



MEMORANDUM – 2016-030

To : All Trading Participants

Subject : Employee Movement

Date : 6 October 2016

Pursuant to Art.IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 September 2016.

For information and guidance.

A handwritten signature in blue ink, appearing to read 'Grace', is positioned above the printed name.

GRACE M. CALUBAQUIB

Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jun-16	CABANGON	DOMINGA CECILIA A.	-	DIRECTOR	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
11-Jul-16	BAMBICO	LOVELY JOY M.	ASSISTANT MANAGER, HRD	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
14-Jul-16	EÑEGO	MARILOU S.	SENIOR EXEC. BUSINESS PROCESS TECHNOLOGY SERVICES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
01-Aug-16	LUZ	LORENZO L.	EXEC. VICE PRESIDENT/ECONOMIST AND HEAD OF RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
05-Aug-16	REGANIT	MA. CYNTHIA M.	ACCOUNTING STAFF	ACTING CORPORATE SECRETARY	SOLAR SECURITIES, INC.	RE-DESIGNATION
15-Aug-16	YLEAÑA	CATHERINE ROSE A.	HEAD OF FINANCE/HR & ADMIN	HEAD OF FINANCE	PHILIPPINE EQUITY PARTNERS, INC.	RE-DESIGNATION
15-Aug-16	PAGUILIGAN	THEA PHILLINE M.	-	INVESTMENT ANALYST	IGC SECURITIES, INC.	NEW EMPLOYMENT
16-Aug-16	BALIBALOS	JESTONE B.	-	IT TECH SUPPORT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
22-Aug-16	JANI	EKHWAN B.	DEPUTY CFO	DIRECTOR/DEPUTY CFO	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
22-Aug-16	ENRIQUEZ	NILAIDA S.	DIRECTOR/EXEC. VICE PRESIDENT, OPERATIONS GROUP	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
22-Aug-16	RODRIGUEZ	JOSE GERARDO B.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
26-Aug-16	ALDAY	FRANCIS NICOLI L.	TRAINEE	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
30-Aug-16	LIM	WILLEM RIAM Y.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
30-Aug-16	CHIONGBIAN	ROSALINA PRISCILLA M.	-	DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
30-Aug-16	DIABO	BAIVERTH M.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION
30-Aug-16	TANYEN	JENNIFER JOY C.	EXECUTIVE ASSISTANT, EQUITIES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
30-Aug-16	ROXAS	LORENZO ANDRES T.	NOMNEE, DIRECTOR, CHAIRMAN & PRESIDENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
30-Aug-16	LEYVA	KIM CRISHA D.	-	SALES AGENT	MAYBANK ATR KIM ENG	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
					SECURITIES, INC.	
31-Aug-16	OLIVARES	JAZMINE CLARISSE B.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
31-Aug-16	DEL ROSARIO	LAURANT A.	OJT-RESEARCH	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
31-Aug-16	DIABO	BAIVERTH M.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
31-Aug-16	SALCEDO, JR.	ALFONSO L.	-	BOARD OF DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
31-Aug-16	FONTANILLA	JOSE PAOLO DEOGRACIAS S.	RESEARCH HEAD	-	SB EQUITIES, INC.	RESIGNATION (cleared)
01-Sep-16	SILERIO	DANICA LOUISE Y.	HR SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-Sep-16	LEE	BEVERLY MAE C.	-	RELATIONSHIP OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Sep-16	ORGA	MARK GERARD C.	-	INVESTMENT DEVELOPMENT OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Sep-16	PAGARIGAN	VHERLENE ANNE A.	-	OPERATIONS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Sep-16	BALDANZO	ANNE JOSUA M.	CUSTOMER SERVICE STAFF	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Sep-16	NATIVIDAD	ANGELO MIGUELL T.	-	INVESTMENT ANALYST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Sep-16	LICHAUCO	LORENZO SIXTO T.	-	DIRECTOR, CHAIRMAN & PRESIDENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Sep-16	JANI	EKHWAN B.	DIRECTOR/DEPUTY CFO	DIRECTOR/CFO	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Sep-16	CAPANGPANGAN	JOY D.	MESSENGER	-	VISCAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (no clearance required)
01-Sep-16	FONTANILLA	JOSE PAOLO DEOGRACIAS C.	-	ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
05-Sep-16	GONZAGA	LUIS LORENZO C.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
05-Sep-16	TAN	MYLES JACOB S.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
05-Sep-16	YUPANGCO	LARA GABRIELLE V.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
05-Sep-16	PASCUA	CRISTINE G.	-	SALESMAN/AGENT	PNB SECURITIES, INC.	NEW EMPLOYMENT
06-Sep-16	GARCIA	MIGUEL ANTONIO T.	CSR EQUITIES TRADER	CSR/INDEPENDENT TRADER	CITISECURITIES, INC.	RE-DESIGNATION
09-Sep-16	JIMENEZ	JACLYN P.	MANAGER, RESEARCH ANALYST	-	MAYBANK ATR KIM ENG	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
					SECURITIES, INC.	(clearance under process)
12-Sep-16	ESTACION	MANUEL S.	INDEPENDENT DIRECTOR	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
13-Sep-16	CENTINO	EARL C.	TRADER/SALESMAN	-	TIMSON SECURITIES, INC.	RESIGNATION
14-Sep-16	ONG	MARCELA C.	CORPORATE SECRETARY	-	E. CHUA CHIACO SECURITIES, INC.	RESIGNATION (no clearance required)
14-Sep-16	CHUA	ALFREDO O.	-	CORPORATE SECRETARY	E. CHUA CHIACO SECURITIES, INC.	NEW EMPLOYMENT
15-Sep-16	RAMOS	CARMELYN S.	ASSOCIATED PERSON	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
16-Sep-16	DE JESUS	FIorenzo D.	-	ACCOUNT EXECUTIVE/SALES	PNB SECURITIES, INC.	NEW EMPLOYMENT
19-Sep-16	SO	ARLY JANE B.	-	TELEPHONE CLERK	STAR ALLIANCE SECURITIES CORP	NEW EMPLOYMENT
21-Sep-16	ANTONINO	MARIA EDITA F.	DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION
21-Sep-16	LEGASPI	RONALDO S.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Sep-16	RAMOS	CARMELYN S.	-	ASSOCIATED PERSON	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
22-Sep-16	BORROMEO	CECILIA	-	CHAIRMAN OF THE BOARD	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
22-Sep-16	ARRIOLA	ARIEL	-	INDEPENDENT DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
22-Sep-16	MACASAET	RAMON	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
26-Sep-16	MENDIETA	ENRIQUE MIGUEL G.	-	CORPORATE RELATIONSHIP MANAGER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
26-Sep-16	ROSARIA	SALDIE MARCK D.	-	I.T. STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
30-Sep-16	VICTORIOSO	ALICIA R.	ASSOCIATED PERSON	-	LITONJUA SECURITIES, INC.	RESIGNATION