



MEMORANDUM – 2016-007

To : All Trading Participants


Subject : Employee Movement

Date : 3 March 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 29 February 2016.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
04-Jan-16	DIZON	CHRISTINE CHERISH M.	ACCOUNTING OFFICER	ASSOCIATED PERSON	COHERCO SECURITIES, INC.	RE-DESIGNATION
04-Jan-16	SUNGA	VON HERBERT T.	-	IT ADMIN SUPPORT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jan-16	LEGASPI	RAQUEL A.	ACCOUNTING ASSISTANT	SETTLEMENT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
14-Jan-16	PATERSON	THOMAS JOHN	-	SALES TRADER TRAINEE	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jan-16	BONIFACIO	JENNIFER A.	CUSTOMER SERVICE CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
16-Jan-16	MEANA	MELANI G.	LICENSED SALESMAN/ TRADER	ELECTRONIC TRADER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
18-Jan-16	HUANG	THOMAS EARLL A.	-	RESEARCH ANALYST/ MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jan-16	MANZARES	SANDIE F.	-	COMPLIANCE ASSISTANT	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
19-Jan-16	COCUACO	GAIL MARIE D.	RESEARCH ASSISTANT	RESEARCH ASSOCIATE	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
19-Jan-16	SUMULONG	JUAN CARLOS B.	SALES AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
19-Jan-16	CALDERON-TAN	DARLENE ANGELICA V.	SALES AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
22-Jan-16	BAYLON	ERICK JOHN M.	IT HEAD	-	MANDARIN SECURITIES CORPORATION	RESIGNATION (cleared)
22-Jan-16	CONDE	CRISTINA M.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (cleared)
22-Jan-16	NOYNAY	JOSEPH BRIAN S.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
22-Jan-16	LAZA	BIRAN RAY C.	-	SALESMAN/ AGENT	PNB SECURITIES, INC.	NEW EMPLOYMENT
25-Jan-16	REGALADO	JOELLA MARIE J.	-	RESEARCH ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
26-Jan-16	DEL ROSARIO	QUENNIE ANNE D.	-	ACCOUNTING STAFF	CITISECURITIES, INC.	NEW EMPLOYMENT
26-Jan-16	MEDENILLA	DEXTER M.	MESSENGER	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
27-Jan-16	ARCEO	GIANINNA BIANCA A.	MARKETING ASSISTANT	MARKETING ASSISTANT/ TRADER	ASIASEC EQUITIES, INC.	RE-DESIGNATION
27-Jan-16	ORBETA	QUESTOR BLUE JOHN C.	OPERATIONS ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
27-Jan-16	GO	JONATHAN C. B.	DIRECTOR	-	PCIB SECURITIES, INC.	RESIGNATION
27-Jan-16	DY	LUCY C.	DIRECTOR	-	PCIB SECURITIES, INC.	RESIGNATION

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27-Jan-16	LEUNG	RICARDO	INDEPENDENT DIRECTOR	-	PCIB SECURITIES, INC.	RESIGNATION
27-Jan-16	TAN	JUDY S.	INDEPENDENT DIRECTOR	-	PCIB SECURITIES, INC.	RESIGNATION
27-Jan-16	CAMIÑA	MA. OPHELIA L.	-	DIRECTOR/ TREASURER	PCIB SECURITIES, INC.	NEWLY ELECTED
27-Jan-16	REYES	LUIS S. JR.	-	DIRECTOR	PCIB SECURITIES, INC.	NEWLY ELECTED
27-Jan-16	KATAKAWA	KOICHI	-	DIRECTOR	PCIB SECURITIES, INC.	NEWLY ELECTED
27-Jan-16	YAMAKAWA	TAKASHI	-	DIRECTOR	PCIB SECURITIES, INC.	NEWLY ELECTED
27-Jan-16	FAVILA	PETER B.	-	INDEPENDENT DIRECTOR	PCIB SECURITIES, INC.	NEWLY ELECTED
27-Jan-16	POBLADOR	HONORIO G.	-	INDEPENDENT DIRECTOR	PCIB SECURITIES, INC.	NEWLY ELECTED
29-Jan-16	PADERANGA	CAYETANO JR.	INDEPENDENT DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	DECEASED
29-Jan-16	LACSON	HERMAN JOSE MA. B.	HEAD OF RESEARCH	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (cleared)
29-Jan-16	SIA	NATHAN ANDREW L.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
29-Jan-16	ALDAY	MA. CRISTINA R.	SETTLEMENT OFFICER	-	LUCKY SECURITIES, INC.	DECEASED
30-Jan-16	CORPUZ	LYNNETH G.	RESEARCH ASSISTANT	BRANCH ASSISTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
30-Jan-16	BUENAOBRA	WILMA B.	ACCOUNTING STAFF	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
31-Jan-16	SENG	ONG KIM	DIRECTOR	-	ALPHA SECURITIES CORPORATION	RESIGNATION (cleared)
31-Jan-16	KWOK WING	THOMAS LEE	DIRECTOR	-	ALPHA SECURITIES CORPORATION	RESIGNATION (cleared)
31-Jan-16	CINCO	CARLOS D.	CORPORATE SECRETARY	-	ALPHA SECURITIES CORPORATION	RESIGNATION (cleared)
31-Jan-16	ESPINA	GIANFRANCO V.	FO-EQUITIES TRADER	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
01-Feb-16	ALDANA	ATTY. DARYL C.	CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
01-Feb-16	IBARRA	ATTY. JOAHNES CRIZELLE T.	ALTERNATE CORPORATE SECRETARY	CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
01-Feb-16	CAÑETE	ATTY. SARAH JEAN G.	-	ALTERNATE CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
01-Feb-16	UY	ROGER CHRISTIAN E.	IT ADMINISTRATOR	-	MANDARIN SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	PIEDAD	GUIA NOR D.	-	DIRECTOR - OFFICE MANAGER	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT

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01-Feb-16	SONIDO	ATTY. ELLEN CLAIRE G.	-	DIRECTOR - ASSISTANT CORPORATE SECRETARY	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	DEDEL	ROSALINA P.	-	INDEPENDENT DIRECTOR	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	CALISON	KRIS AARON S.	-	CSR	AP SECURITIES INCORPORATED	NEW EMPLOYMENT
01-Feb-16	PANGAN	RACQUEL L.	OPERATIONS STAFF	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
01-Feb-16	BUENSUCESO	DONALD S.	-	OPERATIONS OFFICER/ ASSISTANT MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	LIM	JOSEPHINE ANNE K.	-	TELEPHONE CLERK	BELSON SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	DANILA	PRISCILLA R.	ACCOUNTING STAFF	-	BELSON SECURITIES, INC.	RESIGNATION (cleared)
01-Feb-16	LACSON	HERMAN JOSE MA. B.	-	HEAD, RESEARCH	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	LIM	RICHE LEVIN T.	OIC, HEAD OF RESEARCH	RESEARCH ANALYST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Feb-16	JOSEPH	KIM ELYZA R.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	SOLIDUM	MA. CLAYCEL O.	HR STAFF	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Feb-16	SERRANO	SHERWIN C.	-	DELIVERY REPRESENTATIVE	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	AGUILA	YOLANDA D.	SPECIAL ASSISTANT TO THE PRESIDENT 1	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
01-Feb-16	KHU	BILLY G.	TELEPHONE CLERK	-	JSG SECURITIES, INC.	RESIGNATION (cleared)
01-Feb-16	CHUA	EUGENIA P.	ACCOUNTING ASSISTANT	-	JSG SECURITIES, INC.	RESIGNATION (cleared)
01-Feb-16	LEYVA	KIM CRISH D.	TRADING PARTNER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
01-Feb-16	TAN	HIDEO H.	-	RETAIL EQUITIES - ONLINE TRADING TRAINEE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	JEUNG	HYUN W.	-	RETAIL EQUITIES - ONLINE TRADING TRAINEE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	CONDE	MA. CRISTINA M.	-	HEAD, RETAIL EQUITIES	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	YLEANA	CATHERINE ROSE A.	SENIOR ACCOUNTANT	SENIOR ACCOUNTANT, FINANCE, HR & ADMIN/ TREASURER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
01-Feb-16	MENDOZA	WYNDELL A.	AVP-OPERATIONS & FINANCE/ TREASURER	AVP-OPERATIONS	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION

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01-Feb-16	LOMUNTAD	MIA MAY D.	-	ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	DE CELIS	JERVIN S.	-	SALESMAN	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
01-Feb-16	VILLAMAYOR	LEVI ANN M.	-	CUSTOMER CARE ASSOCIATE	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
01-Feb-16	ELARDO	ERWIN A.	-	TECHNICAL SUPPORT	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
01-Feb-16	CALAYCAY	JUSTINO B. JR.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
01-Feb-16	PE	GERALD R.	-	FINANCIAL ANALYST	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-16	PICONES	ROCETTE ANNE C.	TRAINEE	ADMIN CLERK	YU & COMPANY, INC.	RE-DESIGNATION
02-Feb-16	GARCIA	GILPATRICK R.	-	AUTHORIZED SALESMAN	STAR ALLIANCE SECURITIES CORPORATION	NEW EMPLOYMENT
02-Feb-16	MANIMBO	PATRICIA MARIE I.	-	ACCOUNTING STAFF	UCPB SECURITIES, INC.	REHIRED
02-Feb-16	AGUDERA	DAN LOUIE D.	-	MESSENGER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
02-Feb-16	ANTONIO	MARY GRACE ANNE S.	MARKETING AND SALES ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
03-Feb-16	NITORREDA	MIGUEL LUIS L.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
05-Feb-16	SANTIAGO	QUINCY C.	-	SENIOR MANAGER, ACCOUNTING & FINANCE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
05-Feb-16	JANI	EKHWAN B.	-	DEPUTY CFO, ACCOUNTING & FINANCE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
09-Feb-16	LABARETTE	CYRIL JON M.	-	RESEARCH ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
09-Feb-16	GALIMBA	JADE C.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
09-Feb-16	PRIETO	SARAH JANE C.	-	ACCOUNTING ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
09-Feb-16	RAMOS	JOYCE ANNE J.	-	RESEARCH ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
10-Feb-16	DEVERAS	ALEXANDRA C.	DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION
10-Feb-16	GARALDE	ELENA MAY G.	-	SECURITIES SETTLEMENTS CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
10-Feb-16	SEE	JEFF RADLEY C.	-	CSR	AP SECURITIES INCORPORATED	NEW EMPLOYMENT
11-Feb-16	TORRES	LUTHER HOWELL B.	NET DEVELOPER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
11-Feb-16	MANUZON	PAUL ANGELO L.	TECHNICAL SUPPORT	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
12-Feb-16	LORENZO	SHEENA ANN K.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)

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13-Feb-16	NARVAEZ	MARY ANNE R.	VP, ACCOUNTING & FINANCE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
13-Feb-16	SARION	JULIE F.	TREASURY ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
15-Feb-16	MARTINO	LUIGI MARTIN L.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
16-Feb-16	ESPINOSA	ANNA BARBARA M.	HNW SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
16-Feb-16	CORPUZ	RENTZ ANGELO P.	CUSTOMER SERVICE OFFICER	SALESMAN/ TRADER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
17-Feb-16	CACHO	LORENA I.	HEAD OF FINANCE, TREASURER, CFO	HEAD OF FINANCE, TREASURER, CFO, ACTING AP	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
19-Feb-16	NITORREDA	MIGUEL LUIS L.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
19-Feb-16	ABADA	MARK Z.	VICE PRESIDENT - EQUITY SALES	HEAD OF SALES	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
22-Feb-16	YUMUL	DIOSDADO B.	ASSOCIATED PERSON	GENERAL MANAGER	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
22-Feb-16	INOSANTE	ALYZA P.	-	MARKETING & SALES ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Feb-16	DUEÑAS	DORA JANE T.	-	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Feb-16	BUENDIA	MONICA JOHANN T.	-	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Feb-16	EUGENIO	MARICRIS A.	-	CUSTOMER SERVICE OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Feb-16	JAVIER	RAFAEL ALFONSO B.	-	ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
30-Dec-16	KO	KING SY	SALESMAN/ AUTHORIZED TRADER	-	NEW WORLD SECURITIES CO., INC.	RESIGNATION