



MEMORANDUM – 2016-013

To : All Trading Participants

Subject : Employee Movement

Date : 11 April 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2016.

For information and guidance.

GRACE M. CALUBAQUIB

Assistant Vice President

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HRADF

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DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-Jan-16	PE	GERALD R.	TRADER	-	LUY'S SECURITIES COMPANY, INC.	RESIGNATION (without clearance)
15-Jan-16	BONIFACIO	JENNIFER A.	CUSTOMER SERVICE CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
18-Jan-16	HUANG	THOMAS EARLL A.	-	RESEARCH ANALYST/ MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-16	PE	CAROLINE U.	-	SALES AGENT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
02-Feb-16	CERVANTES	CHRISTOPHER G.	-	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-16	OMBINA	CHERYL ANN G.	BRANCH ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
09-Feb-16	PACIA	BRIAN ERMIN C.	OPERATIONS OFFICER	EXECUTIVE ASSITANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
12-Feb-16	CARLOTA	PATRICIA JEAN P.	FULFILLMENT BANKING - CONTRACTUAL	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
12-Feb-16	MABUTE	MARY JAIMIELYN T.	INVESTMENT ANALYST	-	IGC SECURITIES, INC.	NEW EMPLOYMENT
15-Feb-16	NEMEC	TALYA KARMINA D.	-	INVESTMENT ANALYST	IGC SECURITIES, INC.	NEW EMPLOYMENT
15-Feb-16	SANTIAGO	QUINCY C.	SENIOR MANAGER, FINANCE & ACCOUNTING	SENIOR MANAGER, HEAD OF FINANCE & ACCOUNTING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
16-Feb-16	CALAYCAY	JUSTINO JR. B.	-	MARKETING AND RESEARCH HEAD	A & A SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-16	ESPINOSA	ANNA BARBARA M.	HNW SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
16-Feb-16	ATIENZA	JOHN LOUELL B.	HELPDESK SUPPORT	IT ADMINISTRATOR	HDI SECURITIES, INC.	RE-DESIGNATION
16-Feb-16	TROVELA	LEMUEL M.	-	HELPDESK SUPPORT	HDI SECURITIES, INC.	NEW EMPLOYMENT
17-Feb-16	BANGELES	CHARMAINE GAIL N.	-	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
19-Feb-16	CUNA	VICTOR R.	HEAD DEALER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
22-Feb-16	CABILLO	HENRY R.	-	ACCOUNTING CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
22-Feb-16	DAGONDON	ELDRED A.	-	MESSENGER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
22-Feb-16	SY	BIAN C.	CHAIRMAN OF THE BOARD	-	WEALTH SECURITIES, INC.	RESIGNATION (no clearance required)
22-Feb-16	SY	WILSON L.	-	CHAIRMAN OF THE BOARD	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
24-Feb-16	NG	BUN H.	TELEPHONE CLERK	-	IMPERIAL, DE GUZMAN, ABALOS &	DECEASED

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					CO., INC.	
25-Feb-16	ORLANDA	PAMELA P.	SECURITIES SETTLEMENT STAFF	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
26-Feb-16	CRUZ	CHRISTINE ROSE F.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
26-Feb-16	GONZAGA	PAULINA M.	ASSOCIATED PERSON	-	PAPA SECURITIES CORPORATION	RETIREMENT
28-Feb-16	FERNANDEZ	RANULFO JR. D.	TRADER/SENIOR MANAGER	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
29-Feb-16	JULIAN	MARI FRITZ P.	CUSTODY & NOMINEE SERVICES CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (without clearance)
29-Feb-16	NOPUENTE	MICA ROSE P.	MARKETING MANAGER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
29-Feb-16	LITAP	PERFECTO JR. S.	ASSOCIATED PERSON	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (cleared)
29-Feb-16	DAVID	KIM ALYSSA A.	EXECUTIVE ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
29-Feb-16	BOW	BOB	ASSOCIATE DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
29-Feb-16	MAYHEW	OWEN CHRISTOPHER	DIVISION DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (no clearance required)
29-Feb-16	HERMOSILLA	MYRNA M.	ACCOUNTING HEAD	ASSOCIATED PERSON	PAPA SECURITIES CORPORATION	RE-DESIGNATION
29-Feb-16	ZAMORA	RUBEN L.	HEAD OF SALES	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)
29-Feb-16	NUEVAREZ	JONATHAN V.	MESSENGER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
29-Feb-16	BANTEGUI	CARLOS III M.	DEALER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
29-Feb-16	DE GUZMAN	FRANCO LORENZO G.	BUSINESS DEVELOPMENT OFFICER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
01-Mar-16	ROSALES	MA. CRISTINA T.	-	CUSTODY & NOMINEE SERVICES CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Mar-16	CHUA	PETER T.	ASSOCIATED PERSON/ VICE PRESIDENT	-	BDO SECURITIES CORPORATION	RESIGNATION
01-Mar-16	SIY	MICHELLE G.	RELATIONSHIP OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Mar-16	RAVIS	ORLANDO C.	MESSENGER	-	FIRST METRO SECURITIES BROKERAGE	RESIGNATION (cleared)

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					CORPORATION	
01-Mar-16	MENDOZA	LARRY M.	-	MESSENGER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Mar-16	BULAONG	OLIVER P.	-	ACCOUNTING ASSISTANT	GUILD SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-16	BAUTISTA	MA. ANDREA V.	-	ACCOUNTANT	J. M. BARCELON & COMPANY, INC.	NEW EMPLOYMENT
01-Mar-16	MAGTAÑOB	CRISTETA U.	ACCOUNTANT	ASSOCIATED PERSON	J. M. BARCELON & COMPANY, INC.	RE-DESIGNATION
01-Mar-16	VALENZUELA	ARNOLD A.	-	ASSISTANT CORPORATE SECRETARY	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT
01-Mar-16	SANTOS	KAREN KATE D.	CLIENT ACQUISITION OFFICER	SALES ADMIN OFFICER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	CAUSING	JEFFREY JR. C.	CONTRACTUAL - MARKETING EVENTS AND ASSOCIATES	TRAINING OFFICER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	MIGUEL	MARVIN R.	EQUITY SALES MANAGER	CLIENT ENGAGEMENT MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	AQUINO	ANDREA F.	SETTLEMENT SUPERVISOR	SETTLEMENT MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	DOMINGO	CHRISTOPHER PETER C.	GRAPHICS DESIGNER	GRAPHICS SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	RODULFO	DEXTER S.	MIS ASSISTANT MANAGER	MIS MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	QUILALA	GARRY D.	WEB DEVELOPER	PROJECT MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	MONTANER	JAYSON T.	SR. TECHNICAL SUPPORT	MIS QUALITY SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	KHU	MARK EDISON M.	JR. PROGRAMMER	PROJECT SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	TAVARA	LEONY JANE O.	SETTLEMENT TRAINEE	SETTLEMENT ASSISTANT	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	HERNANDEZ	RAPHAEL JOURDAN M.	CSR	EQUITIES TRADER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	GO	WILLY P.	ADMIN JUNIOR SUPERVISOR	ADMIN SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
01-Mar-16	SANTOS	SARAH NICOLE T.	EQUITY RESEARCH ANALYST	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
01-Mar-16	ANG	ANRO T.	SALESMAN/ TRADER	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
01-Mar-16	LITAP	PERFECTO JR. S.	-	ACTING AP/ CO/ RO	RCBC SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-16	UNGCO	MICHELLE CHRISITNG M.	MARKETING CONSULTANT	ASSISTANT VICE PRESIDENT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
02-Mar-16	ANDRES	ANN TAMAMI I.	TRAINEE	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
02-Mar-16	ROGADOR	ARA MAE L.	-	TRAINEE	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
02-Mar-16	SANTARINA	MARK CRISMON V.	CSR/ ELECTRONIC TRADER	-	SALISBURY BKT SECURITIES	RESIGNATION (did not apply for

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					CORPORATION	clearance)
02-Mar-16	BENITO	KIMBERLY ROSE T.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
03-Mar-16	GO	MARY JOSEPHINE T.	-	EQUITY SALES ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Mar-16	BARDAJE	MARY ROSE D.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
07-Mar-16	TAN	RITZ CHARLYN L.	-	TELEPHONE CLERK	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
07-Mar-16	CEZAR	NYREEN R.	-	ASSISTANT MANAGER, BUSINESS DEVELOPMENT OFFICER	PCIB SECURITIES, INC.	NEW EMPLOYMENT
08-Mar-16	GARCIA	MA. RAQUEL R.	SALESMAN	ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
10-Mar-16	AGUZAR	JOHN BENEDICT C.	AUTHORIZED SALESMAN	-	REGINA CAPITAL DEVELOPMENT CORPORATION	RESIGNATION (without clearance)
11-Mar-16	ANICETO	MYLES BENEDICT S.	JUNIOR TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION
11-Mar-16	LITAP	PERFECTO JR. S.	ACTING AP/ CO/ RO	ASSOCIATED PERSON/ CO/ RO	RCBC SECURITIES, INC.	RE-DESIGNATION
13-Mar-16	CACHO	LORENA I.	HEAD OF FINANCE/ TREASURER/ CFO/ ACTING AP	HEAD OF FINANCE/ TREASURER/ CFO	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
14-Mar-16	OJALES	DONNEL	TELEPHONE CLERK	TRADER/SALESMAN	LARRGO SECURITIES CO., INC.	RE-DESIGNATION
14-Mar-16	AVILA	EDUARDO C.	-	DEPUTY HEAD OF FINANCE, FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
15-Mar-16	AMORA	JANET D.	OPERATIONS OFFICER/ ASSISTANT VICE PRESIDENT	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
15-Mar-16	ALPUERTO	GINAVEE M.	CASH SETTLEMENT OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Mar-16	GO	JULIE C.	-	SALESMAN	HDI SECURITIES, INC.	NEW EMPLOYMENT
15-Mar-16	CHANG	MA. WINCEL D.	ASSOCIATED PERSON	-	J. M. BARCELON & COMPANY, INC.	RESIGNATION (clearance under process)
15-Mar-16	CRUZADO	ROSE ANN D.	-	EXECUTIVE ASSISTANT	PCIB SECURITIES, INC.	NEW EMPLOYMENT
15-Mar-16	TINGA	DANTE JR. R.	HEAD OF RESEARCH	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
15-Mar-16	BASE	CHRISTINE P.	DIRECTOR/ ASSISTANT	ASSISTANT CORPORATE	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION

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			CORPORATE SECRETARY	SECRETARY		
16-Mar-16	CHANG	MA. WINCEL D.	-	ASSOCIATED PERSON	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
16-Mar-16	CHOA SHI	VASSY VERONICA S.	TRADER	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION (cleared)
16-Mar-16	AMORA	JANET D.	-	OPERATIONS OFFICER/ ASSISTANT VICE PRESIDENT	PCIB SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-16	FERNANDEZ	RANULFO JR. D.	-	SALESMAN/ SENIOR MANAGER	PCIB SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-16	GONZALES	EMETERIO III D.	PRESIDENT	PRESIDENT & HEAD OF RESEARCH	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
16-Mar-16	BONDAD	CRISSA U.	RESEARCH ANALYST	SALES	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
16-Mar-16	MERCADO	ANGEL S.	PRESIDENT & CEO	DIRECTOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
16-Mar-16	BARRERA	RICARDO V.	COO	DIRECTOR/ PRESIDENT/ CEO	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
16-Mar-16	ESPINOSA	ANNA BARBARA M.	SALES TRADER	ELECTRONIC TRADER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
16-Mar-16	AYSON	HOSANNA T.	NOMINEE	-	WEALTH SECURITIES, INC.	RESIGNATION (no clearance required)
18-Mar-16	YLEAÑA	CATHERINE ROSE A.	SENIOR ACCOUNTANT, FINANCE, HR, ADMIN & TREASURER	HEAD OF FINANCE, HR. ADMIN & TREASURER	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
21-Mar-16	YIN	WONG CHUNG	-	CHIEF FINANCIAL OFFICER	DW CAPITAL, INC.	NEW EMPLOYMENT
21-Mar-16	WONG	DIANNE N.	SALES PERSON	VICE PRESIDENT FOR SALES	DW CAPITAL, INC.	RE-DESIGNATION
21-Mar-16	BEBOSO	MICHELLE P.	SALESPERSON/ ASSISTANT MANAGER	-	PCIB SECURITIES, INC.	RESIGNATION (cleared)
23-Mar-16	AQUINO	MARIA CORAZON G.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
23-Mar-16	NATIVIDAD	PETER PAUL T.	SALESMAN	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
24-Mar-16	LIM	KIMBERLY JANE C.	JUNIOR TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION
28-Mar-16	DE JESUS	JOY ALBERT T.	-	MIDDLE OFFICE ANAYST	SB EQUITIES, INC.	NEW EMPLOYMENT
29-Mar-16	ROMERO	PATRICIA MAE M.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)

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31-Mar-16	GONZALES	JENNIFER U.	OFFICE CLERK	SETTLEMENT HEAD	MERCANTILE SECURITIES CORPORATION	RE-DESIGNATION
31-Mar-16	ALVARRO	ROSALIND C.	SETTLEMENT HEAD	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION
31-Mar-16	CHAU	MICHAEL W.	-	TRAINEE	WONG SECURITIES CORPORATION	NEW EMPLOYMENT
31-Mar-16	DY	WHITNEY EUNICE O.	TRAINEE	-	WONG SECURITIES CORPORATION	RESIGNATION (no clearance required)
01-Apr-16	PECSON	JANEULLE B.	OPERATIONS STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)