



MEMORANDUM – 2016-016

To : All Trading Participants

Subject : Employee Movement

Date : 8 June 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2016.

For information and guidance.

A handwritten signature in blue ink, appearing to read 'gmc', is positioned above the printed name of the signatory.

GRACE M. CALUBAQUIB

Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
03-Aug-15	AGUILAR	JOY BELLE N.	-	SETTLEMENTS CLERK	VSEC.COM, INC.	NEW EMPLOYMENT
29-Feb-16	TUANQUI	PRINCESS EMMARIE O.	ACCOUNTING CLERK	-	QUALITY INVESTMENTS & SECURITIES CORPORATION	RESIGNATION (cleared)
01-Apr-16	ANGELES	REUBEN MARK A.	DEPARTMENT HEAD - RESEARCH (SM)	DEPARTMENT HEAD - RESEARCH (AVP)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Apr-16	CO	RONALD EMMANUEL A.	DEPARTMENT HEAD - OPERATIONS (SAM)	DEPARTMENT HEAD - OPERATIONS (M)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Apr-16	TINIMBANG	JERVIS C.	SECTION HEAD - INSTITUTIONAL TRADING (M)	SECTION HEAD - INSTITUTIONAL DEALING (SM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Apr-16	CHENG	CINDIE BERNICE G.	CORPORATE COMMUNICATIONS MANAGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Apr-16	HESS	CLINTON ANDREW C.	DIRECTOR (NON-EXECUTIVE)	PRESIDENT/ DIRECTOR (EXECUTIVE)	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
01-Apr-16	CANDELARIA	GARNEY M.	-	DIRECTOR (NON- EXECUTIVE)	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
07-Apr-16	LARGO	ANDREA T.	-	CONTRACTUAL - ACCOUNTING STAFF	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
08-Apr-16	LIM	TAMMY B.	ACCOUNTING HEAD	OPERATIONS HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
13-Apr-16	ALCONERA	JOYCE LORRAINE B.	BOOKEEPER	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (no clearance required)
15-Apr-16	ONG	JO ANNE ABIGAL S.	TRADER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
16-Apr-16	DAYAO	RAYMOND C.	-	IT TECHNICAL SUPPORT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
18-Apr-16	FERNANDEZ	ARVIN ROMEL R.	-	EQUITY DEALER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	ABIGAN	PAUL LOUIE T.	-	RETAIL SALES SUPPORT/ MIDDLE OFFICE/ JUNIOR ASSISTANT MANAGER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	RUGA	IVY V.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Apr-16	MENDIOLA	ZIMAR B.	-	INDEPENDENT DIRECTOR	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	PIEDRA	SANDRA B.	-	INDEPENDENT DIRECTOR	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	DI	NATALIE G.	ASSISTANT CORPORATE	ASSISTANT TREASURER	INVESTORS SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
			SECRETARY			
18-Apr-16	VILLAMER	RIZALYN P.	OPERATIONS MANAGER	OPERATIONS MANAGER/ CORPORATE SECRETARY	INVESTORS SECURITIES, INC.	RE-DESIGNATION
18-Apr-16	SILVA	MA. JULYNE B.	ACCOUNTANT	ACCOUNTANT/ ASSISTANT CORPORATE SECRETARY	INVESTORS SECURITIES, INC.	RE-DESIGNATION
18-Apr-16	LACHICA	GERALEN C.	SETTLEMENT ASSISTANT	RETAIL SUPPORT ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
18-Apr-16	ONG	JO-ANNE ABIGAIL S.	-	JUNIOR DEALER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	SANTOS	SARAH NICOLE T.	-	RETAIL SALES ONLINE OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	CRUZ	RENS II V.	-	EQUITY ANALYST	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
18-Apr-16	FERNANDEZ	ARVIN ROMEL R.	TRADER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
20-Apr-16	LLANDA	KAYZER C.	-	RESEARCH ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
22-Apr-16	LAUREL	JOSE ARSENIO S.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
22-Apr-16	MELENDRES	JOBELYN N.	-	BOOKKEEPER	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
25-Apr-16	BERNARDO	PAOLO VICENTE A.	RESEARCH & FINANCE ANALYST/ TRADER	TRADER	HDI SECURITIES, INC.	RE-DESIGNATION
25-Apr-16	CHAM	PATRICK T.	-	SALESMAN - DEALER	SB EQUITIES, INC.	NEW EMPLOYMENT
25-Apr-16	LIM	JAIME T.	DIRECTOR/TREASURER/HEA D INTERNAL AUDIT	DIRECTOR/TREASURER	SUNSECURITIES, INC.	RE-DESIGNATION
25-Apr-16	BOSQUIT	DORELANE U.	INDEPENDENT DIRECTOR	INDEPENDENT DIRECTOR / HEAD INTERNAL AUDIT	SUNSECURITIES, INC.	RE-DESIGNATION
25-Apr-16	SY	JESS D.	INDEPENDENT DIRECTOR	INDEPENDENT DIRECTOR/MEMBER NOMINATION COMMITTEE	SUNSECURITIES, INC.	RE-DESIGNATION
26-Apr-16	SEPNIO	ROSE LEAH Y.	-	SETTLEMENTS ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
27-Apr-16	CRUZ	KRYSTYN MARIEL M.	ACCOUNTANT	-	PREMIUM SECURITIES, INC.	RESIGNATION (no clearance required)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
27-Apr-16	BONGO	CHARLENE C.	ACCOUNTANT - TRAINEE	ACCOUNTANT	PREMIUM SECURITIES, INC.	RE-DESIGNATION
29-Apr-16	ILAGAN	NOLIMAR L.	SENIOR ASSOCIATE, OPERATION COORDINATOR/ CUSTODIA	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
29-Apr-16	OLAR	ADELFA R.	TRANSFER CLERK	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (no clearance required)
29-Apr-16	AMANTE	MARY GRACE A.	TELEPHONE CLERK	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (no clearance required)
29-Apr-16	BASTASA	EMILY R.	ACCOUNTING STAFF	TRANSFER CLERK	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RE-DESIGNATION
30-Apr-16	SUPANGCO	JUAN RAFAEL D.	RESEARCH DEPARTMENT HEAD	-	AP SECURITIES, INC.	RESIGNATION (cleared)
30-Apr-16	GO	JOHN OLIVER O.	PRODUCT AND BUSINESS DEVELOPMENT OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Apr-16	UY	FLORRIE L.	ACCOUNTING SUPERVISOR	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
30-Apr-16	CALIDA	ROBERTO S.	INDEPENDENT DIRECTOR	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
30-Apr-16	INTIA	ROSEMARIE YVETTE B.	IT - ASSISTANT	-	DW CAPITAL, INC.	RESIGNATION (cleared)
30-Apr-16	GATCHALIAN	GENER M.	INDEPENDENT DIRECTOR	-	MARIAN SECURITIES, INC.	RESIGNATION (no clearance required)
30-Apr-16	CEÑIDOZA	MARA M.	ASSISTANT TRANSFER CLERK	-	YU AND COMPANY, INC.	RESIGNATION (cleared)
01-May-16	ANG	ARVIN KENDRICK L.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	ANG	NICOLE KELVIN S.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	DANDAN	KYLE JOSHUA C.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	DEL ROSARIO V	VICENTE EDUARDO L.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	GERMAN	JOHN PAUL M.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	JAO	JANINA BIANCA A.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	JAVELLANA	ISAAC JAMES C.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	NGO	VINCENT T.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-May-16	LEGARDA	MANUEL LUIS C.	SALESMAN - DOMESTIC	HEAD OF DOMESTIC SALES	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-May-16	BANSON	ANTONIO LUIS D.	EQUITIES DEALER	SALES TRADER	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
01-May-16	VALDEZ	JEREMY PAUL A.	-	SALES TRAINEE	SB EQUITIES, INC.	NEW EMPLOYMENT
02-May-16	SABROSO	MARK JOSEPH N.	-	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
02-May-16	CHUA	JOHN JACINTO R.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
02-May-16	SALVADOR	MARK ANTHONY P.	ASSISTANT ASSOCIATED PERSON	ASSOCIATED PERSON	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
02-May-16	LEE	JERWIN A.	IT ASSISTANT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (no clearance required)
02-May-16	PIAO	VENICA L.	-	ACCOUNTING CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
02-May-16	KILAYKO	GIOVANNI	-	INTERN	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
02-May-16	REYES	JOHN TRISTAN D.	SENIOR VICE PRESIDENT, INSTITUTIONAL SALES	EVP, INSTITUTIONAL SALES	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	ARENAS	EVELYN P.	VP,HEAD OF OPERATIONS	SVP,HEAD OF OPERATIONS	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	BENGSON	MICHAEL R.	SENIOR ANALYST, RESEARCH	SVP, SENIOR ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	SARREAL	LOVELL A.	VICE PRESIDENT, RESEARCH	SVP, SENIOR ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	SANTIAGO	QUINCY C.	SENIOR MANAGER, HEAD OF FINANCE & ACCOUNTING	AVP, HEAD OF FINANCE AND ACCOUNTING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	VARELA	MA. ANGELICA ELENA M.	MANAGER, MIDDLE OFFICE	AVP,HEAD OF MIDDLE OFFICE	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	DELOS SANTOS	REGINA SOCORRO S.	ASSISTANT MANAGER, SETTLEMENTS	MANAGER, SETTLEMENTS	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	TEODOSIO	LOURIE O.	ASSISTANT MANAGER, SETTLEMENTS	MANAGER, SETTLEMENTS	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	JIMENEZ	JACLYN P.	ASSISTANT MANAGER, RESEARCH	MANAGER, RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	STO DOMINGO	MA AURORA C.	JUNIOR DEALER, SALES TRADING & DEALING	DEALER, SALES TRADING & DEALING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION

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02-May-16	CANON	AIDA V.	TREASURY SUPERVISOR	ASST. MANAGER, TREASURY	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	FELICILDA	ANGELINE B.	ACCOUNTING SUPERVISOR	ASST. MANAGER, ACCOUNTING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	PADICA	MA. CELESTINA G.	ACCOUNTING OFFICER	ASST. MANAGER, ACCOUNTING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	MATUGUINA	VILMA B.	SALES ASSISTANT	ASST. MANAGER, MIDDLE OFFICE	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	PINEDA	MICAELA PAOLA L.	SALES ASSOCIATE	ASST. MANAGER, INSTITUTIONAL SALES	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	VAZQUEZ	ROSANA MARIA LUISA D.	SALES ASSOCIATE	ASST. MANAGER, INSTITUTIONAL SALES	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	MAGNAYE	RENANTE C.	TREASURY ASSISTANT	TREASURY OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	NOVILLAS	VIOLA G.	SETTLEMENTS ASSISTANT	SETTLEMENTS OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	PUBLICO	ALMA L.	SETTLEMENTS ASSISTANT	SETTLEMENTS OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	MONTEMAYOR	CHARMAINE A.	TRADING ASSISTANT, MIDDLE OFFICE	JUNIOR TRADER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	REYES	JAZZELYN F.	TRADING ASSISTANT, MIDDLE OFFICE	JUNIOR TRADER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
02-May-16	ESCARTIN	JAYSON T.	-	EQUITY ANALYST	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
02-May-16	SECO	JOHN ROY	-	ASSISTANT COMPLIANCE OFFICER	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
02-May-16	DE GUZMAN	MARIA LOURDES A.	-	CHIEF OPERATING OFFICER/ CORPORATE SECRETARY/ TREASURER	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
02-May-16	YLEAÑA	CATHERINE ROSE A.	HEAD OF FINANCE/ HR, ADMIN & TREASURER	HEAD OF FINANCE/ HR & ADMIN	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
02-May-16	HERMOGENES	RONALD Y.	ASSOCIATED PERSON/ CORPORATE SECRETARY	ASSOCIATED PERSON	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
02-May-16	CURIBANG	DYNA MAE M.	-	CUSTOMER SERVICE	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
02-May-16	ILAGAN	NOLIMAR L.	-	SALESMAN TRAINEE	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT

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03-May-16	MABIOG	ALBERT M.	-	SALESMAN	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
03-May-16	BASE	CHRISTINE P.	ASSISTANT CORPORATE SECRETARY	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
04-May-16	FULGENCIO	JOHN ERICKSON B.	-	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
04-May-16	BUNAGAN	JANA CHRISTIANE C.	JUNIOR DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
04-May-16	SORIANO	PRESCILLA C.	ASSOCIATED PERSON	VICE PRESIDENT, OPERATIONS	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RE-DESIGNATION
04-May-16	LALAGUNA	MA. SHERDAN D.	-	ASSOCIATED PERSON	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	NEW EMPLOYMENT
05-May-16	CHUA	MITCHELL MORTON V.	INDEPENDENT DIRECTOR	-	ASTRA SECURITIES CORPORATION	RESIGNATION
05-May-16	SY	RICHMOND IRVING C.	-	INDEPENDENT DIRECTOR	ASTRA SECURITIES CORPORATION	NEW EMPLOYMENT
05-May-16	MENDOZA	RENZO GIANMARCO M.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
05-May-16	PASCUAL	JOHN PAUL A.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
06-May-16	NIÑAL	RONALD ALLAN P.	TRAINEE	-	GUILD SECURITIES, INC.	RESIGNATION (no clearance required)
06-May-16	TAN	KENNY JUSTIN U.	-	SALES AGENT	RCBC SECURITIES, INC.	NEW EMPLOYMENT
06-May-16	BALBIDADEZ	LARA GAIL B.	TRADING FLOOR ASSISTANT	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
10-May-16	PURIFICACION	JOHN KENNETH D.	-	RECONCILIATION SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-May-16	SUTINGCO	JENNIFER M.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
10-May-16	ALABA	MILA U.	-	INDEPENDENT DIRECTOR	MARIAN SECURITIES, INC.	NEW EMPLOYMENT
10-May-16	OLAES	BETINA FAITH T.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
10-May-16	VIRAY	WIND SUZZANE B.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
10-May-16	SANCHEZ	AIRAH ANNE G.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
11-May-16	DELMO	MERLY T.	BOOKKEEPER	-	WONG SECURITIES CORPORATION	RESIGNATION (cleared)

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13-May-16	BALBAS	DIWATA R.	HR MANAGER	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION (cleared)
13-May-16	IBARRA	MIA ANGELICA B.	SALES - TRAINEE	-	SB EQUITIES, INC.	RESIGNATION (cleared)
14-May-16	DAVID	REYNALDO S.	-	DIRECTOR	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
15-May-16	CACHO	ELVIRA SHIEY J.	-	RECEPTIONIST/ TELEPHONE OPERATOR	MERIDIAN SECURITIES INCORPORATED	NEW EMPLOYMENT
15-May-16	NAVAL	CATHYLIN B.	TRADER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
15-May-16	LORICO	DANILO M.	ASSOCIATED PERSON	-	PHIL-PROGRESS SECURITIES CORPORATION	RESIGNATION (cleared)
15-May-16	MORA	RICHELLE F.	ACCOUNTING STAFF	ASSOCIATED PERSON	PHIL-PROGRESS SECURITIES CORPORATION	RE-DESIGNATION
15-May-16	FUTOL	SABRINA	CUSTOMER SERVICE	-	TIMSON SECURITIES, INC.	RESIGNATION
16-May-16	BARBACENA	NOAH M.	-	SETTLEMENTS OFFICER/ JUNIOR ASSISTANT	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
16-May-16	GRAN	VAL ANTHONY S.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
16-May-16	PARALEON	MA. COLEEN A.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
16-May-16	HIPOLITO	RONNIE M.	-	AM - INTERNAL AUDITOR	RCBC SECURITIES, INC.	NEW EMPLOYMENT
16-May-16	HAIGH	PHILIP MARK T.	-	INSTITUTIONAL EQUITY SALES/ MANAGER	SB EQUITIES, INC.	NEW EMPLOYMENT
16-May-16	ALVARRO	ROSALIND C.	-	SETTLEMENT OFFICER	SUPREME STOCKBROKERS, INC.	NEW EMPLOYMENT
16-May-16	BALATAZO	AMELIA S.	SETTLEMENT OFFICER	TRAINEE	SUPREME STOCKBROKERS, INC.	RE-DESIGNATION
17-May-16	ANG	MICK JAIRO M.	MARKETING ASSISTANT	CERTIFIED SECURITIES SPECIALIST	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
17-May-16	GUTIERREZ	ESPIE G.	-	SECRETARY	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
17-May-16	SIU	BENG	-	SALESMAN	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
18-May-16	YU	MICHELLE ANGELINE N.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
18-May-16	YU	MICHELLE ANGELINE N.	RESEARCH ANALYST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
20-May-16	BARROGA	ROXANNE LAUREEN D.	SENIOR ACCOUNTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
23-May-16	FRANCISCO	MEL-ANNE MARIE E.	-	SECURITIES CLERK	MDR SECURITIES, INC.	NEW EMPLOYMENT

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23-May-16	GAITE	JOSE FERNANDO VICTOR M.	-	SALESMAN	PHILSTOCKS FINANCIAL, INC.	NEW EMPLOYMENT
24-May-16	SO	ARLY JANE B.	SALES ASSISTANT	EQUITIES TRADER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
25-May-16	LIM	KIMBERLY JANE C.	JAM - SALES TRAINEE	JAM - EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
27-May-16	WUN	PETER T.	-	DIRECTOR	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
31-May-16	EUGENIO	MARICRIS A.	CUSTOMER SERVICE OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
31-May-16	LLOYD	MICHAEL J.	ASSOCIATE DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
31-May-16	CUAN	HAZEL PHIWEE D.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION
31-May-16	MENDOZA	DARRYL G.	ACCOUNTING STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
31-May-16	CU	BRICE KEVIN P.	SALESMAN	-	QUALITY INVESTMENTS & SECURITIES CORPORATION	RESIGNATION (cleared)
31-May-16	MUÑOZ	RAFAEL O.	PRESIDENT/ CEO/ NOMINEE	PRESIDENT/ CEO/ NOMINEE/ SALESMAN	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION