



MEMORANDUM – 2015-022

To : All Trading Participants


Subject : Employee Movement

Date : 4 September 2015

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2015.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
06-Jul-15	CANALES	MADILYN B.	-	SETTLEMENT ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Jul-15	SAN MIGUEL	ATTY. ISAAH G.	-	ASSISTANT CORPORATE SECRETARY	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
16-Jul-15	ENCARNACION	RHIZZA MAE P.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Jul-15	HUGO	VALERIE JEAN MARIE T.	EQUITY SALES ASSOCIATE	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)
20-Jul-15	ADONIS	LANY C.	-	OPERATIONS OFFICER	BDO SECURITIES, INC.	NEW EMPLOYMENT
23-Jul-15	TALAVERA	TRISTAN A.	-	SALESMAN/ AGENT	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
31-Jul-15	BATE	CALINDA S.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
31-Jul-15	ILAGAN	NOLIMAR L.	SENIOR ASSOCIATE - EQUITY SALES	SENIOR ASSOCIATE - OPERATION COORDINATOR/ CUSTODIAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
31-Jul-15	LAZA	BRIAN RAY C.	-	SALES AGENT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
31-Jul-15	SAMTANI	SHANELLE	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Aug-15	ANGELO	PAUL MICHAEL A.	-	EQUITY ANALYST	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
01-Aug-15	CHING	CARBERT THOMPSON S.	-	IT HEAD	KING'S POWER SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-15	FONG	JONATHAN RYAN	-	RESEARCH ANALYST SPECIALIST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Aug-15	LINGA	CHERNOBYLLE P.	-	TREASURY STAFF TRAINEE (until December 31, 2015)	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-15	MERCADO	RASTINE MACKIE D,	FINANCIAL ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
01-Aug-15	RACE	MARK ROME M.	-	AVP-SALES HEAD	RCBC SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-15	TAGLE	VITTORIO STEFANO E.	INTERN	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
01-Aug-15	TRINIDAD	JOSE EDSER D.	RESEARCH HEAD	-	SB EQUITIES, INC.	RESIGNATION (cleared)
03-Aug-15	BONDOC	APRIL JOYCE M.	-	MARKETING COMM COORDINATOR AND GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
03-Aug-15	CRUZ	SHERRYL R.	SETTLEMENT ASSISTANT	AUTHORIZED TRADER	PNB SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
03-Aug-15	CURIA	MARIA TERESA S.	-	CONTRACTOR - RESEARCH ANALYST	J. P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
03-Aug-15	ELIZALDE	ANTONIO A.	-	RESEARCH TRAINEE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
03-Aug-15	FERNANDEZ	RANULFO D. JR.	-	TRADING OFFICER	BDO SECURITIES, INC.	NEW EMPLOYMENT
03-Aug-15	RAPIRAP	JEROME C.	-	OPERATIONS ASSOCIATE - MARGIN TRADING	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Aug-15	TORNEA	KIMBERLY M.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
10-Aug-15	KLOTZ	DIVINA G.	ADMINISTRATIVE ASSISTANT	SETTLEMENT ASSISTANT	PNB SECURITIES, INC.	RE-DESIGNATION
10-Aug-15	SEBELLO	JON ALVIN C.	-	SALESMAN	ACCORD CAPITAL EQUITIES CORPORATION	NEW EMPLOYMENT
14-Aug-15	FERNANDO	MICAH FILLIP L.	INTERNSHIP, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
14-Aug-15	LISBONA	MANUEL ANTONIO G.	ACTING PRESIDENT	PRESIDENT/ NOMINEE	PNB SECURITIES, INC.	RE-DESIGNATION
14-Aug-15	SEBASTIAN	ANTHONY N.	ACCOUNTING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
14-Aug-15	TAN	ALEXIS GEORGETTE L.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
14-Aug-15	TY	SIGMUND WILHELM M.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
14-Aug-15	YU	JEREMY JOHNSON N.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
15-Aug-15	QUEJADO	AUBREY S.	HR STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
15-Aug-15	SUPLALA	ROMAN JESUS U.	SALESMAN/ TRADER	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
17-Aug-15	HALOS	JOHN PATRICK R.	-	ADMINISTRATIVE ASSISTANT	PNB SECURITIES, INC.	NEW EMPLOYMENT
19-Aug-15	MIRANDA	MA. LUISA E.	ASSOCIATED PERSON	-	ANGPING & ASSOCIATES SECURITIES, INC.	RESIGNATION (cleared)
26-Aug-15	DIA	MARY FRANCE S.	TELEPHONE CLERK/ XTS USER	LICENSED SALESMAN/ XTS USER	TRANS-ASIA SECURITIES, INC.	RE-DESIGNATION
27-Aug-15	GAN	HERMINA MARIE C.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
27-Aug-15	PASION	TON-AR ROSES R.	MARKETING AND SALES ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)