



MEMORANDUM – 2016-022

To : All Trading Participants

Subject : Employee Movement

Date : 5 August 2016

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2016.

For information and guidance.

A handwritten signature in blue ink, appearing to read 'Grace', is positioned above the printed name of the signatory.

GRACE M. CALUBAQUIB

Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
04-May-16	BUNAGAN	JANA CHRISTIANE C.	JUNIOR DEALER	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
12-May-16	MAGHIRANG	ARABELLE C.	ASSISTANT MANAGER, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
22-May-16	BALILA	ALDWIN J.	ASSISTANT MANAGER, IT DEPT.	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
06-Jun-16	TAN	LESLY CHARMAINE G.	-	RESEARCH AND FINANCE ANALYST	HDI SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-16	GLORIA	JESS RUEL N.	IT ASSISTANT	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (no clearance required)
10-Jun-16	SUMPAY	IAN S.	MESSENGER/COLLECTOR	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (no clearance required)
13-Jun-16	MAGTURO	DAPHNE J.	-	EQUITY RESEARCH ANALYST	BA SECURITIES, INC.	NEW EMPLOYMENT
13-Jun-16	BASCO	CHERRY JOY D.	-	MIDDLE OFFICE ASSISTANT, MIDDLE OFFICE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
13-Jun-16	BAYLON	ERICK JOHN M.	-	EXECUTIVE, I.T. DEPT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
15-Jun-16	ROQUE	ANNALYN D.	OFFICE ASSISTANT	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Jun-16	LIM	JOSEPHINE ANNE K.	TELEPHONE CLERK	-	BELSON SECURITIES, INC.	RESIGNATION (cleared)
15-Jun-16	TAN	SCHERZANDO S.	IT HEAD	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (no clearance required)
16-Jun-16	HERRERA	MARIA CONCEPCION V.	SETTLEMENT ASSISTANT	-	JAKA SECURITIES CORPORATION	RESIGNATION (cleared)
16-Jun-16	PATERSON	THOMAS J.	SALES TRADER TRAINEE	SALES TRADER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
18-Jun-16	PE	CAROLINE U.	SALES AGENT	SALES CONSULTANT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
23-Jun-16	ROMERO	MARIA PAULA G.	CORPORATE SECRETARY	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
23-Jun-16	NAVARRA	ELLIE CHRIS C.	-	CORPORATE SECRETARY	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-16	PEREZ	ATTY. VICENTE R.	INDEPENDENT DIRECTOR	-	PLATINUM SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-16	CLAPAROLS	ANDRES P	RESEARCH ANALYST	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
30-Jun-16	TAN	RITZ CHARLYN L.	TELEPHONE CLERK	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Jun-16	TACDORO	SARA JEAN G.	OFFICE ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
30-Jun-16	MALAGA	APPLE O.	CLERK	-	S. J. ROXAS & CO., INC.	RESIGNATION (no clearance required)
30-Jun-16	MATAYA	DARA SARITA M.	CASH SETTLEMENT ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Jun-16	TAÑEDO	MA. JUDITH D.	OPERATIONS DIRECTOR / ASSOCIATED PERSON / TREASURER	-	DEUTSCHE REGIS PARTNERS, INC.	RESIGNATION (cleared)
30-Jun-16	QUIAMBAO	ALIANA RINNA M.	OJT	-	YU AND COMPANY, INC.	RESIGNATION (no clearance required)
30-Jun-16	FELICIANO	CRIZEL M.	OJT	-	YU AND COMPANY, INC.	RESIGNATION (no clearance required)
30-Jun-16	BALON	MARK AMADEO B.	TRAINEE	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-16	BUENAVENTUR A	GIL A.	CHAIRMAN OF THE BOARD	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
30-Jun-16	DE JESUS	EDUARDO L.	CORPORATE SECRETARY	-	MARIAN SECURITIES, INC.	RESIGNATION (no clearance required)
30-Jun-16	MAUNES	RODOLFO, JR S.	HEAD-RESEARCH	-	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RESIGNATION (clearance under process)
30-Jun-16	NGO	JOAN BEATRICE Y.	ACCOUNTING CLERK	-	WEALTH SECURITIES, INC.	RESIGNATION (cleared)
01-Jul-16	BARBA	ROWEL S.	-	INDEPENDENT DIRECTOR	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	MAGNO	ARIEL S.	-	INDEPENDENT DIRECTOR	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	DE LOS REYES	FERNANDO S.	-	INDEPENDENT DIRECTOR	PLATINUM SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	MARILAG	ANA LISA L.	-	HR ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Jul-16	BALDANZO	ANNE JOSUA M.	-	CUSTOMER SERVICE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-16	RUIZ	RAUL P.	VP-RESEARCH HEAD	FVP-RESEARCH HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-16	PEREZ	DAVID M.	JAM-ASST. OPERATIONS HEAD	AM-ASST. OPERATIONS HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-16	VELASCO	SUNDEE B.	FO-COMPLIANCE ASST.	JAM-COMPLIANCE ASST.	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-16	CALAQUIAN	CHELISA ANN V.	EQUITIES TRADER	FO-EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jul-16	MAYORES	COLEEN S.	ADMIN. ASSISTANT	FO-ADMIN ASST,	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-16	NARVAEZ	HERMENEGLIDO Z.	-	HEAD OF EQUITY RESEARCH	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	MENDOZA	MICHAEL CHRISTOPHER S.	-	I.T. ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	TAN	KIM ALEXIS N.	-	CLIENT RELATIONS ASSISTANT	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	LARITA	LAWRENCE ANGELICA R.	TELEPHONE CLERK	-	BELSON SECURITIES, INC.	RESIGNATION (cleared)
01-Jul-16	SISAYAN	KERVIN S.	SENIOR ASSOCIATE ANALYST, RESEARCH DEPARTMENT	ANALYST, RESEARCH DEPARTMENT	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
01-Jul-16	ANDAYA	JOSEEBELLE O.	ASSOCIATE, SETTLEMENTS	SENIOR ASSOCIATE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
01-Jul-16	CALIXTO	MARIE THERESE D.C.	ASSOCIATE, SETTLEMENTS	SENIOR ASSOCIATE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
01-Jul-16	ODULIO	JARED B.	-	MANAGEMENT TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-Jul-16	BELEN	MARICEL D.	CUSTOMER SERVICE CLERK (NS1)	CUSTOMER SERVICE STAFF (NS2)	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jul-16	ROSELLAS	DAVY JONES C.	-	JR. ACCOUNTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	TIENG	JAMES GREGORY T.	-	ASSOCIATED PERSON	G.D. TAN & CO., INC.	NEW EMPLOYMENT
01-Jul-16	BAUTISTA	MA. ANDREA V.	ACCOUNTANT	-	J. M. BARCELON & CO., INC.	RESIGNATION (cleared)
01-Jul-16	MACUGAY	CRISTER C.	-	ACCOUNTANT	J. M. BARCELON & CO., INC.	NEW EMPLOYMENT
01-Jul-16	DEITA	MA. ROLYN M.	-	ACCOUNTANT	YAO & ZIALCITA, INC.	NEW EMPLOYMENT
01-Jul-16	LIM	GABRIEL U.	-	DIRECTOR/TREASURER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	GUEVARRA	LAZARO JEROME C.	-	PSE NOMINEE	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-16	FRANCISCO	EDUARDO V.	DIRECTOR/TREASURER/PSE NOMINEE	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
01-Jul-16	RONQUILLO	PAOLA JOYCE T.	-	SECURITY SETTLEMENTS OFFICER/OPERATIONS	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	NEW EMPLOYMENT
01-Jul-16	LIM	GABRIEL U.	DIRECTOR/PRESIDENT/PSE NOMINEE	-	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	RESIGNATION (cleared)
01-Jul-16	CAHIGAS	MICHAEL R.	ASSISTANT TREASURER	-	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	RESIGNATION (cleared)
01-Jul-16	FRANCISCO	EDUARDO V.	-	DIRETOR/CHAIRMAN/PSE	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT

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				NOMINEE	(FORMERLY: PCIB SECURITIES, INC.)	
01-Jul-16	KATAKAWA	KOICHI	-	PRESIDENT	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	NEW EMPLOYMENT
01-Jul-16	YAMAKAWA	TAKASHI	-	CHIEF ADMINISTRATIVE OFFICER	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	NEW EMPLOYMENT
01-Jul-16	NAGASHIMA	RYO	-	HEAD OF MARKETING	BDO NOMURA SECURITIES INC. (FORMERLY: PCIB SECURITIES, INC.)	NEW EMPLOYMENT
01-Jul-16	TAN	DOMINIQUE RAPHAELLA ANNE T.	-	TRAINEE	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	NUBLA	LARISSA ANGELICA P.	-	TRAINEE	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	RATERTA	LAIZA REGINA C.	CLERK/TYPIST	SETTLEMENT STAFF/CLERK/TYPIST	SOLAR SECURITIES, INC.	RE-DESIGNATION
01-Jul-16	GONZALES	MARCELINA P.	-	ACCOUNTING STAFF	SOLAR SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	DAVID	LANEE C.	CORPORATE SECRETARY	-	SOLAR SECURITIES, INC.	RESIGNATION (no clearance required)
01-Jul-16	SERRANO	ANNA LIZA L.	-	BUSINESS RELATIONSHIP EXECUTIVE, HR	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	ROQUE	RICHARD ALLEN D.	AVP, PRODUCT DEVELOPMENT AND INCENTIVES HEAD	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
01-Jul-16	LOPEZ	CRISTINA D.	ASST. VICE PRESIDENT, SETTLEMENTS	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
01-Jul-16	DALISAY	SANNET YVES JANET P.	-	CORPORATE SECRETARY	MARIAN SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-16	LOO	ROSELYN C.	-	BOOKEEPER TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-Jul-16	SUNGA	VON HERBERT T.	IT ADMIN SUPPORT	IT NETWORKING	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
04-Jul-16	VILLARAZA	CAMILLE ANN R.	-	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
04-Jul-16	MANDANAS	MATTHEW M.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
04-Jul-16	PILAPIL	LESLEI ANN P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
04-Jul-16	SY	AUDRIC ALPHONSE C.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
04-Jul-16	CHOZAS	YNAH THEA NICOLI A.	-	HR & ADMIN ASSISTANT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT

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04-Jul-16	YU	SEAN	-	INTERN	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
04-Jul-16	BERNARDINO	MIKHAIL PIERREANGELO A.	MARKET RESEARCH OFFICER	SALESMAN	PHILSTOCKS FINANCIAL, INC. (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION)	RE-DESIGNATION
04-Jul-16	BELEN	MARJORIE D.	-	CUSTOMER SERVICE CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jul-16	DELA RAPA	ANNE GLORAINE V.	-	CUSTOMER SERVICE CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jul-16	ESPIRITU	JEFFERLINE O.	-	CUSTOMER SERVICE CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jul-16	JAVIER	JUSSA D.	-	CUSTOMER SERVICE CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
04-Jul-16	NEMEC	TALYA KARMINA D.	INVESTMENT ANALYST	-	IGC SECURITIES, INC.	RESIGNATION (no clearance required)
06-Jul-16	PANALIGAN	RACQUEL T.	SALESPERSON	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
07-Jul-16	NATIVIDAD	GENEVIEVE M.	-	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
07-Jul-16	GREGORIO	JUSTIN CARLO P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
08-Jul-16	PALOMO	ABIGAIL D.	ASSOCIATE ANALYST , RESEARCH DEPARTMENT	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
08-Jul-16	ESTOYA	PERSOVAL U.	COMPANY DRIVER	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
08-Jul-16	MONTES	VAUGHN F.	VICE-CHAIRMAN	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
09-Jul-16	LIBORO	RICHARD ANTHONY Y.	DIRECTOR, HEAD OF HNW ADVISORY SALES	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
11-Jul-16	DELA CRUZ, JR.	ANDY WILLING	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
11-Jul-16	QUERIJERO	KATHLEEN ALEXIS L.	-	CUSTOMER SERVICE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
11-Jul-16	DEL ROSARIO	LAURANT A.	-	OJT-RESEARCH	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
11-Jul-16	LACSAMANA	MCLAINE CHRISTINE ABIGAIL	-	AP TRAINEE	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
11-Jul-16	BAMBICO	LOVELY JOY M.	ASSISTANT MANAGER, HRD	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
12-Jul-16	AQUINO	MARIA CORAZON G.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)

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12-Jul-16	CHOA	EDREA FAUSTINA L.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
13-Jul-16	ONG	CHESTER LIAO Y.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
14-Jul-16	BACULI	PAULINE ANNE S.	CUSTOMER SERVICE ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
14-Jul-16	EÑEGO	MARILOU S.	SENIOR EXEC., BUSINESS PROCESS TECHNOLOGY SERVICES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
15-Jul-16	ESPINOSA	DARREN FREDRICK A.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
15-Jul-16	ROJAS	ROBELIN NATHANIEL S.	DEALER	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
15-Jul-16	JARIEL	JOWELL JASPER C.	HNW SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Jul-16	EUSTAQUIO	JESSE NEIL C.	-	SALESMAN	PHILSTOCKS FINANCIAL, INC. (FORMERLY: ACCORD CAPITAL EQUITIES CORPORATION)	NEW EMPLOYMENT
15-Jul-16	LALAGUNA	MA. SHERDAN D.G.	ASSOCIATED PERSON	-	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Jul-16	PATRICIO	PATRICIO M.	MESSENGER/COLLECTOR	-	A.T. DE CASTRO SECURITIES CORPORATION	RESIGNATION (cleared)
15-Jul-16	OCAMPO	LOURDES ANN N.	GENERAL CLERK	-	GLOBALINKS SECURITIES AND STOCKS, INC.	WITHHELD (without clearance)
15-Jul-16	YUSON	GHIA PAULA L.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Jul-16	MARILAG	ANA LISA L.	HR ASSISTANT	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (cleared)
15-Jul-16	UY	ANJELICA B.	-	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
15-Jul-16	SORIANO	PRESCILLA C.	VP-OPERATIONS	ASSOCIATED PERSON	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	RE-DESIGNATION
16-Jul-16	CUA	MEREDITH HAZEL C.	RESEARCH ANALYST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but

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						may join other broker)
16-Jul-16	TORRES	JOSELYNN B.	-	INDEPENDENT DIRECTOR	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-16	DAVID	MICHELLE ANNE M.	DIRECTOR/TREASURER	DIRECTOR	DA MARKET SECURITIES, INC.	RE-DESIGNATION
16-Jul-16	DAVID	REYNALDO S.	DIRECTOR	DIRECTOR/TREASURER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
18-Jul-16	BALON	MARK AMADEO B.	-	TRAINEE/TELEPHONE CLERK	BELSON SECURITIES, INC.	NEW EMPLOYMENT
18-Jul-16	SOLEMA	CHOERLEEN BIANCA R.	RESEARCH ANALYST	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
18-Jul-16	LANUZA	CHIARA ROSARIO JULIA V.	OFFICE STAFF	SALESMAN	CAMPOS, LANUZA & COMPANY, INC.	RE-DESIGNATION
18-Jul-16	LAHOZ	GABRIEL B.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
18-Jul-16	TAN	ANNE DANICA L.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
18-Jul-16	YANG	JUSTIN VINCENT L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
18-Jul-16	ENTRADA	CATHERINE F.	-	SETTLEMENT OFFICER	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
18-Jul-16	PAMANI	THELMA S.	-	AP-TRAINEE/RESEARCH	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
18-Jul-16	RODRIGUEZ	JANET R.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
18-Jul-16	SANTOS	SARAH NICOLE T.	RETAIL SALES ONLINE OFFICER	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
18-Jul-16	DAPON	CHRISTINA R.	-	ACCOUNTING ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
21-Jul-16	GAN	VAL ANTHONY A.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
21-Jul-16	DANGO	LAARNI RENAE C.	SECRETARY	-	VENTURE SECURITIES, INC.	RESIGNATION (Did not ask for clearance to join other broker)
22-Jul-16	GONZALES	KELVHIN A.	-	JUNIOR PROGRAMMER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Jul-16	DE MESA	MARK GIERON E.	TRADER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
22-Jul-16	KHO	KYNO ALBERT B.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
22-Jul-16	REMULLA	PAULA CHRISTIANA O.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)

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22-Jul-16	REYES	JUSTINE NOELLE T.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
25-Jul-16	CUAN	HAZEL PHIWEE D.	-	EQUITY RESEARCH ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Jul-16	SAMBRANO	MOSES ADRIAN S.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
25-Jul-16	LARANGA	JUSTNEY B.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
25-Jul-16	SANTIAGO	REGINALD ANGELO H.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
25-Jul-16	REYES	RON JAIME J.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
27-Jul-16	PARALEON	MA. COLEEN A.	INETRN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
28-Jul-16	AGUILAR	EDWARD J.	TRAINEE	-	WONG SECURITIES CORPORATION	RESIGNATION (no clearance required)
28-Jul-16	CHAU	MICHAEL W.	TRAINEE	TRADING ASSISTANT	WONG SECURITIES CORPORATION	RE-DESIGNATION
29-Jul-16	FLORES	MARIA LEE M.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
29-Jul-16	PINUELA	KEA MARIE A.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)
29-Jul-16	JAVIER	JUSTIN MEL S.	OJT	-	UPCC SECURITIES CORPORATION	RESIGNATION (no clearance required)