPLOYMENT
24-Apr-15	VALDEZ	SOLOMON Q.	TREASURER	-	PNB SECURITIES, INC.	RESIGNATION (cleared)
24-Apr-15	SOTELO	CIELO LOVEJOY S.	DEPUTY HEAD OF FINANCE/ FINANCIAL ACCOUNTANT	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
27-Apr-15	ABAQUITA	MICAELA GABRIELLE L.	ASSOCIATE	ANALYST	CLSA PHILIPPINES, INC.	RE-DESIGNATION
27-Apr-15	LASTRILLA	CRISTINA L.	-	SALES AND TRADING ADMINISTRATIVE ASSISTANT	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
27-Apr-15	GOBING	JACQUELINE O.	-	ASSOCIATED PERSON	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
28-Apr-15	NER	RENE JOSEPH P.	HEAD OF DEALING	HEAD OF CASH EQUITIES	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
30-Apr-15	DY	MAUREEN PHILLINE C.	HR AND ADMIN SUPERVISOR	-	ACCORD CAPITAL EQUITIES CORPORATION	RESIGNATION (clearance under process)
30-Apr-15	MACALALAD	JOHN PAUL L.	ACCOUNTING CLERK	-	ANGPING & ASSOCIATES SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-15	MALABED	ALMA RUTH A.	EXECUTIVE ASSISTANT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
30-Apr-15	ASILO	FRANCIS ALVIN V.	ASSISTANT CORPORATE SECRETARY	CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS., INC.	RE-DESIGNATION

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30-Apr-15	CHAVEZ	MARIA RACQUEL S.	CORPORATE SECRETARY	-	J. P. MORGAN SECURITIES PHILS., INC.	RESIGNATION (clearance not required)
30-Apr-15	LEE	SEUNGMYUN (SEAN)	VICE PRESIDENT	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Apr-15	TING	MARLYN C.	ASSOCIATE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Apr-15	CHAN	JUSTIN VINCENT C.	ANALYST	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Apr-15	AQUINO	LOURIANNE A.	CONTRACTUAL	-	RCBC SECURITIES, INC.	END OF CONTRACT
30-Apr-15	DELA CRUZ	ROSALIE O.	HEAD OF OPERATIONS	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
30-Apr-15	ANG	RUWIE C.	FINANCE ANALYST	-	DW CAPITAL, INC.	RESIGNATION (cleared)
01-May-15	NARIO	IVAN CHRISTOPHER I.	MANAGER/ SALESMAN	-	BDO SECURITIES CORPORATION	RESIGNATION
01-May-15	SIMPAO	MARVIN ROY S.	PRODUCT DEVELOPMENT OFFICER	COMPLIANCE OFFICER & ASSOCIATED PERSON	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-May-15	CALISON	KRISTINE S.	HNW SALES SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-May-15	CORTEZ	CZARLOTTE CYRILE C.	-	TRANSFER CLERK	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
01-May-15	LICAROS	ROBERT D.	-	INDEPENDENT DIRECTOR	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
01-May-15	SANCHEZ	CONRADO E. JR.	INDEPENDENT DIRECTOR	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION (cleared)
01-May-15	ROJAS	ROBELIN NATHANIEL S.	-	DEALER	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-May-15	BODOLLO	RALPH CHRISTIAN G.	-	RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
04-May-15	BUENAOBRA	WILMA B.	-	ACCOUNTING STAFF	CITISECURITIES, INC.	NEW EMPLOYMENT
04-May-15	AGCAOILI	ERNESTO OSCAR L.	OPERATIONS MANAGER	-	HDI SECURITIES, INC.	RESIGNATION (clearance not required)
04-May-15	FERNANDEZ	GASTON LUIS G.	CONTRACTUAL, EQUITIES SALES	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
06-May-15	CRUZ	CHRISTINE	-	CLIENT RELATION SUPPORT - CONTRACTUAL	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
06-May-15	YBAÑEZ	JOSEPH B.	-	CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILS., INC.	NEW EMPLOYMENT
06-May-15	BOLLER	JIAN PAULO P.	ALTERNATE CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILS., INC.	RESIGNATION

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07-May-15	NG	BENSON P.	-	INDEPENDENT DIRECTOR	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
07-May-15	LIAO	TEDISON S.	-	INDEPENDENT DIRECTOR	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
07-May-15	HERBOSA	ANTONIO B.	-	DIRECTOR/ CHAIRMAN	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
07-May-15	LORICA	FRANCIS JULIAN G.	-	DIRECTOR/ TREASURER	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
07-May-15	ANCHETA	ZHARLOTTE	DIRECTOR/ TREASURER	-	ACCORD CAPITAL EQUITIES CORPORATION	RESIGNATION (cleared)
07-May-15	SOTELO	ROY B.	VP-SALES/ DIRECTOR	VP-SALES	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
07-May-15	WEE	PAUL L.	CHAIRMAN	VICE CHAIRMAN	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
07-May-15	MERCADO	ANGEL S.	PRESIDENT/ COO	PRESIDENT/ CEO	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
11-May-15	PEREZ	AMANDO JOSE J.	TRAINEE	SALESMAN/ TRADER	HDI SECURITIES, INC.	RE-DESIGNATION
11-May-15	TAN	RON ERICH O.	-	SALES TRAINEE	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
11-May-15	CEÑIDOZA	MARA M.	-	TRANSFER & SETTLEMENT CLERK TRAINEE	YU & COMPANY, INC.	NEW EMPLOYMENT
11-May-15	SAMONTE	ALFREDO D. SR.	TRAINEE	SALESMAN/ TRADER	A. T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
11-May-15	DONATO	EMILIO B. JR.	BACKROOM & SETTLEMENTS HEAD	ASSOCIATED PERSON	A. T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
13-May-15	FERNANDO	MICAH FILLIP L.	-	INTERN, EQUITIES RESEARCH	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
13-May-15	HIPOLITO	PHILIPPE	-	TEMPORARY ASSOCIATED PERSON	DEUTSCHE REGIS PARTNERS, INC.	ADDITIONAL DESIGNATION
15-May-15	FONG	WILT MORRIS JOSEPH P.	CERTIFIED SECURITIES REPRESENTATIVE	-	ANGPING & ASSOCIATES SECURITIES, INC.	RESIGNATION (cleared)
15-May-15	MARTINEZ	BETTINA MAREE R.	EQUITIES RESEARCH ANALYST	-	J. P. MORGAN SECURITIES PHILS., INC.	RESIGNATION (clearance under process)
15-May-15	LUMAGUI	EDWARD S.	MESSENGER/ CLERK	-	GLOBALINKS SECURITIES AND STOCKS, INC.	RESIGNATION (no clearance issued)
15-May-15	POBLETE	CLARA S.	ACCOUNTANT	-	TIMSON SECURITIES, INC.	RESIGNATION

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16-May-15	WEE	ANGELIE MONIQUE T.	-	SAM - RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
18-May-15	LUMAGUI	RICHARD D.	-	CERTIFIED SECURITIES SPECIALIST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
18-May-15	DULDULAO	SYLVIE V.	HEAD PRODUCT DEVELOPMENT	HEAD CORPORATE PLANNING	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
18-May-15	TARROBAL	JOSEPH EDWARD W.	HEAD PHILSTOCKS	ASSISTANT VICE PRESIDENT MARKETING	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
18-May-15	NEVADO	CARLA FRANCESCA I.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-May-15	YU	MICHELLE ANGELINE N.	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-May-15	TAN	ANDRE S.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
19-May-15	LACUNA	ROCHELLE M.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
20-May-15	CORTEZ	ATTY. ANGELITA	ASSISTANT CORPORATE SECRETARY	-	ARMSTRONG SECURITIES, INC.	RESIGNATION
20-May-15	MONTEMAYOR	MICHAEL DAVID C.	RESEARCH ANALYST, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
22-May-15	LOZANO	FRANCESKA M.	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	END OF INTERNSHIP
01-Jun-15	DEL ROSARIO	ELIZA MAE U.	-	CONTRACTUAL - CUSTOMER CARE ASSOCIATE	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
01-Jun-15	CAUSING	JEFFREY C. JR.	-	CONTRACTUAL - MARKETING EVENTS AND ASSOCIATES	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
01-Jun-15	DELA CRUZ	JANINE C.	CUSTOMER CARE ASSOCIATE	ACCOUNTING ASSISTANT	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
01-Jun-15	ANOS	JENINA T.	CUSTOMER CARE ASSOCIATE	ACCOUNTING ASSISTANT	ACCORD CAPITAL EQUITIES CORPORATION	RE-DESIGNATION
02-Jun-15	MONDRAGON	DEACE SHAYNE S.	SETTLEMENT ASSISTANT	-	ALPHA SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Jun-15	FARINAS	KAREN G.	DEALER	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)