



MEMORANDUM – 2016-032

TO : ALL TRADING PARTICIPANTS
SUBJECT : 2016 TRADING PARTICIPANTS' SEMINAR PROGRAM
DATE : 25 OCTOBER 2016

Further to CMIC Memorandum 2016-031 dated 14 October 2016, which provided initial details on the Trading Participants' Seminar to be held on 04 November 2016, attached, for your perusal, is the seminar program.


CORNELIO C. GISON
President

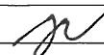


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CAPITAL MARKETS INTEGRITY CORPORATION

2016 Trading Participants' Seminar

4 November 2016

PROGRAM

- 8:30 AM – 9:00 AM Registration
- 9:00 AM – 9:03 AM Invocation
- 9:03 AM – 9:06 AM National Anthem
- 9:06 AM – 9:10 AM Opening Remarks
Atty. Cornelio C. Gison – President, CMIC
- 9:10 AM – 9:15 AM Coverage of the Seminar
Atty. Jose Martin O. General – Head, Investigation & Enforcement Department, CMIC
- 9:15 AM – 10:15 AM Discussion on Common CMIC Findings (2015-2016)
Atty. Jose Martin O. General – Head, Investigation & Enforcement Department, CMIC
Atty. Carl Marlou C. Saranillo – Head, Audit & Compliance Department, CMIC
- 10:15 AM – 10:30 AM Break
- 10:30 AM – 12:00 PM Review of Risk Based Capital Adequacy (“RBCA”)
(Includes Case Discussion)
Ms. Mariel A. Cababa – Sr. Auditor, Audit & Compliance Department, CMIC
Mr. Kenneth A. De Castro – Sr. Auditor, Audit & Compliance Department, CMIC
- 12:00 PM – 1:00 PM Lunch
- 1:00 PM – 2:30 PM Discussion on the New Implementing Rules and Regulations of
the Anti-Money Laundering Act
*Atty. Arnold G. Frane – Legal Officer of the Anti-Money Laundering Council,
Bangko Sentral ng Pilipinas*
- 2:30 PM – 2:45 PM Break
- 2:45 PM – 3:45 PM Updates on Foreign Account Tax Compliance Act
 (“FATCA”): Individuals & Institutions
Mr. Jay A. Ballesteros – Partner, SGV & Co.
- 3:45 PM – 4:45 PM Q&A
- 4:45 PM – 4:50 PM Closing Remarks
Ms. Grace M. Calubaquib, AVP&Head, Surveillance Department, CMIC
- 4:50 PM Distribution of Certificates