



MEMORANDUM – 2016-002

To : All Trading Participants

Subject : Employee Movement

Date : 7 January 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2015.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
05-Nov-15	MAGAHUM	EMERALD P.	-	ASSISTANT OPERATIONS HEAD	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
06-Nov-15	GRAY	CHRISTOPHER JAMES M.	DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
06-Nov-15	TI	BRADFORD KYLE K.	ASSOCIATE DIRECTOR	ASSOCIATE DIRECTOR/ HEAD OF INSTITUTIONAL SALES/ DIRECTOR	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
09-Nov-15	ANGELES	ERWIN M.	DEALER	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
09-Nov-15	MABUBAY	MARJORIE F.	-	ADMINISTRATIVE STAFF (CONTRACTUAL), TREASURY	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
13-Nov-15	SUCHARITKUL	ARDDANAI M.	DIRECTOR	-	J. P. MORGAN SECURITIES PHILS., INC.	RESIGNATION (no clearance required)
13-Nov-15	LEE	NELSON B.	-	INDEPENDENT DIRECTOR	TRI-STATE SECURITIES, INC.	NEW EMPLOYMENT
13-Nov-15	ROBENIOL	GABRIEL T.	INDEPENDENT DIRECTOR	-	TRI-STATE SECURITIES, INC.	RESIGNATION (no clearance required)
16-Nov-15	TIU	BENJAMIN C.	MARKETING ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
16-Nov-15	FERNANDEZ	VICTOR IMMANUEL F.	-	RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Nov-15	BALTAZAR	DAHLIA C.	IT ASSISTANT	ASSISTANT COMPLIANCE	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
16-Nov-15	MANUEL	ZENY H.	JR. ACCOUNTANT II	JR. ACCOUNTANT II	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
16-Nov-15	DE GUZMAN	FRANCO LORENZO G.	-	BUSINESS DEVELOPMENT OFFICER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Nov-15	GARRUCHO	MARIA CLARA B.	ON THE JOB TRAINEE	-	STRATEGIC SECURITIES CORPORATION	RESIGNATION (no clearance required)
20-Nov-15	POBLADOR	HANK C.	ON THE JOB TRAINEE	-	STRATEGIC SECURITIES CORPORATION	RESIGNATION (no clearance required)
23-Nov-15	FLORES	MATT ELVIS A.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
23-Nov-15	LORENZO	CHRISTOPHER G.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
23-Nov-15	COLAYCO	KRISTINE MARIELLE M.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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23-Nov-15	PEREZ	PATRICK ANDREW O.	SALESMAN	-	INTRA-INVEST SECURITIES, INC.	RESIGNATION
23-Nov-15	CUSTARD	PRESCILDA B.	INDEFINITE VACATION LEAVE AS TRANSFER CLERK	TRANSFER CLERK	YU & COMPANY, INC.	RESUME TO WORK
24-Nov-15	DELA PERI	CLENT JOSEPH C.	-	IT SYSTEMS SUPPORT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
25-Nov-15	ONGPIN	MARIANNA M.	DIRECTOR, HEAD OF INSTITUTIONAL SALES	SENIOR DIRECTOR, HEAD OF INSTITUTIONAL SALES	BPI SECURITIES COPORATION	RE-DESIGNATION
27-Nov-15	TORNEA	KIMBERLY M.	HR STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
27-Nov-15	VELASCO	KEVIN TRISTAN S.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
28-Nov-15	JAVIER	RAFAEL ALFONSO B.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
28-Nov-15	GUARIN	ERLINDA E.	OPERATIONS STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
30-Nov-15	COLIANGCO	ELAINE G.	SALES AGENT	-	EAGLE EQUITIES, INC.	RESIGNATION (cleared)
01-Dec-15	LIM	RUFFA C.	OFFICE ASSISTANT	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Dec-15	BAÑGAYAN	RON BRYAN L.	-	ACCOUNTING ASSISTANT	AP SECURITIES INCORPORATED	NEW EMPLOYMENT
01-Dec-15	JAVIER	KIM M.	-	ACCOUNTING ASSISTANT	AP SECURITIES INCORPORATED	NEW EMPLOYMENT
01-Dec-15	ZARATE	CARMEL TRINA V.	-	ACCOUNTING ASSISTANT	AP SECURITIES INCORPORATED	NEW EMPLOYMENT
01-Dec-15	CRUZ	CHRISTINE ROSE F.	CUSTOMER SERVICE ADMIN - CONTRACTUAL	CLIENT RELATIONS ASSOCIATE - REGULAR	BPI SECURITIES COPORATION	RE-DESIGNATION
01-Dec-15	RAMOS	JOYCE ANNE J.	SENIOR RESEARCH ANALYST	-	BPI SECURITIES COPORATION	RESIGNATION (clearance under process)
01-Dec-15	NOYNAY	JOSEPH BRIAN S.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Dec-15	CHAN	PEDRO Q.	-	ASSISTANT TO THE VICE PRESIDENT	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-15	GIMENEZ	IGNACIO SALVADOR III R.	DIRECTOR/ CHAIRMAN/ CEO	DIRECTOR/ CHAIRMAN/ CEO/ PRESIDENT	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
01-Dec-15	CARLYLE	JONATHAN S.	PRESIDENT/ DIRECTOR	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (no clearance required)
01-Dec-15	MANAHAN	JOANNA M.	-	PNB DESIGNATE ACTING	PNB SECURITIES, INC.	NEW EMPLOYMENT

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				TREASURER		
01-Dec-15	ADORNADO	MARK BONIE A.	-	SETTLEMENT ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-15	FABREGAS	AVE	ACCOUNTANT	-	PREMIUM SECURITIES, INC.	RESIGNATION
01-Dec-15	CRUZ	KRYSTYN MARIEL M.	ACCOUNTANT TRAINEE	ACCOUNTANT	PREMIUM SECURITIES, INC.	RE-DESIGNATION
01-Dec-15	TUANQUI	PRINCESS EMMARIE O.	-	ACCOUNTING CLERK	QUALITY INVESTMENT & SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-15	YAP	YOLANDA U.	ASSOCIATED PERSON - TRAINEE	CONSULTANT	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
01-Dec-15	ZUNIGA	MARILEN B.	AVP - OPERATIONS HEAD/ CFO	VP - OPERATIONS HEAD / CFO	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Dec-15	BAUTISTA	GABRIEL J.	-	SALESMAN	TANSENGCO & COMPANY, INC.	NEW EMPLOYMENT
01-Dec-15	BIACO	RAYMOND D.	CONTRACTUAL - ACCOUNTING ASSOCIATE	PROBATIONARY - ACCOUNTING ASSOCIATE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Dec-15	DELA CRUZ	MARILYN A.	-	CONTRACTUAL - ACCOUNTING CLERK	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-15	CEÑIDOZA	MARA M.	TRANSFER & SETTLEMENT CLERK TRAINEE	ASSISTANT TRANSFER CLERK	YU & COMPANY, INC.	RE-DESIGNATION
02-Dec-15	SIA	NATHAN ANDREW L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Dec-15	CAMPOS	RAMON GERARDO D.	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)
07-Dec-15	LAGUNILLA	MARK KEVIN S.	-	INVESTMENT ANALYST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
07-Dec-15	PANO	GRETCHEN R.	-	SETTLEMENT OFFICER	SB EQUITIES, INC.	NEW EMPLOYMENT
08-Dec-15	PASCUAL	SHERMIN MAE D.	-	ACCOUNTING STAFF	CITISECURITIES, INC.	NEW EMPLOYMENT
08-Dec-15	ONG	ALLAINE MICHAEL V.	SALESMAN TRAINEE	SALESMAN/ ACCOUNT EXECUTIVE	SUNSECURITIES, INC.	RE-DESIGNATION
09-Dec-15	FONG	JONATHAN RYAN C.	RESEARCH ANALYST - SPECIALIST	-	BPI SECURITIES COPORATION	RESIGNATION (clearance under process)
10-Dec-15	BONILLA	CLARO P.	-	SENIOR DIRECTOR & CHIEF OPERATING OFFICER	BPI SECURITIES COPORATION	NEW EMPLOYMENT
11-Dec-15	MAPA	GERARD	LICENSED SALES AGENT	-	SUMMIT SECURITIES, INC.	RESIGNATION (clearance under process)
14-Dec-15	ROMERO	PATRICIA MAE M.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
14-Dec-15	USON	FARRA MAY C.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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14-Dec-15	ANDRES	ANN TAMAMI I.	-	TRAINEE	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
16-Dec-15	GREGORIO	KATHLEEN KAY T.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
16-Dec-15	CORPUZ	RENZ ANGELO P.	SALESMAN TRAINEE	CUSTOMER SERVICE OFFICER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
16-Dec-15	LINGA	CHERNOBYLE P.	TREASURY STAFF TRAINEE	TREASURY STAFF - CONTRACTUAL	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
18-Dec-15	TALAVERA	TRISTAN A.	SALES AGENT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
21-Dec-15	LORENZO	SHEENA ANN K.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
28-Dec-15	GO	JIMMY LLOYD A.	DEALER	SALES TRADER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
30-Dec-15	GARCIA	GILPATRICK R.	DIRECTOR/ SALESMAN/ ASSISTANT CORPORATE SECRETARY	-	VALUE QUEST SECURITIES CORPORATION	RESIGNATION (cleared)
31-Dec-15	JOSE	FRANCISCO JAVIER E.	TREASURER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-15	MACARAYA	ROMEO P.	SALESMAN	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-15	RAMOS	CHRISTIAN C.	SALESMAN	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-15	VITAL	VILLAMOR D.	SALESMAN	-	DA MARKET SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-15	DISUANCO	HOYLE RAUL E.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (clearance under process)
31-Dec-15	CAPARANGCA JR.	WILFREDO A.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (clearance under process)
31-Dec-15	RODRIQUEZ	WILHELMINA M.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (clearance under process)
31-Dec-15	TRILLES	JOSE ANDY R.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (clearance under process)
01-Jan-16	LOPEZ	FRANCIS CARLO G.	LICENSED SALESMAN	AGENT	VENTURE SECURITIES, INC.	RE-DESIGNATION