



MEMORANDUM – 2016-015

To : All Trading Participants


Subject : Employee Movement

Date : 12 May 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2016.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-Jan-16	VIÑALON	ROMMEL L.	AVP, IT DEPARTMENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: Clearance given
16-Jan-16	TEJERO	RAMON MELCHOR E.	FVP, PROGRAM DIRECTOR/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: Clearance given
01-Feb-16	LEYVA	KIM CRISH D.	TRADING PARTNER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: Clearance given
13-Feb-16	SARION	JULIE F.	TREASURY ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: Clearance given
13-Feb-16	NARVAEZ	MARY ANNE R.	VP, ACCOUNTING & FINANCE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: Clearance given
29-Feb-16	ZAMORA	RUBEN L.	HEAD OF SALES	-	PHILIPPINE EQUITY PARTNERS, INC.	UPDATE: Clearance given
05-Mar-16	VINLUAN	JEFREY P.	OFFICE CLERK	-	R & L INVESTMENTS, INC.	RESIGNATION (clearance under process)
08-Mar-16	GARCIA	MA. RAQUEL R.	DIRECTOR/ ASSISTANT CORPORATE SECRETARY/ SALESMAN	DIRECTOR/ ASSISTANT CORPORATE SECRETARY/ ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
11-Mar-16	CABANGON-CHUA	ANTONIO L.	DIRECTOR	-	AAA SOUTHEAST EQUITIES, INC.	DECEASED
11-Mar-16	ANICETO	MYLES BENEDICT S.	JUNIOR TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
14-Mar-16	BANADA	MARY ROSE F.	HR GENERALIST	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
15-Mar-16	VALDE	BERNADETH V.	SECURITIES SETTLEMENT OFFICER	CASH SETTLEMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
15-Mar-16	ANG	MARIETTA H.	CASHIER	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (no clearance required)
15-Mar-16	ISNIT	MARIA TERESA M.	SR ACCOUNTANT	EXECUTIVE ASSISTANT TO THE PRESIDENT	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
15-Mar-16	CHUA	ALEXANDER D.	TELEPHONE CLERK	HEAD DISPATCHER	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
15-Mar-16	HUANG	LANNA LESLIE N.	-	AGENT/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-16	BETERO	GHENNALYN FAYE B.	-	ADMINISTRATIVE OFFICE/ JUNIOR ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT

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16-Mar-16	CHOA-SHI	VASSY VERONICA S.	-	TRADING OFFICER/ JUNIOR ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-16	REITHER	MICHELLE GERALDINE G.	-	AGENT/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Mar-16	SAN PEDRO	CRISTOPHER ADRIAN T.	-	AGENT/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-Mar-16	WONG	DIANNE N.	SALES PERSON	VICE PRESIDENT FOR SALES	DW CAPITAL, INC.	RE-DESIGNATION
21-Mar-16	YIN	WONG CHUN	-	CHIEF FINANCIAL OFFICER	DW CAPITAL, INC.	NEW EMPLOYMENT
23-Mar-16	MANUEL	AMALIA LOURDES R.	DIRECTOR/ VP/ CORPORATE SECRETARY	DIRECTOR/ VP/ CORPORATE SECRETARY/ LICENSED SALESMAN	MDR SECURITIES, INC.	RE-DESIGNATION
23-Mar-16	CABILLO	HENRY JR. R.	ACCOUNTING CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
24-Mar-16	LIM	KIMBERLY JANE C.	JUNIOR TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE
28-Mar-16	ONG	JEFFERSON P.	TELEPHONE CLERK	SALESMAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
28-Mar-16	CREMA	JOBELLE A.	-	GENERAL CLERK	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
28-Mar-16	GUTIERREZ	ESPIE G.	-	GENERAL CLERK	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION (without clearance)
28-Mar-16	ARCAS	ALVIN S.	-	HEAD, IT DEPARTMENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
28-Mar-16	MANAHAN	JOANNA M.	ACTING TREASURER	TREASURER	PNB SECURITIES, INC.	RE-DESIGNATION
28-Mar-16	DE LARA	VICTOR AARON G.	ASSOCIATE EQUITY ANALYST	-	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RESIGNATION (cleared)
30-Mar-16	MATUTE	MONICA C.	HEAD, CLIENT SOLUTIONS AND NEW BUSINESSES, OIC	HEAD, RETAIL INVESTOR SERVICES	BPI SECURITIES CORPORATION	RE-DESIGNATION
30-Mar-16	GARCHITORENA	RAFAEL P.	MANAGING DIRECTOR - MAIN STRATEGIST	DIRECTOR/ MANAGING DIRECTOR - MAIN STRATEGIST	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
30-Mar-16	TAÑEDO	MA. JUDITH D.	DIRECTOR/ OPERATIONS DIRECTOR/ AP/ TREASURER	OPERATIONS DIRECTOR/ AP/ TREASURER	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
31-Mar-16	AGUJA	JUVY C.	MESSENGER	-	ASIASEC EQUITIES, INC.	RESIGNATION (no clearance required)

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31-Mar-16	OREJUDOS	GRETEL D.	OPERATIONS OFFICER/ ASSISTANT VICE PRESIDENT	-	BDO NOMURA SECURITIES, INC.	RESIGNATION (cleared)
31-Mar-16	FERNANDEZ	NATASHA IZA K.	RESEARCH ANALYST	-	DEUTSCHE REGIS PARTNERS, INC.	RESIGNATION (cleared)
31-Mar-16	AYENZA	VEMALYN C.	FILING CLERK	CAIF CUSTODIAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
31-Mar-16	RUBISO	MARY JOY N.	OFFICE CLERK	SETTLEMENT ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
31-Mar-16	SANTOS	VIENALIE A.	FILING CLERK	INTERNAL RECORDS CUSTODIAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
31-Mar-16	GONZALES	JOY AMPARO C.	CORPORATE SECRETARY	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
31-Mar-16	WEE	ANGELIE MONIQUE T.	SAM - RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION (no clearance required)
31-Mar-16	GALENDEZ	ANTONIO B.	ASSOCIATED PERSON	-	UPCC SECURITIES CORPORATION	RESIGNATION (cleared)
01-Apr-16	HERMOGENES	RONALD Y.	ASSOCIATED PERSON	ASSOCIATED PERSON/ CORPORATE SECRETARY	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
01-Apr-16	YUMUL	DIOSDADO B.	GENERAL MANAGER	NOMINEE/ GENERAL MANAGER	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
01-Apr-16	TIOTUICO	LEONIDES C.	PRESIDENT/ NOMINEE/ DIRECTOR	SALESMAN	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
01-Apr-16	DECENA	KRISTOPER ISRAEL A.	-	RETAIL SUPPORT OFFICER/ JUNIOR ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	GAW	LYNDSY LORRAINE L.	-	CASH SETTLEMENT OFFICER/ ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	JANDA	JENNIFER C.	-	CASH SETTLEMENT OFFICER/ JUNIOR ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	OREJUDOS	GRETEL D.	-	OPERATIONS OFFICER/ ASSISTANT VICE PRESIDENT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Apr-16	SIMPAO	MARVIN ROY S.	COMPLIANCE OFFICER	HEAD, PRODUCT AND BUSINESS DEVELOPMENT	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Apr-16	MANZARES	SANDIE F.	COMPLIANCE ASSISTANT	SENIOR COMPLIANCE OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Apr-16	MIAPE	ALADIN T.	CLIENT SOLUTIONS AND NEW BUSINESSES OFFICER	PRODUCT AND BUSINESS DEVELOPMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION

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01-Apr-16	ABAD	JULIET T.	TRADING FLOOR ASSISTANT/ TELEPHONE CLERK	ACCOUNTING ASSISTANT I	DA MARKET SECURITIES, INC.	RE-DESIGNATION
01-Apr-16	ABAJON	MHELVIN F.	DEPARTMENT HEAD - INSTITUTIONAL SALES	DEPARTMENT HEAD - INSTITUTIONAL SALES AND BUSINESS DEVELOPMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Apr-16	CHENG	CINDIE BERNICE G.	CORPORATE COMMUNICATIONS MANAGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Apr-16	CALVO	JOANNA MARIE E.	-	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	TUBIGIN	LARA PATRIZIAH L.	-	DATA ENCODER	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	MACUGAY	CRISTER C.	-	SETTLEMENT CLERK	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	YUMUL	JEREMY G.	-	RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	SALVACION	REMJUNE	-	MESSENGER	SUMMIT SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	DELA CRUZ	JOWARD F.	-	OFFICE STAFF	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-16	VILLANUEVA	MA. BERNADETTE V.	-	ASSISTANT TRANSFER AND SETTLEMENT CLERK TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-Apr-16	HESS	CLINTON ANDREW C.	DIRECTOR (NON-EXECUTIVE)	PRESIDENT/ DIRECTOR (EXECUTIVE)	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
01-Apr-16	CANDELARIA	GARNEY M.	-	DIRECTOR (NON-EXECUTIVE)	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
02-Apr-16	PAJO	JENALYN M.	CUSTOMER SERVICE OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
04-Apr-16	NORIEGA	CHARISSE ANNE C.	-	ACCOUNTING CLERK	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
04-Apr-16	DELA CRUZ	MARITES C.	ACCOUNTING ASSISTANT	CREDIT AND COLLECTION HEAD	TIMSON SECURITIES, INC.	RE-DESIGNATION
04-Apr-16	ALFONSO	KATHRINA MICAH R.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Apr-16	PROSPERO	SATCH DUANE MANTY H.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Apr-16	ILAGAN	APRIL LLOYD G.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
04-Apr-16	NICOLAS	CONCHITA D.	-	CONSULTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT

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05-Apr-16	BEBOSO	MICHELLE P.	-	SALESMAN/ ASSISTANT MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
05-Apr-16	FELICIANO	CRIZEL M.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
05-Apr-16	JEUNG	HYUN WOO	TRAINEE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
05-Apr-16	TAN	HIDEO H.	TRAINEE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
05-Apr-16	TAN	RAY GERALD G.	CSR - CONSULTANT	SR. MANAGER FOR CUSTOMER EXPERIENCE	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
05-Apr-16	LEE	RACHELE LINDSAY Y.	-	TELEPHONE CLERK	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
05-Apr-16	ROA	HASSEVEL F.	TELEPHONE CLERK	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (did not request for clearance to join other broker)
07-Apr-16	FELICIANO	CRIZEL M.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
08-Apr-16	CERVANTES	JEREMY B.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
08-Apr-16	LAUDE	MARISSA EDUARDO	-	VICE PRESIDENT	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
11-Apr-16	DE HONOR	SARAH RHEA B.	-	HR ASSISTANT	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
11-Apr-16	BALBIDADEZ	LARA GAIL B.	-	TRADING FLOOR ASSISTANT	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
12-Apr-16	OSMA	JENNIFER C.	-	ACCOUNTING ASSISTANT (DISBURSEMENTS)	HDI SECURITIES, INC.	NEW EMPLOYMENT
12-Apr-16	FELICIANO	CRIZEL M.	-	OJT	YU AND COMPANY, INC.	NEW EMPLOYMENT
12-Apr-16	QUIAMBAO	ALIANA RINNA M.	-	OJT	YU AND COMPANY, INC.	NEW EMPLOYMENT
13-Apr-16	USON	FARRA MAY C.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
15-Apr-16	TABLANTE	GENEVEVE O.	TELEPHONE CLERK	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION (cleared)
15-Apr-16	DE GUZMAN	KRISTINE MAE A.	TELEPHONE CLERK	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (did not request for clearance to join other broker)
16-Apr-16	LIM	KIMBERLY JANE C.	-	JAM - SALES TRAINEE	RCBC SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	ZARATE	FAYE ELOISA T.	EQUITY SALES OFFICER	MARKET MAKER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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20-Apr-16	SABROSO	MARK JOSEPH N.	UTILITY CLERK	-	MDR SECURITIES, INC.	RESIGNATION (cleared)
22-Apr-16	BERNARDO	PAOLO VICENTER A.	RESEARCH AND FINANCIAL ANALYST	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
25-Apr-16	YAMAMOTO	RUMI D.	TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
25-Apr-16	SY	JESS D.	-	INDEPENDENT DIRECTOR	SUNSECURITIES, INC.	NEW EMPLOYMENT
25-Apr-16	BOSQUIT	DORELANE U.	-	INDEPENDENT DIRECTOR	SUNSECURITIES, INC.	NEW EMPLOYMENT
25-Apr-16	HOW	NORMITA D.	INDEPENDENT DIRECTOR	-	SUNSECURITIES, INC.	RESIGNATION (no clearance required)
25-Apr-16	YUMUL	VINCENT N.	INDEPENDENT DIRECTOR	-	SUNSECURITIES, INC.	RESIGNATION (no clearance required)
26-Apr-16	ROQUE	SOTERO IV M.	COMPLIANCE ASSISTANT	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
26-Apr-16	FETALVO	JOHN BRYAN D.	-	TRAINEE	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
27-Apr-16	ATIENZA	FELICIA H.	INDEPENDENT DIRECTOR	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
27-Apr-16	HIGDON	JOSEPH R.	-	INDEPENDENT DIRECTOR	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
27-Apr-16	MONTANER	JAYSON T.	MIS QUALITY SUPERVISOR	MANAGER - BUSINESS SYSTEMS ANALYST	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
27-Apr-16	KABIGTING	CAROLINE L.	RESEARCH ANALYST, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
29-Apr-16	OLIMPO	EMUEL JUDE H.	INTERN, EQUITIES RESEARCH	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (no clearance required)