



MEMORANDUM – 2016-019

To : All Trading Participants

Subject : Employee Movement

Date : 8 July 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2016.

For information and guidance.

A handwritten signature in blue ink, appearing to read 'gmc', is positioned above the printed name of the signatory.

GRACE M. CALUBAQUIB

Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Jul-15	BANGAYAN	JASON Y.	-	SALESMAN	A & A SECURITIES, INC.	NEW EMPLOYMENT
04-Sep-15	REBAGODA	JIMMY V.	ACCOUNTING ASSISTANT	STOCK & TRANSFER HEAD	GOLDSTAR SECURITIES, INC.	RE-DESIGNATION
04-Sep-15	LAYLO	HANNI MARA Z.	STOCK & TRANSFER HEAD	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (no clearance required)
22-Oct-15	TAKAI	LOURDES T.	DIRECTOR	-	TANSENGCO & COMPANY, INC.	DECEASED
22-Oct-15	TANSENGCO	CARMEN O.	VICE PRESIDENT/ MANAGER/ CSR	VICE PRESIDENT/ MANAGER/ CSR/ DIRECTOR	TANSENGCO & COMPANY, INC.	RE-DESIGNATION
02-Nov-15	JOVES	ALJEAN	-	ACCOUNTING ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
16-Nov-15	MALINAO	MANUEL ANGELO P.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
31-Dec-15	TANSENGCO	JUDY N.	ACCOUNTANT	ACCOUNTANT/IT HEAD	TANSENGCO & COMPANY, INC.	RE-DESIGNATION
01-Jan-16	ALFONSO	FIDEL ANTONIO G.	FO-RESEARCH ANALYST	JAM-RESEARCH ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
27-Feb-16	TAN	ALNETTE L.	INDEPENDENT DIRECTOR	-	TANSENGCO & COMPANY, INC.	RESIGNATION
27-Feb-16	COLLANTES-GARCIA	ANNA CRISTINA V.	-	INDEPENDENT DIRECTOR	TANSENGCO & COMPANY, INC.	NEW EMPLOYMENT
01-Mar-16	CRUZ	CHRISTINE ROSE F.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
01-Mar-16	NOPUENTE	MICA ROSE P.	MARKETING MANAGER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
16-Mar-16	BONGO	CHARLENE C.	-	ACCOUNTANT-TRAINEE	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
18-Apr-16	SY	ALINE G.	DIRECTOR/ VP/ TREASURER/ AP/ CORPORATE SECRETARY	DIRECTOR/ VP/ TREASURER/ AP	INVESTORS SECURITIES, INC.	RE-DESIGNATION
26-Apr-16	YAMAMOTO	RUMI DG.	INSTITUTIONAL TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
27-Apr-16	ORTANEZ	MARY LORVIN J.	CLIENT ACQUISITION SUPPORT-CONTRACTUAL	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Apr-16	GO	JOHN OLIVER O.	INSTITUTIONAL TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
04-May-16	MEER	RAFAEL ANTONIO P.	-	ASSISTANT CORPORATE SECRETARY	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	NEW EMPLOYMENT
05-May-16	SY	RICHMOND IRVING C.	-	INDEPENDENT DIRECTOR	ASTRA SECURITIES CORPORATION	NEW EMPLOYMENT
10-May-16	MACATANGAY	MICHELLE D.	INDEPENDENT DIRECTOR	-	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	RESIGNATION (no clearance required)
10-May-16	LOPEZ	ROWENA P.	SETTLEMENT OFFICER	COLLECTION OFFICER/CASHIER	SB EQUITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
10-May-16	LINAO	NENITA C.	COLLECTION OFFICER	CORPORATE ACTION OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
10-May-16	AGUILAR	CARMEN JANLEI B.	FOREIGN SETTLEMENT	ADMINISTRATIVE/SUPPORT OFFICER	SB EQUITIES, INC.	RE-DESIGNATION
10-May-16	JAUDAL	ALMIRA MAE B.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	NEW EMPLOYMENT
12-May-16	BARACHINA	MARIAN S.	SALES & BUSINESS DEVELOPMENT MANAGER	SALES & BUSINESS DEVELOPMENT MANAGER/TRADER	HDI SECURITIES, INC.	RE-DESIGNATION
12-May-16	MAGHIRANG	ARABELLE C.	ASSISTANT MANAGER, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
12-May-16	BALILA	ALDWIN J.	ASSISTANT MANAGER, IT DEPT.	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
13-May-16	HWAN	ROBINSON C.	-	INDEPENDENT DIRECTOR	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	NEW EMPLOYMENT
14-May-16	CARLOS	JOSE PAOLO D.	DIRECTOR/TREASURER	DIRECTOR	DA MARKET SECURITIES, INC.	RE-DESIGNATION
14-May-16	DAVID	MICHELLE ANNE M.	DIRECTOR	DIRECTOR/TREASURER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
16-May-16	ZARCAL	LUIS MIGUEL M.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-May-16	HIPOLITO	RONNIE M.	AM-INTERNAL AUDITOR	JAM-INTERNAL AUDITOR	RCBC SECURITIES, INC.	RE-DESIGNATION
16-May-16	NAVAL	CATHYLIN B.	-	SALES TRAINEE	SB EQUITIES, INC.	NEW EMPLOYMENT
18-May-16	PEÑA	MARIAN N.	VICE CHAIRMAN	CHAIRMAN	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
18-May-16	GUAT	BENITO T.	CHAIRMAN	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	DECEASED
19-May-16	CHUA	PENELOPE T.	EXECUTIVE DIRECTOR-SALESPERSON	DIRECTOR AND EXECUTIVE DIRECTOR-SALESPERSON	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RE-DESIGNATION
20-May-16	BASILIDES	ARA VIANKA M.	TREASURY ACCOUNTANT	-	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RESIGNATION (cleared)
25-May-16	PASCUA	JINKEE N.	NEW ACCOUNTS OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (without clearance)
25-May-16	MACUGAY	CRISTER C.	SETTLEMENT OFFICER	-	LUCKY SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
25-May-16	ROSAÑES	ANDREW T.	TRADER	-	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RESIGNATION (cleared)
26-May-16	MORONG	JEOMAR B.	-	MESSENGER	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
26-May-16	MASLOG	DANIEL R.	-	SALESMAN / AGENT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
26-May-16	ANDRES	ANN TAMAMI I.	-	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
27-May-16	JARDIN	SHERWIN A.	-	MESSENGER	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
27-May-16	NORVAL	ANDREW M.	-	DIRECTOR	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
27-May-16	ANSELL	MARK J.	DIRECTOR	-	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-May-16	MACATANGAY	EDWIN A.	FLOOR TRADER	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
30-May-16	HIZON	PAOLO ANGELO C.	ASSOCIATE - BUSINESS DEVELOPMENT	ASSOCIATE - EQUITY SALES ONLINE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
31-May-16	PLAMENCO	MA.CECILIA O.	ACCOUNTANT	-	FIRST INTEGRATED CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-May-16	ALFONSO	KATRINA MICAH R.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
31-May-16	ILAGAN	APRIL LLOYD G.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
31-May-16	PROSPERO	SATCH DUANE MARTY H.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
31-May-16	TING	JOSEPH T.	DIRECTOR	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (no clearance required)
01-Jun-16	TALANAY	CHRISTINE ANNE A.	ACCOUNTING SPECIALIST	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
01-Jun-16	BARNUEVO	HAZEL U.	ACCOUNTING ASSISTANT	SENIOR ACCOUNTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Jun-16	ESPIRITU	MERYLL KRIS A.	-	CUSTOMER SERVICE CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-16	MEDRANO	CLARIZE MARIE B.	-	CUSTODY & NOMINEE SERVICES CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-16	LEMEN	GREGORY O.	SR. MANAGER	ASST. VICE PRESIDENT	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	CASIMSIMAN	JOEL S.	ASST. MANAGER	MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION

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01-Jun-16	CHUA	FREDERICK EVAN T.	ASST. MANAGER	MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	SANTIAGO	ELIZABETH T.	ASST. MANAGER	MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	AGAPAY	GRACE P.	SR. SUPERVISOR	ASST. MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	MARCELO	CHRISTINA M.	SR. SUPERVISOR	ASST. MANAGER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	BERNAL	MADELINE S.	SR. SECURITIES SETTLEMENT STAFF (NS4)	SECURITIES SETTLEMENT SUPERVISOR	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	HARVEY	SARAH JEAN R.	ADMINISTRATIVE ASST. (NS4)	SUPERVISOR-INST. SALES	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	ALUTE	LINO A.	CREDIT & COLLECTION ASST. (NS3)	CREDIT & COLLECTION ASST. (NS4)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	SAPON	MICHELLE I.	CREDIT & COLLECTION ASST. (NS3)	CREDIT & COLLECTION ASST. (NS4)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	DANOS	MAUREEN B.	ACCOUNTING CLERK (NS2)	JR. ACCOUNTANT (NS3)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	LANA	GERALDINE L.	CUSTODY & NOMINEE SERVICES CLERK (NS2)	INTERNAL CONTROL STAFF (NS3)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	BANOC	ESTRELLA M.	ACCOUNTING CLERK (NS1)	ACCOUNTING CLERK (NS2)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	CRUZ	ELIZABETH C.	SECURITIES SETTLEMENT CLERK (NS1)	SECURITIES SETTLEMENT STAFF (NS2)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	NOREGA	ERMEN G.	CUSTODY & NOMINEE SERVICES CLERK (NS1)	CUSTOMER SERVICE STAFF (NS2)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	ADRALES	PILAR R.	OPERATIONS CLERK (NS1)	OPERATIONS STAFF (NS2)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	LIMPIN	MICHELLE G.	TELEPHONE CLERK	-	ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Jun-16	VILLALUZ	GLAIZA M.	ENCODER	TELEPHONE CLERK	ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	TINGA JR.	DANTE R.	-	SENIOR VICE PRESIDENT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-16	AQUINO	MARIA CORAZON G.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Jun-16	TAN	MICHELLE GEORGE P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Jun-16	TUSARA	MARY ANGELI O.	-	OPERATIONS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jun-16	BUNAGAN	JANELLE CHRISTIE C.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Jun-16	SYLING	SARAH JANE P.	-	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES	NEW EMPLOYMENT

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					BROKERAGE CORPORATION	
01-Jun-16	MARICA	MICHELLE ANNE M.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Jun-16	LADRERA	LUIS R.	SECOND ASSISTANT CORPORATE SECRETARY	ASSISTANT CORPORATE SECRETARY	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
01-Jun-16	NAVA	CARLOS O.	SVP-FINANCE	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION (no clearance required)
01-Jun-16	PANIGBATAN	BENEDICTO P.	ASSISTANT CORPORATE SECRETARY	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION (no clearance required)
01-Jun-16	RAMIREZ	SALUSTIANO R.	ACCOUNTING CLERK	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION (no clearance required)
01-Jun-16	CORDERO	ARLENE JOY C.	-	OFFICE CLERK	JSG SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-16	TE	JOHN VICTOR C.	-	RESEARCH ANALYST	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jun-16	GASPAR, JR.	REYNALDO D.	-	UTILITY JANITOR	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jun-16	PALACIO, JR.	BENJAMIN B.	-	MOTORIZED MESSENGER	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jun-16	LIBUTAN	SENANDO M.	-	MOTORIZED MESSENGER	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jun-16	LEE	RACHELE LINDSAY Y.	TELEPHONE CLERK	SALESPERSON/TRADER	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
01-Jun-16	ENDRIGA	BALTAZAR N.	DIRECTOR/CORP.SECRETARY	DIRECTOR	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-16	MACASET	ATTY. ALLAN NARCISO P.	-	CORPORATE SECRETARY	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-16	KOA	MARK LEVINSON R.	-	MARKETING HEAD/SALESMAN/TRADER	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-16	CRUZ	JOCELYN D.	-	ACCOUNTING CLERK	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-16	ILAGAN	NOLIMAR L.	SALESMAN TRAINEE	SALESMAN	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
01-Jun-16	MUÑOZ	RAFAEL O.	PRESIDENT/CEO/NOMINEE/ SALESMAN	PRESIDENT/CEO/NOMINEE/SALES MAN/DIRECTOR	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
01-Jun-16	PANUELOS	BERNARDO D.	VICE-PRESIDENT/CFO	VICE-PRESIDENT/CFO/DIRECTOR	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
02-Jun-16	PRAGEDES	ROWENA C.	ADMIN STAFF FOR RESEARCH	HR STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
03-Jun-16	PILARCA	JED FREDERICK F.	RESEARCH ANALYST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other

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						broker)
04-Jun-16	PANO	GRETCHEN R.	SETTLEMENT OFFICER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
06-Jun-16	BINUYA	MARICAR L.	-	ASSOCIATED PERSON	ASTRA SECURITIES CORPORATION	NEW EMPLOYMENT
06-Jun-16	BUSTO	JOSEPH CESAR A.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	CHOA	EDREA FAUSTINA L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	ESPINOSA	DARREN FREDRICK A.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	KHO	KYNO ALBERT B.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	LAHOZ	GABRIEL B.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	QUINTIN	IAN BERNARD D.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	REMULLA	PAULA CHRISTIANA H.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	REYES	JUSTINE NOELLE T.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	TAN	ANNE DANICA L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	YU	ADRIAN ALEXANDER N.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-16	ORTIGAS	XAVIER IGNACIO M.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
07-Jun-16	ALMAZAR	JASMINE MARIE B.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
07-Jun-16	CHAN	JEREMY MARK T.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
07-Jun-16	TRINIDAD	FRANCO LUIS C.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
07-Jun-16	LICO	CATHERINE JOY N.	ACCOUNTING STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
07-Jun-16	FLORES	MARIA LEE M.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
07-Jun-16	PINUELA	KEA MARIE A.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
07-Jun-16	JAVIER	JUSTIN MEL S.	-	ON THE JOB TRAINEE	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
08-Jun-16	CEZAR	NYREEN R.	ASSISTANT MANAGER	-	BDO NOMURA SECURITIES, INC.	RESIGNATION (cleared)
08-Jun-16	ONG	CHESTER LIAO Y.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
08-Jun-16	GAWECO	WILSTER S.	VICE PRESIDENT	PRESIDENT/DIRECTOR	MOUNT PEAK SECURITIES, INC.	RE-DESIGNATION
08-Jun-16	RENZALES	CRISTY D.	-	GENERAL CLERK	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
08-Jun-16	SY	WILSON L.	CHAIRMAN OF THE BOARD	CHAIRMAN OF THE BOARD/NOMINEE	WEALTH SECURITIES, INC.	RE-DESIGNATION

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09-Jun-16	VALDEZ	JEREMY PAUL A.	SALES TRAINEE	SALESMAN-DEALER	SB EQUITIES, INC.	RE-DESIGNATION
10-Jun-16	LIMKIN	LAARNI JANEL C.	RESEARCH ASSOCIATE	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
10-Jun-16	GUINTO	IVY A.	TELEPHONE CLERK / EXECUTIVE ASSISTANT	-	DEUTSCHE REGIS PARTNERS, INC.	RESIGNATION (cleared)
10-Jun-16	CO	DAPHNE L.	DIRECTOR/TREASURY HEAD	ASSISTANT HEAD OPERATION/DIRECTOR	EASTERN SECURITIES DEVELOPMENT CORPORATION	RE-DESIGNATION
12-Jun-16	SIBAL	MARIA ELISA ANDREA P.	SALES	-	SB EQUITIES, INC.	RESIGNATION (cleared)
13-Jun-16	BASCUGIN	LYRA RUTH M.	SETTLEMENTS ASSISTANT	TELEPHONE CLERK/EXECUTIVE ASSISTANT	DEUTSCHE REGIS PARTNERS, INC.	RE-DESIGNATION
13-Jun-16	CREMA	JOBELLE A.	GENERAL CLERK	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION (without clearance)
13-Jun-16	OCAMPO	LOURDES ANN N.	-	GENERAL CLERK	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
13-Jun-16	QUIOGUE	FRANCISCO J.	-	INTERN/OJT	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-16	DELOS REYES IV	CRISANTO L.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jun-16	ESTAMPADOR	CARMEN ROSE B.	ASSISTANT CORPORATE SECRETARY	-	ALAKOR SECURITIES CORPORATION	RESIGNATION (clearance upon request)
15-Jun-16	GUTIERREZ	SANRIA G.	-	OFFICE STAFF/TRAINEE	AURORA SECURITIES, INC.	NEW EMPLOYMENT
15-Jun-16	TAN	KIM ALEXIS N.	CUSTOMER CARE ADMIN-CONTRACTUAL	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jun-16	MENDOZA	MICHAEL CHRISTOPHER S.	IT ASSOCIATE-CONTRACTUAL	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jun-16	OZAETA	ANTONIO H.	CHAIRMAN	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION
15-Jun-16	RAMOS	LUNINGNING C.	DIRECTOR	-	PCCI SECURITIES BROKERS CORPORATION	RESINATION
15-Jun-16	HERNANDEZ	ARTHUR R.	DIRECTOR	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION
15-Jun-16	GALANG	CORAZON C.	TREASURER/ SENIOR VICE PRESIDENT-HEAD OPERATIONS/CORPORATE	DIRECTOR/SVP/TREASURER & CORPORATE SECRETARY	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION

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			SECRETARY			
15-Jun-16	MATHAY	PONCIANO K.	-	DIRECTOR	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
15-Jun-16	LOPEZ	MIGUEL L.	-	DIRECTOR	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
15-Jun-16	GUINGONA	JOSE T.	-	CHAIRMAN OF THE BOARD	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
15-Jun-16	FUENTES	LORIEBELLE M.	-	ACCOUNTING STAFF	PHIL-PROGRESS SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jun-16	GAITE	JOSE FERNANDO VICTOR M.	SALESMAN	HEAD EQUITIES TRADER	PHILSTOCKS FINANCIAL, INC. (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION)	RE-DESIGNATION
15-Jun-16	BOTE	ROSANN MARIE M.	CUSTOMER EXPERIENCE SUPERVISOR	-	PHILSTOCKS FINANCIAL, INC. (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION)	RESIGNATION (clearance under process)
15-Jun-16	DELA PERI	CLENT JOSEPH C.	IT SYSTEMS SUPPORT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (did not apply for clearance)
15-Jun-16	NAVAL	CATHYLIN B.	SALES TRAINEE	SALES	SB EQUITIES, INC.	RE-DESIGNATION
15-Jun-16	DELA CRUZ III	RODOLFO S.	-	ASSOCIATE - EQUITY SALES TRADER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-16	TINIMBANG	LORIS C.	-	SECURITY SETTLEMENTS OFFICER/ OPERATIONS	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-16	ODULIO	EDNA B.	-	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-16	ARCELLANA	ARLEN E.	OPERATIONS STAFF	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ARUTA	MA. CRISTINA B.	ACCOUNTING STAFF	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BACULI	PAULINE ANNE S.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BAJADA	MABELLE F.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BARRAMEDA	KEZIAH ELIKA V.	NEW ACCOUNTS OFFICER	NEW ACCOUNTS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BASBAS	JACQUELINE ANNE T.	CUSTOMER RELATIONS OFFICER	CUSTOMER RELATIONS SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BATHAN	MARWIN B..	IT STAFF	IT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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16-Jun-16	BELENCION	BONNA LIZA A.	CUSTOMER RELATIONS OFFICER	CUSTOMER RELATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BONDOC	APRIL JOYCE M.	MKTG COMMUNICATIONS COORDINATOR & GRAPHIC DESIGNER	MKTG COMMUNICATIONS ASSISTANT & GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BORJA	PATRICIA S..	NEW ACCOUNTS OFFICER	NEW ACCOUNTS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	BUENDIA	MONICA JOHANN T.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CANTON	KARREN MAE A.	NEW ACCOUNTS OFFICER	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CHING	GEORGE S.	RESEARCH ANALYST	RESEARCH SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CHUA	NATASHA CAMILLE D.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CONCEPCION	CAMILA D.	CUSTOMER RELATIONS OFFICER	CUSTOMER RELATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CONCEPCION	JOBELLE T.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	COS	CARMELITA T.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	CUARTERON	ROBERT N.	APPLICATIONS DEVELOPMENT PERSONNEL	APPLICATIONS DEVELOPMENT ASSISTANT SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	DUEÑAS	DORA JANE T.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ESGUERRA	NORAVEL S.	ACCOUNTING STAFF	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ESQUIVEL	JANEL INGRID KIERSTEN C.	OPERATIONS STAFF	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ESTOLEYDES	VIOLETA M.	LEGAL AND COMPLIANCE ASSISTANT	LEGAL AND COMPLIANCE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	FILART	JOSEPHINE G.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	FRANCIA	GERRY U.	IT STAFF	IT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	GARCIA	MICAELA LORAIN C.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ILDEFONSO	JEIMEE G.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	INOSANTE	ALYZA P.	MARKETING & SALES ASSISTANT	MARKETING & SALES STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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16-Jun-16	KALAW	GEM KATHLEEN C.	NEW ACCOUNTS OFFICER	NEW ACCOUNTS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	LAGUMAY	HERSHEY S.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	LAÑEDA	RICHARD G.	RESEARCH ANALYST	RESEARCH SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	LEE	KRISTINE S.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	MACALINAO	ALPHA IAN GENESIS L.	MARKETING & SALES ASSISTANT	MARKETING & SALES STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	MARCOS	JOVIELYN S.	NEW ACCOUNTS OFFICER	NEW ACCOUNTS SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	MOLINA	JONALYN L.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	NEVADO	CARLA FRANCESCA I.	ACCOUNTING STAFF	ACCOUNTING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	NG	PATRICIA ADRIENNE P.	MARKETING ASSISTANT	MARKETING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	NUEVAS	CRISTINE ANN M.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ORTEZA	REA P.	ASSOCIATED PERSON	ACCOUNTING SENIOR MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	PALER	LUNA MARIE T.	ADMIN STAFF	ADMIN SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	PINEDA	KATHRINA Q.	OPERATIONS STAFF	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	PONCE	KAREN AUTUMN S.	ADMIN STAFF	ADMIN ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	QUITON	JHOANNA MARIE J.	NEW ACCOUNTS OFFICER	NEW ACCOUNTS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	RICO	REGINA ROSARIO T.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	RIMANDO	MITZI LOUIE M.	EXECUTIVE SECRETARY	EXECUTIVE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ROQUE	MARIBEL D.	CUSTOMER RELATIONS OFFICER	CUSTOMER RELATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	ROSALES	EMMALYN E.	ACCOUNTING STAFF	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	SENINING	JENNY E.	CUSTOMER RELATIONS OFFICER	CUSTOMER RELATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	SILERIO	DANICA LOUISE Y.	HR STAFF	HR SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	SOLIDUM	MA. CLAYCEL O.	CUSTOMER SERVICE OFFICER	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	TAN	MICHELE B.	CUSTOMER SERVICE	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
			OFFICER			
16-Jun-16	TAPERLA	GLORY G.	OPERATIONS STAFF	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	TOLENTINO	ELIZABETH L.	PCG MARKETING STAFF	PCG MARKETING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	TUQUERO	CHARMANE B.	ADMIN STAFF FOR RESEARCH	RESEARCH ADMIN ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	YAPANA	ANGELINE JOY D.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-16	PACIA	BRIAN ERMIN C.	EXECUTIVE ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-Jun-16	CHUANG	CHIN SHIH	INDEPENDENT DIRECTOR	-	KING'S POWER SECURITIES, INC.	RESIGNATION
16-Jun-16	CHUANG	KEMMY T.	INDEPENDENT DIRECTOR	-	KING'S POWER SECURITIES, INC.	RESIGNATION
16-Jun-16	SHIH	WEI MING	-	INDEPENDENT DIRECTOR	KING'S POWER SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-16	CO	CHRISTINE C.	ASSISTANT CORP. SEC.	INDEPENDENT DIRECTOR / ASSISTANT CORP.SEC.	KING'S POWER SECURITIES, INC.	RE-DESIGNATION
16-Jun-16	BALATAZO	AMELIA S.	TRAINEE	SALESMAN	SUPREME STOCKBROKERS, INC.	RE-DESIGNATION
17-Jun-16	HERMOSO	JESSA B.	OPERATIONS STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
17-Jun-16	PRIETO	SARAH JANE C.	ACCOUNTING ANALYST	-	SB EQUITIES, INC.	RESIGNATION (cleared)
20-Jun-16	SIBAL	MARIA ELISA ANDREA P.	-	SALES TRADER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
20-Jun-16	TIENG	JAMES GREGORY T.	-	ACCOUNTING STAFF	G.D. TAN & CO., INC.	NEW EMPLOYMENT
20-Jun-16	VIRAY	WIND SUZZANE B.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
20-Jun-16	OLAES	BETTINNA FAITH T.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
20-Jun-16	SANCHEZ	AIRAH ANNE G.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
22-Jun-16	SAMBRANO	MOSES ADRIAN S.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
22-Jun-16	LARANGA	JUSTNEY B.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
23-Jun-16	PAGALILAUAN	MARICEL B.	-	OPERATIONS ASSISTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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23-Jun-16	ALFILER	FELIX ENRICO R.	-	INDEPENDENT DIRECTOR	PNB SECURITIES, INC.	NEW EMPLOYMENT
23-Jun-16	PALMIERY	REYNALDO P.	INDEPENDENT DIRECTOR	-	PNB SECURITIES, INC.	RESIGNATION (cleared)
27-Jun-16	JAYOMA	RALPH JAN I.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
27-Jun-16	ORTIZ	ANTONIO PAULINO P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
27-Jun-16	NICOLAS	FRANCES ROLFA B.	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
27-Jun-16	LACANIENTA	JAY P.	MANAGER	SR. MANAGER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
27-Jun-16	GONZALES	MA. LUISA N.	MANAGER	SR. MANAGER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
27-Jun-16	ORO	VICENTE T.	MANAGER	SR. MANAGER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
30-Jun-16	CARLOS	JOSE PAOLO D.	DIRECTOR	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-16	LIM	KATE JENNIFER C.	RESEARCH ASSISTANT	EQUITY ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-16	AMPARO	NESTOR M.	SECURITIES CLERK	-	PAPA SECURITIES CORPORATION	RETIREMENT
30-Jun-16	CAPIRAL	JOANNA ANGELA M.	HEAD RESEARCH	-	PAPA SECURITIES CORPORATION	RESIGNATION
30-Jun-16	RAMOS	STEPHANIE R.	RESEARCH ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION
01-Jul-16	LUHAS	ARBEE B.	EQUITY RESEARCH ANALYST	-	BA SECURITIES, INC.	RESIGNATION (cleared)
15-Jul-16	ROJAS	ROBELIN NATHANIEL S.	DEALER	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)