



MEMORANDUM – 2015-019

To : All Trading Participants

Subject : Employee Movement

Date : 10 August 2015

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2015.

For information and guidance.


CORNELIO C. GISON
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
14-Jan-15	LORENZO	LUZ L.	DIRECTOR	-	MAYBANK ATR KIM ENG SECURITIES, INC.	DELETION AS A RESULT OF REDUCTION OF NUMBER OF DIRECTORS
14-Jan-15	AQUINO	GODOFREDO R.	DIRECTOR	-	MAYBANK ATR KIM ENG SECURITIES, INC.	DELETION AS A RESULT OF REDUCTION OF NUMBER OF DIRECTORS
13-May-15	SISON	MARIA LOURDES B.	BOARD OF DIRECTOR	HEAD OF INSTITUTIONAL SALES	SB EQUITIES, INC.	RE-DESIGNATION
22-May-15	ANDRADE	MICHAEL ANGELO ROA-YU	TRADER/ SALESMAN	-	EAST WEST CAPITAL CORPORATION	RESIGNATION (did not apply for clearance to join other broker)
31-May-15	ORDAS	FLORDELIZA S.	TREASURY ASSISTANT	-	R. COYIUTO SECURITIES, INC.	RETIREMENT
31-May-15	FECHALIN	ALLAN C.	TRADER/ SALESMAN	-	EAST WEST CAPITAL CORPORATION	RESIGNATION (clearance under process)
01-Jun-15	SAN PEDRO	CRISTOPHER ADRIAN T.	-	TRADER/ SALESMAN	EAST WEST CAPITAL CORPORATION	NEW EMPLOYMENT
08-Jun-15	ENRIQUEZ	NINA SAMANTHA U.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
08-Jun-15	COLAYCO	KRISTINE MARIELLE M.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
08-Jun-15	DIAZ	BRIAN RICHARD C.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
08-Jun-15	PURAY	ALEXANDER DAVID A.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
09-Jun-15	JORDANA	HANNAH M.	-	RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jun-15	VELANO	GENNA G.	-	ACCOUNTING CLERK	INTRA-INVEST SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-15	TAPANG	JOSE BENEDICTO I.	FINANCIAL ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance withheld)
10-Jun-15	UY	TIFANNY JOYCE T.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
10-Jun-15	GAN	HERMINA MARIE C.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
11-Jun-15	GARCIA	RHOGEN AUBREY L.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Jun-15	MARTINEZ	FERNANDO B.	SALESMAN	-	EAGLE EQUITIES, INC.	RESIGNATION (cleared)
15-Jun-15	MELCHOR	ATTY. BETTINA R.	ASSISTANT CORPORATE SECRETARY	-	MERIDIAN SECURITIES INCORPORATED	RESIGNATION
15-Jun-15	ESCANER	CALIXTO JR. P.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Jun-15	NG	CHRISTIAN ANTHONY P.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Jun-15	BIACO	RAYMOND D.	-	CONTRACTUAL - ACCOUNTING ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Jun-15	GO	NORMAN JAY F.	HEAD - CEBU SALES	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
16-Jun-15	FERNANDEZ	ZAREX G.	-	ASSOCIATE - ACCOUNTING	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-15	CARLOS	JOHN EMMANUEL C.	-	SALESMAN	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-15	PUA	LEONARD P.	-	SALESMAN	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-15	PIZARRO	MARTIN AMANCITO S.	-	SALESMAN	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
20-Jun-15	SALES	ANNA LIZA T.	ACCOUNTING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
20-Jun-15	CRUZ	LEA S.	ASSOCIATE - ACCOUNTING	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
21-Jun-15	DELA CRUZ	EDGARDO A.	ACCOUNTING CLERK	-	INTRA-INVEST SECURITIES, INC.	RESIGNATION (cleared)
22-Jun-15	YU	JEREMY JOHNSON N.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
22-Jun-15	YU	TIFANNY ANNE N.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
23-Jun-15	BATE	CALINDA S.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
25-Jun-15	OLAR	ANECITA R.	-	SALESPERSON	VICSAL SECURITIES & STOCK BROKERAGE, INC.	ADDITIONAL DESIGNATION
30-Jun-15	WALDRON	ANNE M.	DIRECTOR	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
30-Jun-15	MIRADOR	JEMELLE C.	ACCOUNTANT	-	S. J. ROXAS & CO., INC.	RESIGNATION (without clearance)
30-Jun-15	CAASI	GENE C.	SECRETARY	ACCOUNTANT	S. J. ROXAS & CO., INC.	RE-DESIGNATION
30-Jun-15	LIVELO	GLENDA B.	ACCOUNTANT	-	OPTIMUM SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-15	DIPASUPIL	LOURDES CELESTIAL G.	AVP-EQUITY SALES	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-15	ELOPRE	ROJANE C.	-	DIRECTOR	SUPREME STOCKBROKER, INC.	NEW EMPLOYMENT
30-Jun-15	DIZON	ERLINDA V.	DIRECTOR	-	SUPREME STOCKBROKER, INC.	RESIGNATION (no clearance required)
30-Jun-15	TAPAR	JONATHAN V.	ASSOCIATE - ACCOUNTING	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
01-Jul-15	NAVAL	CATHYLIN B.	-	TRADER/ SALESMAN	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jul-15	RAYMOND	BRIAN ALDRICH A.	-	ANALYST	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT

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01-Jul-15	SANTOS	SARAH NICOLE T.	-	EQUITY RESEARCH ANALYST	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
01-Jul-15	CHIONGBIAN-HUANG	MARY BRIDGET M.	SALESMAN	-	BA SECURITIES, INC.	RESIGNATION (cleared)
01-Jul-15	REYES-LAO	MARC I.	FUNDAMENTAL ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Jul-15	BAUTISTA	JOSE ALEXANDRO C.	-	SPECIALIST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-15	COBARRUBIAS	RALPH J.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-15	SAMTANI	SHANELLE	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-15	NOFUENTE	LEXTER G.	-	MESSENGER/ CLERK	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
01-Jul-15	PABLO	KHRISTINE JAN C.	-	ONLINE MARKETING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-15	NEPOMUCENO	LARA PATRICIA C.	-	ACCOUNTING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-15	JUNTILLA	JOANNE Z.	SETTLEMENT SPECIALIST	SETTLEMENTS HEAD	HDI SECURITIES, INC.	RE-DESIGNATION
01-Jul-15	DE GUZMAN	NINA LEE A.	ACCOUNTING ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Jul-15	METAL	ADRIAN F.	-	ACCOUNTING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-15	BALILA	ALDWIN J.	-	ASSISTANT MANAGER IT DEPARTMENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-15	SEVILLA	HAYDEE D.	SETTLEMENTS ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
01-Jul-15	CONDAT	MA. THERESA B.	-	ACCOUNTANT	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-15	CAPIRAL	JOANNA ANGELA M.	SENIOR EQUITY ANALYST	HEAD OF RESEARCH	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-Jul-15	SORIANO	IGNACIO JOSE J.	RESEARCH DEPARTMENT HEAD	HEAD OF SALES AND TRAINING	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-Jul-15	GONZALES	FERNANDO E.	-	MESSENGER COLLECTOR	QUALITY INVESTMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-15	ALBONG	ROJEM R.	-	MESSENGER COLLECTOR	QUALITY INVESTMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-15	GO	NORMAN JAY F.	-	SALES	SB EQUITIES, INC.	NEW EMPLOYMENT
01-Jul-15	ZALAMEDA	IVAN L.	-	SALES	SB EQUITIES, INC.	NEW EMPLOYMENT

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01-Jul-15	DELINGON	JOEY D.	-	JR. ASSOCIATE/ MESSENGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-15	MUESCO	CLAUDINE A.	INTERN - PRACTICUM	-	YU & COMPANY, INC.	RESIGNATION (cleared)
01-Jul-15	REYES	MARVIN T.	MANAGEMENT TRAINEE	AUTHORIZED TRADER	YU & COMPANY, INC.	RE-DESIGNATION
03-Jul-15	MAGUIAT	CHRISTINE L.	ACCOUNTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION (clearance under process)
03-Jul-15	MANAYAG	CORYESMA S.	-	ONLINE HEAD	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
03-Jul-15	GARCIA	LOURDES G.	OFFICE MANAGER	-	PAPA SECURITIES CORPORATION	RETIREMENT
03-Jul-15	TEJADA	RON ANDREI FROILAN A.	IT ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION
04-Jul-15	DESIDERIO	JOSE LORENZO A.	MARKETING COMM. COORDINATOR AND GRAPHIC DESIGNER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
06-Jul-15	MENCHACA	JUAN MIGUEL	-	JR. EXECUTION TRADER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
06-Jul-15	LUCERO	JONATHAN M.	CONTRACTUAL	MESSENGER	RTG & CO., INC.	RE-DESIGNATION
06-Jul-15	BORJA	EVANGELINE J.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
06-Jul-15	TY	SIGMUND WHILHELM M.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jul-15	TAN	ALEXIS GEORGETTE L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jul-15	PICONES	ROCETTE C.	-	TRAINEE	YU & COMPANY, INC.	NEW EMPLOYMENT
07-Jul-15	CHIONGBIAN-HUANG	MARY BRIDGET M.	-	SALESMAN	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
08-Jul-15	BELDUA	ROXAN	-	CLIENT ACQUISITION TEAM LEAD - CONTRACTUAL	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
08-Jul-15	DIAZ	BRIAN RICHARD C.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
08-Jul-15	BACANI	CARMELINO P.	AUTHORIZED TRADER	-	YU & COMPANY, INC.	DECEASED
10-Jul-15	BRIMO	MATTHEW M.	RESEARCH INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION (no clearance required)
10-Jul-15	MARCON	MERYL MAE S.	INDEPENDENT DIRECTOR	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
10-Jul-15	SANTOS	SARAH NICOLE T.	TRAINEE	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)

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13-Jul-15	ABANADOR	DANICCA D. S.	-	BROKERAGE CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
13-Jul-15	SABELLINA	LOURDES ROWENA P.	-	PUBLIC RELATIONS SPECIALIST	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
14-Jul-15	NANO	MARIGENE D.	SETTLEMENT STAFF	ASSISTANT ASSOCIATED PERSON	SUNSECURITIES, INC.	RE-DESIGNATION
14-Jul-15	SORIANO	DAVID ERNEST B.	TRAINEE - SALESMAN	SALESMAN/ TRADER	SUNSECURITIES, INC.	RE-DESIGNATION
15-Jul-15	CARLOS	LUIS D.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jul-15	TAN	GEMMA ADELEINE K.	RISK MANAGEMENT TRAINEE	RISK MANAGEMENT OFFICER	CITISECURITIES, INC.	RE-DESIGNATION
15-Jul-15	DE LOS REYES	AILAH H.	RISK MANAGEMENT TRAINEE	RISK MANAGEMENT OFFICER	CITISECURITIES, INC.	RE-DESIGNATION
15-Jul-15	ATANACIO	MARIA LUISA A.	ACCOUNTING CLERK	-	QUALITY INVESTMENT & SECURITIES CORPORATION	RESIGNATION (cleared)
16-Jul-15	TIU	BARBARA APRIL C.	-	ASSOCIATED PERSON	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-15	PANGILINAN	LEA C.	-	ACCOUNTING HEAD	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-15	ENRIQUEZ	NINA SAMANTHA U.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
16-Jul-15	NG	CHRISTIAN ANTHONY P.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
16-Jul-15	KABIGTING	RAMON VICENTE T.	-	CHIEF STRATEGIST (ANALYST)	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jul-15	SALVADOR	MARK ANTHONY P.	ASSISTANT COMPLIANCE	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION
17-Jul-15	CRUZ	CHARMAINE B.	ACCOUNTANT	-	TIMSON SECURITIES, INC.	RESIGNATION
17-Jul-15	MANIMBO	PATRICIA MARIE I.	ACCOUNTING ASSISTANT	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance to join other broker)
20-Jul-15	SALVADOR	MARK ANTHONY P.	-	ASSISTANT ASSOCIATED PERSON	DEUTSCHE REGIS PARTNERS, INC.	NEW EMPLOYMENT
20-Jul-15	MAGABILI	ANGELA MAY C.	ASSOCIATED PERSON	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (no clearance required)
20-Jul-15	LUNA	ARMANDO M.	VP ASSOCIATED PERSON	VP ASSOCIATED PERSON/ COMPLIANCE OFFICER	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION

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20-Jul-15	AGUIRRE	RAMON JOSE E.	-	RESEARCH ANALYST, EQUITIES RESEARCH	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
22-Jul-15	ANSELL	MARK JONATHAN	-	DIRECTOR	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
22-Jul-15	RACE	MARK ROME	INSTITUTIONAL SALESPERSON	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
23-Jul-15	BASE	CHRISTINE P.	-	ASSISTANT CORPORATE SECRETARY	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
23-Jul-15	MACAPAGAL	ROMMEL RONAL M.	-	SALESMAN AGENT	SB EQUITIES, INC.	NEW EMPLOYMENT
23-Jul-15	MUTYA	MARLON P.	-	SALESMAN AGENT	SB EQUITIES, INC.	NEW EMPLOYMENT
24-Jul-15	HUANG	TRISTAN LUKE M.	SALESMAN	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
24-Jul-15	ILAGAN	PATRICIA VICTORIA G.	-	EQUITY ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
30-Jul-15	GARRUCHO	MARIA CLARA B.	-	ON THE JOB TRAINEE	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
30-Jul-15	POBLADOR	HANK C.	-	ON THE JOB TRAINEE	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
31-Jul-15	RAYOS DEL SOL	JANE MICAELA R.	-	MARKETING COMMUNICATION SPECIALIST	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
01-Aug-15	CORPUZ	RENTZ ANGELO P.	-	SALESMAN TRAINEE (until December 31, 2015)	R. COYIUTO SECURITIES, INC.	EXTENSION OF INTERNSHIP