



MEMORANDUM – 2015-026

To : All Trading Participants

Subject : Employee Movement

Date : 4 November 2015

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 October 2015.

For information and guidance.


CORNELIO C. GISON

President



DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
01-Jun-15	PALMERO	EMETERIO C. JR.	-	HR CONSULTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
23-Jun-15	PALMA	JOHN PAUL Y.	-	TRADER	UCPB SECURITIES, INC.	NEW EMPLOYMENT
19-Aug-15	SALVADORA	GAYLE P.	RESEARCH ASSISTANT	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
01-Sep-15	DEL ROSARIO	ROSALIE O.	-	ACCOUNTING ASSOCIATE	BA SECURITIES, INC.	NEW EMPLOYMENT
01-Sep-15	SEPNIO	ROSA LEAH Y.	-	SETTLEMENT ASSISTANT	BA SECURITIES, INC.	NEW EMPLOYMENT
01-Sep-15	LIM	RICHE LEVIN T.	SENIOR RESEARCH ANALYST	OIC OF RESEARCH UNIT	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Sep-15	SANTARINA	MARK CRISMON V.	IT NETWORK SYSTEMS ADMIN/ CERTIFIED SECURITIES REPRESENTATIVE	CERTIFIED SECURITIES REPRESENTATIVE/ ELECTRONIC TRADING	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
02-Sep-15	JARLOS	ANGELBERT A.	-	SALESMAN	INTRA-INVEST SECURITIES, INC.	NEW EMPLOYMENT
14-Sep-15	MIAPE	ALADIN T.	SPECIAL PROJECTS AND RECONCILIATION OFFICER	OIC OF ACCOUNTING AND REPORTS UNIT	BPI SECURITIES CORPORATION	RE-DESIGNATION
14-Sep-15	VERGARA	CAROLINE S.	-	RESEARCH ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
14-Sep-15	MANAYAG	CORYESMA S.	ONLINE TRADE HEAD	-	TIMSON SECURITIES, INC.	RESIGNATION
15-Sep-15	VASQUEZ	ANTHONY C.	MESSENGER	-	DW CAPITAL, INC.	RESIGNATION (cleared)
15-Sep-15	ABAD	BERNARD O.	ASSISTANT CORPORATE SECRETARY	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
16-Sep-15	ROQUE	SOTERO M. IV	RISK ASSOCIATE – CONTRACTUAL	COMPLIANCE ASSISTANT – REGULAR	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Sep-15	SALANG JR.	DIOSDADO C.	SENIOR DIRECTOR AND COO	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
16-Sep-15	ESPINOSA	ANNA BARBARA M.	-	HIGH NET WORTH SALES/TRADER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Sep-15	APA-AP	VIVINCIO F.	-	INFORMATION TECHNOLOGY CONSULTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
17-Sep-15	SOTOME	SYAMAYUKI E.	CLIENT RELATIONS REPRESENTATIVE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
18-Sep-15	COLLINS	SIMON PETER	DIRECTOR	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
19-Sep-15	MARQUEZ	JOHN JEROVENNI S.	SETTLEMENTS ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
21-Sep-15	CRUZ	MICHAEL PATRICK F.	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
24-Sep-15	ROMERO	ANNA RAQUEL D.	-	ASSOCIATE OPS MANAGER - OUTSOURCE	J. P. MORGAN SECURITIES PHILS., INC.	NEW EMPLOYMENT

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24-Sep-15	ILAGAN	PATRICIA VICTORIA G.	-	EQUITY ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
25-Sep-15	SARMIENTO	JEFFREY G.	SALESMAN/TRADER	-	HDI SECURITIES, INC.	RESIGNATION (clearance under process)
25-Sep-15	LANQUINO	PAUL S.	EXECUTIVE	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
25-Sep-15	PIZAÑA	MARIAN I.	OPERATION SUPPORT STAFF	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RESIGNATION (cleared)
28-Sep-15	GO	JOHN OLIVER O.	INSTITUTIONAL SALES/TRADER	SPECIAL PROJECT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
28-Sep-15	SARMIENTO	EFFREY G.	-	TRADER/ SALESMAN	EASTWEST CAPITAL CORPORATION	NEW EMPLOYMENT
28-Sep-15	MANASAN	MARY M.	-	ASSOCIATE	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
28-Sep-15	ACUZAR	MARIA ISABEL O.	-	INTERN	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
29-Sep-15	GOZON	ALBERT KEITH V.	SALESMAN/ AGENT	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Sep-15	MATEO	HUMBERTO D.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
30-Sep-15	KING	JEMY LYN S.	ASSISTANT MANAGER/ OPERATIONS OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Sep-15	YAP	JESSIE MARIE C.	ASSOCIATE OPS MANAGER- OUTSOURCE	-	J. P. MORGAN SECURITIES PHILS., INC.	RESIGNATION (no clearance required)
30-Sep-15	RAYMOND	BRIAN ALDRICH A.	-	ANALYST	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
30-Sep-15	GUARDIANO	KC LYN	-	FINANCIAL REPORTING ACCOUNTANT II	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
30-Sep-15	EXCONDE	VERONICA	ASSOCIATED PERSON	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION (clearance under process)
30-Sep-15	USON	ALYSSA DANETTE T.	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
01-Oct-15	UMALI	RENATO M. II	SENIOR MANAGER - MARKETING	ASSISTANT VICE PRESIDENT - MARKETING	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	ARGUELLES	CARLO LEONARDO D.	TRADER - MARKETING	ASSISTANT MANAGER - MARKETING	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	SACUEZA	REBECCA M.	SETTLEMENTS HEAD	CASHIERING HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	JUNIO	GRETCHEN MARIE A.	SETTLEMENTS ASSISTANT	SETTLEMENTS HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	TIU	ALEXANDER ADRIAN O.	MANAGER - RESEARCH	SENIOR MANAGER - RESEARCH	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION

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01-Oct-15	ENRIQUEZ	RICARDO E.	DIRECTOR	DIRECTOR AND TREASURER	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	ANDRES	CONRADO I. V	-	SALESMAN	BA SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	CAPULONG	KRISTINE ANGELICA G.	MARKETING ASSOCIATE – CONTRACTUAL	MARKETING ASSOCIATE – REGULAR	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-15	PAGTAKHAN	LORENZO MIGUEL L.	CUSTOMER SERVICE ADMIN – CONTRACTUAL	CLIENT RELATIONS ASSOCIATE – REGULAR	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-15	PANALIGAN	MARELIZA H.	HEAD, OPERATIONS	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Oct-15	BASCUGUIN	BUENA M.	SHARES SETTLEMENT HEAD	HEAD OF OPERATIONS	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-15	LUCIANO	JOHN MARTIN L.	-	RESEARCH ASSISTANT	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	RAMOS	JOYCE ANNE J.	-	SENIOR RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	AGSAOAY	DIANA A.	-	SHARES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	VENERACION	VOLTAIRE D.	-	CHIEF TECHNOLOGY OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	OUANO	GARIE G.	RESEARCH ANALYST	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Oct-15	GREGORIO	KATHLEEN KAY T.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Oct-15	SALAZAR	ALYSSA MARIE E.	SALES TRAINEE	SALESMAN	DA MARKET SECURITIES, INC.	RE-DESIGNATION
01-Oct-15	LIM	JAN MICHAEL S.	-	DEPARTMENT HEAD FAD	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Oct-15	ABRAHAM	MA THERESA I.	DEPARTMENT HEAD - FINANCIAL ACCOUNTING	COMPLIANCE OFFICER/ COORDINATOR	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Oct-15	TINIMBANG	JERVIS C.	DEPARTMENT HEAD - INSTITUTIONAL TRADING	SECTION HEAD - INSTITUTIONAL TRADING	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Oct-15	LOBIANO	HERSHEY C.	ADMINISTRATIVE OFFICER	SECTION HEAD - ADMINISTRATIVE SERVICES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Oct-15	PENTINIO	MARIE BEL'R.	ONLINE SALES DEALER	PROPRIETARY TRADER AND MARKET MAKER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Oct-15	MOSTOLES	JAZELINE JANE G.	-	STAFF (SPECIAL PROJECT)	HDI SECURITIES, INC.	NEW EMPLOYMENT
1-Oct-15	MENDOZA	JAMES DANIEL C.	STAFF (SPECIAL PROJECT)	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Oct-15	ORTEGA-CORTEZ	ATTY. ANGELITA L.	CORPORATE SECRETARY	-	PCIB SECURITIES, INC.	RESIGNATION
01-Oct-15	SANTOS	ATTY. MA. CECILIA S.	-	CORPORATE SECRETARY	PCIB SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	MARTINEZ	FERNANDO B.	-	AUTHORIZED SALESMAN	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT

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01-Oct-15	ENRIQUEZ	FERNANDO M.	-	SALES TRADER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	AGERO	ANGELYNE ANN S.	DEALER/ TRADER/ SALES TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
01-Oct-15	CONTRERAS	ATTY. SEAN CYRIL R.	CORPORATE SECRETARY	-	SARANGANISECURITIES, INC.	RESIGNATION
01-Oct-15	LIMQUECO	ATTY. LANCELOT S.	-	CORPORATE SECRETARY	SARANGANISECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	CHAN	ATTY. DENNIS ARVIN L.	-	ASSISTANT CORPORATE SECRETARY	SARANGANISECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	GLEAN	MARIA LIZA L.	-	ASSOCIATED PERSON	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	CHAN	DENNIS ARVIN L.	-	ASSISTANT CORPORATE SECRETARY	SARANGANISECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	PILAPIL	KHYLE NICCOLO S.	TECHNICAL ANALYST	TRADER	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-15	CRUDO	PAOLO	-	I.T. CONSULTANT & MAINTENANCE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	BENGZON	JOSE VICENTE III	-	MANAGEMENT CONSULTANT	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-15	ANASTACIO	EDERLYN A.	-	ACCOUNTANT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-15	AGUIRRE	MICHELLE A.	MANAGEMENT TRAINEE	AUTHORIZED TRADER	YU & COMPANY, INC.	RE-DESIGNATION
03-Oct-15	TORRES	GRACE ANNE L.	ON THE JOB TRADING	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
05-Oct-15	PRAGEDES	ROWENA C.	-	ADMIN STAFF FOR RESEARCH	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
06-Oct-15	SABANAL	EUPHEMIA	ASSOCIATED PERSON	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	DECEASED
07-Oct-15	FERNANDEZ	ROWELL D.	TECHNICAL SUPPORT	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (without clearance)
07-Oct-15	GARCIA	CELESTELLE THERESE S.	-	ASSOCIATED PERSON	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEWLY APPOINTED
07-Oct-15	ONG	ALLAINE MICHAEL V.	-	SALESMAN TRAINEE	SUNSECURITIES, INC.	NEW EMPLOYMENT
08-Oct-15	DELA PAZ	GERMAN T. III	-	INVESTMENT ANALYST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
08-Oct-15	ALMONTE	ISABELO S.	-	TECHNICAL SUPPORT	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
08-Oct-15	BUCAG	ALFONSO LUIS B.	CONTRACTOR, CLIENT TRADING AND EXECUTION	EXECUTION TRADER	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
09-Oct-15	DEE	DESIREE JAYNE R.	ADMINISTRATIVE ASSITANT	-	GLOBALINKS SECURTIES & STOCKS, INC.	RESIGNATION (without clearance)
13-Oct-15	TAN	KIM ALEXIS N.	-	CUSTOMER CARE ADMIN – CONTRACTUAL	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
15-Oct-15	TORRES	LUTHER HOWELL B.	-	NET DEVELOPER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT

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15-Oct-15	ESTELLA	OLIVER ALFONSO	-	NET DEVELOPER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
15-Oct-15	TAN	RAY GERALD G.	-	CSR - CONSULTANT	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
15-Oct-15	SANTOS	KAREN KATE D.	-	CLIENT ACQUISITION OFFICER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
15-Oct-15	BERNARDINO	MIKHAIL PIERREANGELO B.	-	MARKET RESEARCH OFFICER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
15-Oct-15	MABIOG	ALBERTO M.	CERTIFIED SECURITIES REPRESENTATIVE	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
16-Oct-15	RONQUILLO	NICK ANGELIE T.	-	FRONT OFFICE ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Oct-15	MENDOZA	ANGELINE B.	-	ASST. MANAGER - DIGITAL MARKETING HEAD	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
19-Oct-15	DE GUZMAN	CRISTINE T.	-	OPERATIONS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
19-Oct-15	YAP	NOEL E.	-	IT STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
19-Oct-15	LAO	LORNA D.	JR ACCOUNTANT I	COMPLIANCE ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
20-Oct-15	GINO	RODENGILBERT S.	MESSENGER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
23-Oct-15	NATIVIDAD	PETER PAUL T.	-	SALESMAN	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
26-Oct-15	LICAROS	ROBERT D.	INDEPENDENT DIRECTOR	-	FIRST ORIENT SECURITIES, INC.	RESIGNATION (cleared)
26-Oct-15	LOCSIN	RENATO Z.	-	INDEPENDENT DIRECTOR	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
26-Oct-15	BALBAS	DIWATA R.	-	HR MANAGER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT