



MEMORANDUM – 2016-006

To : All Trading Participants

Subject : Employee Movement

Date : 9 February 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2016.

For information and guidance.

A handwritten signature in blue ink, appearing to read 'gymie', is positioned above the printed name of the signatory.

GRACE M. CALUBAQUIB

Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
08-Oct-15	SAMILIN	KENNETH ARIES S.	SHARE SETTLEMENT SUPPORT	-	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
25-Nov-15	ARAMBULO	ARCHIMEDES I.	ASSOCIATE DIRECTOR	ASSOCIATE DIRECTOR - HEAD, DEALING	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	FAJARDO	BENNETTE EDWARD JOHN G.	JUNIOR INVESTMENT ANALYST	RESEARCH ANALYST	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	MACAPAGAL	JANICE A.	FRONT OFFICE OFFICER	HEAD, FRONT OFFICE	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	LUMEN	KAREN FAYE L.	ACCOUNTING ASSOCIATE - STAFF	ACCOUNTING ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	DIMAFELIX	PAULA CAMILLE R.	SHARE SETTLEMENT ASSOCIATE	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	CABRERA	JENNY R.	HEAD, ACCOUNTING AND REPORTS UNIT	ACCOUNTING & REPORTS OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	ALPUERTO	GINAVEE M.	HEAD, CASH SETTLEMENT UNIT	CASH SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	GANGCUANGO	MARK ANTHONY C.	HIGH NET WORTH SALES TRADER	HNW SALES YTOM	BPI SECURITIES CORPORATION	RE-DESIGNATION
25-Nov-15	VALDE	BERNADETH V.	SETTLEMENTS OFFICER	SECURITIES SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
27-Nov-15	BELGICA	HILBERT E.	MESSENGER	-	OPTIMUM SECURITIES CORPORATION	DECEASED
28-Nov-15	JAVIER	RAFAEL ALFONSO B.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
01-Dec-15	BALON	MARK AMADEO B.	-	TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-15	ALDAY	FRANCIS NICOLI L.	-	TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
04-Dec-15	HIZON	PAOLO ANGELO C.	ASSOCIATE, EQUITY SALES ONLINE	ASSOCIATE, BUSINESS DEVELOPMENT MARKETING	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
10-Dec-15	VELANO	GENNA G.	ACCOUNTING CLERK - PROBATIONARY	ACCOUNTING CLERK - PERMANENT	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
14-Dec-15	ENCINAS	KIMBERLY ANNE O.	-	RISK SUPPORT - CONTRACTUAL	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
15-Dec-15	VALERO	ANGELO N.	OFFICE CLERK	-	R & L INVESTMENTS, INC.	RESIGNATION (clearance under process)
16-Dec-15	PARUNGAN	LOUIE ALBERT R.	MESSENGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
18-Dec-15	CO	ANDRES U.	DIRECTOR/ TREASURER	-	TRI-STATE SECURITIES, INC.	DECEASED
22-Dec-15	RAYNES	LANI ROSE A.	SETTLEMENT HEAD	ADMIN/ SUPPORT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION

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23-Dec-15	DY	VIC-RAYMOND	TRAINEE	-	INVESTORS SECURITIES, INC.	RESIGNATION
29-Dec-15	COBARRUBIAS	RALPH J.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
29-Dec-15	DE JESUS	MELINDA B.	CONSULTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (no clearance required)
29-Dec-15	CASIPLE	IRENE B.	ADMINISTRATIVE ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
29-Dec-15	VIRAY	ZENAIDA M.	MANAGER	-	LUY'S SECURITIES COMPANY, INC.	RESIGNATION (no clearance required)
29-Dec-15	BAUTISTA	ABBEY DALE I.	SENIOR RESEARCH ANALYST	-	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RESIGNATION (cleared)
29-Dec-15	VELASCO	MARCO NIÑO M.	BUSINESS DEVELOPMENT OFFICER	BUSINESS DEVELOPMENT OFFICER/ SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
30-Dec-15	HERMOSURA	VEROLYN N.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
30-Dec-15	KO	KING S.	SALESMAN/ TRADER	-	NEW WORLD SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-15	ROLDAN	PAQUITO D.	TRANSFER CLERK	-	E. CHUA CHIACO SECURITIES, INC.	RESIGNATION (no clearance required)
31-Dec-15	REGALADO	MA. FRANCINE BEATRICE L.	SALESMAN/ TRADER	-	HDI SECURITIES, INC.	RESIGNATION (did not ask for clearance to join other broker)
31-Dec-15	METAL	ADRIAN F.	ACCOUNTING ASSISTANT - DISBURSEMENTS	-	HDI SECURITIES, INC.	RESIGNATION (did not ask for clearance to join other broker)
31-Dec-15	ALFONSO	BELMA A.	SAM - EQUITIES TRADER	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
31-Dec-15	PENAFLO	ANGELO G.	AUTHORIZED SALESMAN	-	REGINA CAPITAL DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
31-Dec-15	ARAULLO	RAMON G.	BRANCH NETWORK HEAD	-	REGINA CAPITAL DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
31-Dec-15	EVANGELISTA	JOSEPH FERDINAND A.	TECHNICAL SUPPORT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not ask for clearance to join other broker)
01-Jan-16	DISPO	ROBERT JUANCHITO T.	NOMINEE/ CHAIRMAN	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
01-Jan-16	CHUA	BUN HIOCK	DIRECTOR	-	B. H. CHUA SECURITIES CORPORATION	RETIREMENT
01-Jan-16	TAKLEE	GO H.	AUTHORIZED CLERK	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)

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01-Jan-16	GUERZON	CAESAR A.	SVP/ CORPORATE SECRETARY/ HEAD OF ADMIN DEPARTMENT	CORPORATE SECRETARY/ HEAD OF ADMIN/ LEGAL CONSULTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jan-16	LIM	SHARON T.	OIC OF HR, LEGAL AND COMPLIANCE DEPARTMENTS	AVP - HEAD OF HR, LEGAL AND COMPLIANCE DEPARTMENTS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jan-16	ESTREBILLO	RAMON S.	ENCODER	TRANSFER CLERK	E. CHUA CHIACO SECURITIES, INC.	RE-DESIGNATION
01-Jan-16	ESCONDO	ROMARI V.	SETTLEMENT HEAD	-	SOLAR SECURITIES, INC.	DECEASED
01-Jan-16	FERNANDEZ	ZAREX G.	ASSOCIATE - ACCOUNTING	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
01-Jan-16	CAPISTRANO	CHELSEA E.	ADMIN CLERK TRAINEE	ADMIN CLERK	YU & COMPANY, INC.	RE-DESIGNATION
02-Jan-16	ECHANO	CHRISTINA H.	-	OFFICE CLERK	R & L INVESTMENTS, INC.	NEW EMPLOYMENT
04-Jan-16	ANG	MICK JAIRO M.	-	MARKETING ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
04-Jan-16	TANAP	RUBEN ALOVEN C.	-	SALES TRAINEE	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jan-16	ARCEO	GIANINNA BIANCA A.	-	MARKETING ASSISTANT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
04-Jan-16	TORINO	MARIVIC C.	-	OPERATIONS ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jan-16	NUÑEZ	AUDREY P.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
04-Jan-16	YAO	RUSSELLE ANGIELYN G.	-	SETTLEMENTS ASSISTANT	CITISECURITIES, INC.	NEW EMPLOYMENT
04-Jan-16	DIZON	CHRISTINE CHERISH M.	ACCOUNTING OFFICER	ASSOCIATED PERSON	COHERCO SECURITIES, INC.	RE-DESIGNATION
04-Jan-16	ANG	CHARLES WILLIAM O.	RESEARCH ANALYST	DEPUTY HEAD OF RESEARCH	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
04-Jan-16	CUARTERON	ROBERT N.	-	APPLICATIONS DEVELOPMENT PERSONNEL	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
04-Jan-16	CONCEPCION	JOBELLE T.	-	OPERATIONS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
04-Jan-16	CATALAN	FAITH ANGELIE E.	-	TRAINEE	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
04-Jan-16	MORENO	CLARENCE LESLIE B.	-	CONSULTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Jan-16	GILLES	ALEXANDER NORBERT N.	-	CONSULTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Jan-16	LUZONG	JENNIFER P.	-	ACCOUNTING ASSISTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Jan-16	CANDIDO	EMMALYN B.	-	OPERATIONS ASSISTANT	PCIB SECURITIES, INC.	NEW EMPLOYMENT
04-Jan-16	DAVILA	SOCORRO D.	-	RETAIL BUSINESS	PCIB SECURITIES, INC.	NEW EMPLOYMENT

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				DEVELOPMENT OFFICER		
04-Jan-16	TAN	GONZALO Y.	SENIOR TRADER/ AUTHORIZED TRADER	-	PREMIUM SECURITIES, INC.	RESIGNATION (cleared)
04-Jan-16	IBARRA	MIA ANGELICA B.	-	SALES OFFICER	SB EQUITIES, INC.	NEW EMPLOYMENT
04-Jan-16	BAGUNU	ROSETTE ANN G.	ACCOUNTING STAFF	GENERAL ACCOUNTANT	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RE-DESIGNATION
04-Jan-16	BASILIDES	ARA VIANKA M.	ACCOUNTING STAFF	TREASURY ACCOUNTANT	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RE-DESIGNATION
04-Jan-16	GAOIRAN	GENEVA CLAIRE O.	ACCOUNTING STAFF	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION	RE-DESIGNATION
04-Jan-16	DAVID	PRINCESS D.	ACCOUNTANT	ACCOUNTING HEAD	UPCC SECURITIES CORPORATION	RE-DESIGNATION
04-Jan-16	GARCELLANO	HECTOR P.	TRADING FLOOR ASSISTANT	CONSULTANT	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
05-Jan-16	NIÑAL	RONALD ALLAN P.	-	TRAINEE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
05-Jan-16	AGUILAR	EDWARD JOHN	-	TRAINEE	WONG SECURITIES CORPORATION	NEW EMPLOYMENT
08-Jan-16	CU	ROSA Y.	VP	-	QUALITY INVESTMENT & SECURITIES CORPORATION	RESIGNATION (no clearance required)
08-Jan-16	WONG	CHUN WAI RAYMOND	SALES TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not ask for clearance to join other broker)
09-Jan-16	GUADALUPE	MICHELLE C.	TEA LADY	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
11-Jan-16	AQUINO	MARIA CORAZON G.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
11-Jan-16	MACABIA	MARIA AMABELLE A.	-	HR STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
11-Jan-16	ANGELES	ERWIN M.	-	SALESMAN	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
11-Jan-16	CASANO	BRYLYN O.	-	OPERATIONS ASSISTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
11-Jan-16	OMAL	MARIA JUGINE B.	-	ADMINISTRATIVE ASSISTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
11-Jan-16	KLOTZ	DIVINA G.	SETTLEMENT ASSISTANT	-	PNB SECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
11-Jan-16	OLIMPO	EMUEL JUDE H.	-	INTERN, EQUITIES RESEARCH	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
11-Jan-16	DY	WHITNEY EUNICE O.	-	TRAINEE	WONG SECURITIES CORPORATION	NEW EMPLOYMENT
12-Jan-16	PATAJO	MIGUEL DOMINGO B.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT

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12-Jan-16	DEL PRADO	SILAS EMMANUEL H.	FINANCIAL ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
12-Jan-16	SABILLO	MARY JOY P.	-	TEA LADY	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
12-Jan-16	LIBO-ON	ROMEL FRANCIS B.	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (cleared)
13-Jan-16	VIVENCIO	LITO C.	PRESIDENT/ NOMINEE/ HEAD OF SALES	NOMINEE/ HEAD OF SALES	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
13-Jan-16	ESCALER	JUAN D.	DIRECTOR	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
13-Jan-16	NER	DINO P.	HEAD OF CASH EQUITIES	DIRECTOR/ PRESIDENT	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
14-Jan-16	SIOCO	MIGUEL MARTIN R.	INVESTMENT ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
15-Jan-16	DREZ	NICOLE PALINE A.	TELEPHONE CLERK	-	B. H. CHUA SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jan-16	PRADO	MICHELLE D.	OPERATIONS ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-Jan-16	VIÑALON	ROMMEL L.	AVP, IT DEPARTMENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
16-Jan-16	UNIRA	JENNYLYN MERRY G.	SETTLEMENTS ASSISTANT	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
16-Jan-16	LOQUINARIO	SHANTA THERESE O.	CUSTOMER RELATIONS OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
16-Jan-16	PARDILLA	CELESTE S.	ACCOUNTING ASSISTANT I	-	DA MARKET SECURITIES, INC.	RESIGNATION (clearance under process)
16-Jan-16	KLOTZ	DIVINA G.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Jan-16	TEJERO	RAMON MELCHOR E.	FVP, PROGRAM DIRECTOR/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
18-Jan-16	AGUIRRE	MICK MARTIN P.	-	ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
18-Jan-16	DEL ROSARIO	CAITRIN LARISSA DOMINI S.	TRADING PARTNER	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
19-Jan-16	ARJONILLO	RABONNI FRANCIS B.	-	CHAIRMAN OF THE BOARD	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
20-Jan-16	SASOT	CHRISTELLE ANNE S.	-	ADMIN ASSISTANT/ RECEPTIONIST	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT

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20-Jan-16	DEL ROSARIO	OLIVIA C.	-	CUSTOMER CARE ASSOCIATE	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
20-Jan-16	MORENO	ESTELA E.	-	ACCOUNTING ASSISTANT	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
20-Jan-16	CO	JOHN ANTHONY G.	-	ENGAGEMENT OFFICER	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
20-Jan-16	JAVELOSA	H-KYLE Q.	-	CUSTOMER CARE SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
20-Jan-16	MANUZON	PAUL ANGELO L.	-	TECHNICAL SUPPORT	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
23-Jan-16	AGUAS	MARIO M.	-	INDEPENDENT DIRECTOR	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
25-Jan-16	ANG	RICHMOND C.	-	SALES REPRESENTATIVE	DW CAPITAL, INC.	RE-HIRED
25-Jan-16	UMALI	VIVIAN M.	TRADING ASSISTANT	AUTHORIZED TRADER	INVESTORS SECURITIES, INC.	RE-DESIGNATION
26-Jan-16	LAO	FRAYNE C.	-	CLERK	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
31-Jan-16	GUADAÑA	CAROLINE D.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (clearance under process)
01-Feb-16	TALavera	TRISTAN A.	TRADER	-	TIMSON SECURITIES, INC.	RESIGNATION