



MEMORANDUM – 2015-010

To : All Trading Participants

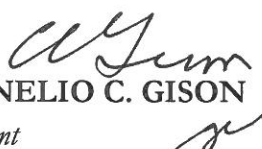
Subject : Employee Movement

Date : 9 March 2015

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 27 February 2015.

For information and guidance.


CORNELIO C. GISON
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
30-Sep-14	SINGSON	MICHAEL A.	PRESIDENT	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
01-Oct-14	FLORENTINO	GERALD O.	-	PRESIDENT	RCBC SECURITIES, INC.	NEW EMPLOYMENT
29-Dec-14	VELASCO	MA. JIDGETTE V.	VP, EQUITY RESEARCH	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
31-Dec-14	VALDEZ	ARLENE S.	ACCOUNTING CLERK	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
01-Jan-15	CHUA	YVETTE P.	-	INDEPENDENT DIRECTOR	TANSENGCO & COMPANY, INC.	NEW EMPLOYMENT
02-Jan-15	ADAN	ATTY. JAN MARI	-	CORPORATE SECRETARY	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
02-Jan-15	MASANGCAY	ATTY. JAY G.	CORPORATE SECRETARY	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION
02-Jan-15	YU	CARLOS JR. R.	-	EQUITY ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
02-Jan-15	SO KUA	JOAN G.	SALESMAN	-	ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION	RESIGNATION
05-Jan-15	OLAR	ANECITA R.	-	HUMAN RESOURCE MANAGER	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
05-Jan-15	GONZALES	CONNERY S.	-	IT HEAD	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
05-Jan-15	BASTASA	EMILY R.	-	ACCOUNTING STAFF	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
05-Jan-15	NITUMA	ROMNICK R.	ASSOCIATE, INFORMATION TECHNOLOGY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
09-Jan-15	ARELLANO	ALEJANDRO D.	-	TRADER	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
09-Jan-15	PE	GERALD R.	ACCOUNTING CLERK	TRADER	LUY'S SECURITIES COMPANY, INC.	RE-DESIGNATION
15-Jan-15	ROGERO	LAURENCE R.	INDEPENDENT DIRECTOR	-	TANSENGCO & COMPANY, INC.	RESIGNATION
15-Jan-15	MENDOZA	MADETH D.	FO-IC, SETTLEMENT HEAD	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
15-Jan-15	PABILONIA	MERLY M.	SENIOR ASSOCIATE, OPERATIONS	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Jan-15	CRUZ	MICHAEL PATRICK F.	ELECTRONIC BROKERAGE SALES SPECIALIST	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jan-15	SORIANO	JANINE ELIZABETH C.	DISBURSEMENT CLERK	IC - DISBURSEMENT AND	RCBC SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
16-Jan-15	SORIANO	JANINE ELIZABETH C.	DISBURSEMENT CLERK	IC - DISBURSEMENT AND COLLECTION HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
16-Jan-15	MOVILLA	KAREN G.	IC - DISBURSEMENT AND COLLECTION HEAD	IC - SETTLEMENT HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
20-Jan-15	DIZON	CHERISH CHRISTINE M.	-	ACCOUNTING OFFICER	COHERCO SECURITIES, INC.	NEW EMPLOYMENT
20-Jan-15	DEL MUNDO	CRISANTO D.	-	ASSOCIATE - SETTLEMENT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Jan-15	TAPAR	JONATHAN V.	-	ASSOCIATE - ACCOUNTING	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Jan-15	LAUREL	RAFAEL I.	-	CONSULTANT - EQUITIES	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
26-Jan-15	DON DIEGO	PATRICK JORDAN A.	-	ASSOCIATE - FUND MANAGEMENT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
26-Jan-15	TAPALLA	SILVESTRE L. JR.	-	MESSENGER	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
27-Jan-15	SERFINO	MA. LITA D.	GENERAL CLERK	-	GLOBALINKS SECURITIES AND STOCKS, INC.	RESIGNATION (no clearance)
28-Jan-15	URCIA	LUIS C.	BOARD MEMBER	-	BPI SECURITIES CORPORAITON	RESIGNATION (no clearance required)
28-Jan-15	CRUZ	LUIS GEMINIANO E.	-	TREASURER	BPI SECURITIES CORPORAITON	NEW EMPLOYMENT
28-Jan-15	CARIASO	REGINALDO ANTHONY B.	TREASURER	BOARD MEMBER	BPI SECURITIES CORPORAITON	RE-DESIGNATION
29-Jan-15	ABAD	BERNARD O.	CORPORATE SECRETARY	ASSISTANT CORPORATE SECRETARY	UPCC SECURITIES CORPORATION	RE-DESIGNATION
29-Jan-15	DAOS	RONALD MARK S.	-	CORPORATE SECRETARY	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jan-15	LACERNA	MARY GRACE A.	VERIFICATION CLERK	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
31-Jan-15	VILLALUZ	NESTOR T.	COLLECTOR/ MESSENGER	-	I. ACKERMAN & COMPANY, INC.	RESIGNATION (clearance under process)
01-Feb-15	ROMAN	CARLO JOSEPH A.	TRAINEE	AUTHORIZED TRADER	GUILD SECURITIES, INC.	RE-DESIGNATION
01-Feb-15	HERRERA	ERNIE S.	-	OFFICE CLERK	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-15	LOPEZ	HOMER JUAN V.	-	COLLECTOR/ MESSENGER	R. S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Feb-15	DE MESA	MARK GIERON E.	SALES & MARKETING STAFF	SALESMAN/ TRADER	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
01-Feb-15	HENSON	VICTOR ANDREW V.	SALES & MARKETING STAFF	SALESMAN/ TRADER	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
02-Feb-15	CAMPOS	AISSA MARIE L.	-	OFFICE CLERK	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
02-Feb-15	CLAPAROLS	ANDRES P.	-	RESEARCH ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
02-Feb-15	ANG	ANGELA BEATRICE	-	SALES TRAINEE	BPI SECURITIES CORPORAITON	NEW EMPLOYMENT
02-Feb-15	CORPUZ	BERNARD C.	SALES AND DEALING ASSISTANT	DEALER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
02-Feb-15	EVANGELISTA	CAROL JANE K.	SALES ASSISTANT	DEALER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
02-Feb-15	ABANCE	DIANA LYN G.	-	ACCOUNTING ASSISTANT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
02-Feb-15	CHOA	JASON L.	-	IT ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
02-Feb-15	LECAROS	JOSE ANGELO D.	-	RESEARCH ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
02-Feb-15	HO	JOSHUA HANSEL T.	SALES TRAINEE	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
02-Feb-15	CASUYON	MA. CECILIA L.	ACCOUNTING ASSISTANT	-	VENTURE SECURITIES, INC.	RESIGNATION (did not apply for clearance)
02-Feb-15	NEBRIA	MARITER P.	-	OFFICE CLERK, CEBU BRANCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
02-Feb-15	ORBETA	QUESTOR BLUE JOHN C.	-	OPERATIONS ASSOCIATE - CORPORATE ACTIONS	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
02-Feb-15	CASIGURAN	ROGER ROSS U.	SALES AND DEALING ASSISTANT	DEALER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
02-Feb-15	SAY	SAMANTHA CHELSA C.	SALES TRAINEE	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
03-Feb-15	DORONILA	KENTIAN A.		TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
04-Feb-15	NARVAEZ	HERMENEGILDO Z.	-	RESEARCH ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
05-Feb-15	PONONG	MARVIN M.	MESSENGER	-	ALPHA SECURITIES CORPORATION	RESIGNATION (clearance under process)
05-Feb-15	DE GUZMAN	RYAN B.	-	DRIVER/ MESSENGER	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
06-Feb-15	SEMBRANO	JAN MICHAEL G.	FINANCIAL ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
09-Feb-15	MARTINO	LUIGI MARTIN L.	COMPLIANCE ASSISTANT	COMPLIANCE OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
09-Feb-15	BACUS	MARY GRACE B.	RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
10-Feb-15	HUANG	LANNA LESLIE N.	-	AGENT	PNB SECURITIES, INC.	NEW EMPLOYMENT
10-Feb-15	REITHER	MICHELLE GERALDINE G.	-	AGENT	PNB SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
13-Feb-15	TOMINO	JUFFER	-	AUTHORIZED SALESMAN	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
13-Feb-15	ADAD	MARIA TERESA B.	BROKERAGE MANAGER	-	FRANCISCO ORTIGAS SECURITIES, INC.	RESIGNATION (cleared)
13-Feb-15	CAYETANO	MIKKO S.	SALES TRADER	-	BPI SECURITIES CORPORAITON	RESIGNATION (clearance under process)
15-Feb-15	DELA PEÑA	JESUS M.	PRESIDENT	CHAIRMAN	H. E. BENNETT SECURITIES, INC.	RE-DESIGNATION
15-Feb-15	DELA PEÑA	JOEL T.	-	PRESIDENT	H. E. BENNETT SECURITIES, INC.	NEWLY ELECTED
15-Feb-15	DELA PEÑA	MA. CRISTINA T.	-	ASSISTANT TREASURER	H. E. BENNETT SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-15	TAN	FRANCES CASSIE T.	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION (cleared)
16-Feb-15	ADAD	MARIA TERESA B.	-	SALESMAN	CAMPOS, LANUZA & COMPANY, INC.	NEW EMPLOYMENT
16-Feb-15	DE NIEVA	SYDNEY A.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
17-Feb-15	ANTONINO	VICTOR FREDERICK F.	TRADING ASSISTANT	TRADER/ SALESMAN	SARANGANI SECURITIES, INC.	RE-DESIGNATION
24-Feb-15	MOLINA	JONALYN L.	-	CUSTOMER SUPPORT OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Feb-15	LIM	AUSTINE AGGIE T.	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
26-Feb-15	BARBOZA	FRANK GERARD J.	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
26-Feb-15	FONG	ROBERT G.	-	CERTIFIED SECURITIES REPRESENTATIVE	ANGPING & ASSOCIATES SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-15	CHANGCO	BERNARD ALLAN M.	INDEPENDENT DIRECTOR	-	SUPREME STOCKBROKER, INC.	RESIGNATION (no clearance required)
01-Mar-15	DORONILA	KENTIAN A.	TRAINEE	SETTLEMENT ASSISTANT	UPCC SECURITIES CORPORATION	RE-DESIGNATION
02-Mar-15	ACMA	MELISA O.	-	INDEPENDENT DIRECTOR	SUPREME STOCKBROKER, INC.	NEW EMPLOYMENT