



## MEMORANDUM – 2015-028

**To** : ALL TRADING PARTICIPANTS

**Subject** : TRADING PARTICIPANTS' FORUM

**Date** : 01 DECEMBER 2015

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In view of the effectivity of the 2015 Implementing Rules and Regulations of the Securities Regulation Code (2015 SRC Rules), the Capital Markets Integrity Corporation (CMIC) has organized a seminar for Trading Participants (TPs) to be held on **16 December 2015 from 12:00 to 4:00 in the afternoon** at the **Asian Institute of Management/AIM Conference Center, Benavidez Cor. Trasierra St., Legaspi Village, Makati City**. CMIC has invited representatives from the Securities and Exchange Commission (SEC) to discuss the salient provisions of the 2015 SRC Rules.

To duly accommodate all the prospective attendees, each TP shall send only one (1) representative. To confirm attendance, the TPs are requested to fill out the attached *Commitment Form*, which may be personally submitted to CMIC at its offices located at Unit 27-E1 Tower 1 & Exchange Plaza, Ayala Triangle, Ayala Avenue, Makati City, or may be delivered through fax (+632 856 3058) or email (info@cmic.com.ph).

A fee of PhP 1,600.00 shall also be charged to cover the food and seminar costs.

Deadline of registration and payment is on **11 December 2015**. Payments shall be made at the CMIC offices and the same may either be in cash or through checks, which shall be made payable to "Capital Markets Integrity Corporation". Collection of the payments shall be done by **Ms. Anne C. Gabriel**.

For inquiries relative to this matter, please contact **Mr. Kenneth A. De Castro** or **Ms. Mariel A. Cababa** at +632 819 4494.

  
**CORNELIO C. GISON**  
*President*



**The Capital Markets Integrity Corporation**  
cordially invites the Trading Participants to a

## *Trading Participants' Forum*

to be held on 16 December 2015 from 12:00 PM to 4:00 PM  
at the **AIM Conference Center Manila**  
Benavidez Cor., Trasierra St. Legaspi Village, Makati City

Forum Topic:

## **2015 Implementing Rules and Regulations of the Securities Regulation Code (2015 SRC Rules)**

Registration fee: PhP1,600.00

The **registration** will start at **12:00 PM**  
And the seminar proper will start promptly at 1:00 PM

For reservations, please accomplish the attached Commitment Form and send to fax # 856-3058  
Or email ([info@cmic.com.ph](mailto:info@cmic.com.ph)) or contact Kenneth De Castro/Mariel Cababa (819-4494)

### COMMITMENT FORM

Date: \_\_\_\_\_

Name of Trading Participant: \_\_\_\_\_

Tel. No.: \_\_\_\_\_

Seminar Attendee (Name/Position) -

*Name*

*Position*

*Reservation made by:*

*Name and Signature (include Position)*