



MEMORANDUM – 2016-034

To : All Trading Participants

Subject : Employee Movement

Date : 5 December 2016

Pursuant to Art.IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 November 2016.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
09-Sep-16	JIMENEZ	JACLYN P.	MANAGER, RESEARCH ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
13-Oct-16	CHAN	HANNAH CECILLE L.	MANAGER, FINANCIAL PLANNER FOR PRODUCT & SERVICES	MANAGER, FINANCIAL PLANNER FOR PRODUCT & SERVICES/SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Oct-16	MARQUEZ	MA. SOCORRO ANTONIETTE G.	CORPORATE SECRETARY	-	PNB SECURITIES, INC.	RESIGNATION
16-Oct-16	LIM	KIMBERLY JANE C.	JAM-EQUITIES TRADER	-	RCBC SECURITIES, INC.	RESIGNATION
17-Oct-16	ILARDE	MAILA KATRINA Y.	-	ACTING CORPORATE SECRETARY	PNB SECURITIES, INC.	NEW EMPLOYMENT
17-Oct-16	KOH	ADRIAN	-	VICE PRESIDENT	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
18-Oct-16	VILLANUEVA	MA. BERNADETTE V.	ASSISTANT TRANSFER AND SETTLEMENT CLERK TRAINEE	-	YU AND COMPANY, INC.	RESIGNATION
19-Oct-16	ANDAYA	JOSEEBELLE	ASSOCIATE, SETTLEMENTS	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (clearance under process)
25-Oct-16	BACALANGCO	ARNOLD F.	SENIOR MESSENGER	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
26-Oct-16	JONES	CAMERON	DIRECTOR AND CHAIRMAN OF THE BOARD	-	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	RESIGNATION
26-Oct-16	PRASERTSINTANA H	PORNCHAI	-	DIRECTOR AND CHAIRMAN OF THE BOARD	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	NEW EMPLOYMENT
26-Oct-16	MACEDA	SORZOX A.	-	ACCOUNTANT	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT
26-Oct-16	ABAQUITA	MICAELA GABRIELLE L.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
28-Oct-16	ROA	ELVIRA B.	ACCOUNTANT	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
28-Oct-16	KO	CHRISTINE DARA K.	SALESMAN	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
28-Oct-16	PACHECO	ERNESTO P.	AUTHORIZED TRADER	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
28-Oct-16	TUSCANO	GERARDA T.	MESSENGER	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
29-Oct-16	CANTON	KARREN MAE A.	NEW ACCOUNTS ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Oct-16	TAÑEDO	CORRINE HAZEL G.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
31-Oct-16	LOPEZ	MA. ELENA R.	SALES TRADER	-	DEUTSCHE REGIS PARTNERS, INC.	RESIGNATION (clearance under process)
01-Nov-16	UY	DAYANARA JOGIE S.	-	MANAGEMENT TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-Nov-16	JACOB	MICHAEL EDWARD S.	DIRECTOR, SALESMAN	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
02-Nov-16	PINGUEL	VEIN ALDRIN D.	-	I.T. STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
02-Nov-16	MONTELIBANO	MARK EMMANUEL S.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
02-Nov-16	ZULUETA, JR.	ATTY. JESUS M.	DIRECTOR/VICE CHAIRMAN	-	UNICAPITAL SECURITIES, INC.	DECEASED
02-Nov-16	LEE	CHEENEE FAYE G.	-	OPERATIONS OFFICER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
03-Nov-16	ROXAS	RANDY T.	-	MANAGING DIRECTOR	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
07-Nov-16	FELICIANO	DANI ROSE P.	-	OJT	YU AND COMPANY, INC.	NEW EMPLOYMENT
07-Nov-16	EBRADA	DIANA G.	-	OJT	YU AND COMPANY, INC.	NEW EMPLOYMENT
07-Nov-16	UNLAY	LUIS GABRIEL S.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
07-Nov-16	LEE	SEAN	-	ASSOCIATE DIRECTOR	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
07-Nov-16	ESPINA	JOHNIR	-	ASSOCIATE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
10-Nov-16	BALDOS-PAZ	GRACIELLA MARIE D.	-	CORPORATE SECRETARY	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
10-Nov-16	SANTOS	GEMMA M.	CORPORATE SECRETARY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
11-Nov-16	JAGUNAP	JELYN R.	BACKROOM TRAINEE	-	YU AND COMPANY, INC.	RESIGNATION
11-Nov-16	LOO	ANALYN C.	-	BACKROOM TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
14-Nov-16	WAGAN	MARK	-	SENIOR ASSOCIATE, SETTLEMENTS	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
15-Nov-16	DE LOS SANTOS	MERLENE G.	SALESMAN	-	NIEVES SECURITIES, INC.	RESIGNATION (cleared)
15-Nov-16	CHIONGBIAN- HUANG	MARY BRIDGET M.	SALESMAN	-	DA MARKET SECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-Nov-16	DALIDA	SUSAN N.	ASSOCIATE DIRECTOR, HEAD OF GROUP CORPORATE SERVICES	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
15-Nov-16	LIBUTAN	JOHN PAULO K.	-	CLERK	SJ ROXAS & CO., INC	NEW EMPLOYMENT
15-Nov-16	PONFERRADA-CABANG	QUEEN S.	ACCOUNTING OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
15-Nov-16	PONFERRADA-CABANG	QUEEN S.	ACCOUNTING OFFICER	-	ARMSTRONG SECURITIES, INC.	RESIGNATION (cleared)
16-Nov-16	BALASABAS	DANILO G.	MESSENGER	-	STAR ALLIANCE SECURITES CORP	RESIGNATION
18-Nov-16	GONZALES	KELVHIN A.	JUNIOR PROGRAMMER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
18-Nov-16	DAKIS	FRANCES CELLA L.	COMPLIANCE ASSISTANT	-	CREDIT SUISSE SECURITIES (PHILIPPINES) INC.	RESIGNATION
18-Nov-16	YU	RONALD MARTIN L.	CSR EQUITIES TRADER	INDEPENDENT TRADER	CITISECURITIES, INC.	RE-DESIGNATION
21-Nov-16	OLAÑO	ABIGAIL P.	-	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-16	STO. DOMINGO	JANINA MARIE L.	-	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-16	OLARTE	MA. ABBEY GALE C.	-	EXECUTIVE ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-16	ALORRO	JHOANNA MARIE A.	-	OPERATIONS STAFF	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
25-Nov-16	LOPEZ	MARIA GEORGINA A.	-	DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-16	MANASAN	MARY GABRIELLE	ASSOCIATE, SETTLEMENTS	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (clearance under process)
01-Dec-16	SOLOMON, JR.	LORETO G.	-	ADMINISTRATIVE ASSISTANT	SECURITIES SPECIALIST, INC.	NEW EMPLOYMENT
01-Dec-16	NAPANO JR.	JOSE V.	-	IT-CONSULTANT	SECURITIES SPECIALIST, INC.	NEW EMPLOYMENT