



MEMORANDUM – 2017-004

To : All Trading Participants

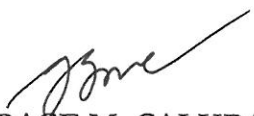
Subject : Employee Movement

Date : 06 February 2017

Pursuant to Art.IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2017.

For information and guidance.


GRACE M. CALUBAQUIB
Assistant Vice President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-Jul-16	YUSON	GHIA PAULA L.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
29-Jul-16	RARA	FREDRICK H.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
01-Aug-16	SAY	AARON M.	-	CONSULTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Aug-16	ABRAHAM	MA. THERESA I.	COMPLIANCE OFFICER/COORDINATOR (SM)	ASSOCIATED PERSON/COMPLIANCE OFFICER (AVP)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	SO	JOSEPHINE O.	SECTION HEAD-SECURITIES SETTLEMENT (SAM)	SECTION HEAD-SECURITIES SETTLEMENT (M)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	LOPEZ	BRUCE RICARDO O.	DEALER-INSTITUTIONAL TRADING (JAM)	DEALER-INSTITUTIONAL TRADING (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	MERCADO	MA. KATRINA PATRICIA G.	EQUITY RESEARCH ANALYST (JAM)	EQUITY RESEARCH ANALYST (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	RAMOS	MARK B.	IT ASSOCIATE (JL4)	IT OFFICER-SYSTEMS ADMINISTRATION (JAM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	TINIMBANG	AYRA KATRINA S.	CUSTOMER SUPPORT ASSOCIATE (JL4)	CUSTOMER SUPPORT OFFICER (JAM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	SAMSON	ROBERTO MARCO N.	EQUITY SALES ASSOCIATE (JL4)	EQUITY SALES OFFICER (JAM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-16	OBLENA	ELAINE MAY J.	OPERATIONS ASSOCIATE (JL3)	OPERATIONS ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
22-Aug-16	NAVARRO	CARLOS ROBERTO Q.	-	INVESTMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
13-Sep-16	GO	ABEGAEL ISELLE G.	-	MARKETING OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
13-Sep-16	MAGUINAO	VANESSA ELAINE G.	-	PRODUCT DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
28-Sep-16	LIM	JAN MICHAEL S.	DEPARTMENT HEAD-FINANCIAL ACCOUNTING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Sep-16	MORENO	CLARENCE LESLIE B.	CONSULTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
16-Nov-16	TANDOC	RUTH PAULA M.	HUMAN RESOURCES OFFICER	-	FIRST METRO SECURITIES	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
					BROKERAGE CORPORATION	(clearance under process)
23-Nov-16	ROLLE	ANNE JANILLE M.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
23-Nov-16	SALAZAR	GENELLE A.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
30-Nov-16	PASCUAL	JOHN PAUL A.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
30-Nov-16	LUMANLAN	THERESA JOY F.	CUSTOMER SUPPORT SECTION HEAD	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
01-Dec-16	ALFONSO	BELMA A.	-	PROPRIETARY TRADER	PNB SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-16	SYLING	SARAH JANE P.	CUSTOMER SUPPORT ASSOCIATE	CUSTOMER SUPPORT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Dec-16	MENDOZA	RENZO GIANMARCO M.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-Dec-16	RACINES	EDWARD A.	-	ADMINISTRATIVE ASSISTANT	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
19-Dec-16	YU	SEAN	ASSOCIATE DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
26-Dec-16	EVANGELISTA	JACQUI ANNE KELLY L.	ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
27-Dec-16	CANELA	NATALIE JOY F.	TRANSFER CLERK	-	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
28-Dec-16	ARENAS	EVELYN P.	HEAD, OPERATIONS/SVP	HEAD, FINANCE & ACCOUNTING/SVP	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
28-Dec-16	TEODOSIO	LOURIE O.	MANAGER, SETTLEMENTS	OFFICER IN CHARGE, OPERATIONS/ MANAGER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
29-Dec-16	SIA	MANUEL L.	SALESMAN	-	MERCANTILE SECURITIES, CORPORATION	RESIGNATION
30-Dec-16	TAGANILE	JOY A.	MIDDLE OFFICE ANALYST	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Dec-16	ESPINO	MARC CHRISTOPHER J.	RESEARCH ASSOCIATE	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Dec-16	RAMOS	JOYCE ANNE J.	RESEARCH ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Dec-16	TAN, JR.	MICHAEL F.	TRAINEE	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
31-Dec-16	SAMPAYO	NILO C.	COMPLIANCE OFFICER/ASSOCIATED PERSON	-	BDO NOMURA SECURITIES INC.	RESIGNATION
31-Dec-16	RUBIO	CESAR A.	DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION
01-Jan-17	TSAI	PATRICK VINCENT U.	CSR EQUITIES TRADER	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
01-Jan-17	TAN	MA. JESUSA B.	OPERATIONS MANAGER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
1-Jan-17	BALDANZO	ANNE JOSUA M.	NEW ACCOUNTS STAFF	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
1-Jan-17	VILLARAZA	CAMILLE ANN R.	NEW ACCOUNTS STAFF	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jan-17	PIAO	VENICA L.	ACCOUNTING CLERK	SETTLEMENT CLERK	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	RE-DESIGNATION
03-Jan-17	ENRIQUEZ	IRISH RAYCHELLE ANN T.	-	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
03-Jan-17	LUCIANO	JOHN MARTIN L.	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
03-Jan-17	MARQUEZ	MARIANNE A.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
03-Jan-17	YU	GORDON CHRISTIAN K.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
03-Jan-17	COCABO	MICHAEL JEFFERSON J.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
03-Jan-17	GUE	DIANA T.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
03-Jan-17	CANELA	NATALIE JOY F.	-	SETTLEMENT OFFICER	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
03-Jan-17	CRISTOBAL	ANDREW L.	-	DEPARTMENT HEAD-FINANCIAL ACCOUNTING	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jan-17	CRUZ	LOIS JANINE P.	-	HUMAN RESOURCES ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jan-17	NAVAL	RICARDO JR. C.	-	IT OFFICER-NETWORK ADMINISTRATION	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jan-17	TAMAYO	JULIE ANNE C.	-	IT ADMINISTRATIVE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jan-17	RAMOS	STEPHANIE R.	-	RESEARCH ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
03-Jan-17	MACALTAO	CAROLYN G.	-	HEAD OF INSTITUTIONAL SALES-TRADING	SB EQUITIES, INC.	NEW EMPLOYMENT

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03-Jan-17	MONTELLANO	CHRISTIAN JOSEPH D.	-	COMPLIANCE ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Jan-17	RAMOS	JOYCE ANNE J.	-	ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
03-Jan-17	ESPINO	MARC CHRISTOPHER J.	-	ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
03-Jan-17	CHAM	ROSITA C.	-	SECONDARY ASSOCIATED PERSON	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
05-Jan-17	MIRANDA	MICHAEL A.	-	SETTLEMENTS ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
09-Jan-17	LAZARO	ANGELICA THERESE Y.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
11-Jan-17	SANDAGA	MICHAEL JOHN A.	-	BROKERAGE CLERK	IMPERIAL DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
11-Jan-17	BORGA	JOHN ANTHONY M.	EQUITY SALES OFFICER	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
11-Jan-17	SAMSON	ROBERTO MARCO N.	EQUITY SALES OFFICER	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
11-Jan-17	UY	ALBERT S.	EQUITY SALES OFFICER	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
11-Jan-17	GO	MARY JOSEPHINE T.	EQUITY SALES ASSOCIATE	BUSINESS DEVELOPMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
13-Jan-17	DIPASUPIL	LOURDES CELESTIAL G.	-	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
14-Jan-17	SANTOS	SARAH NICOLE T.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
14-Jan-17	NUNGA	MIA BIANCA D.	-	DIRECTOR	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
14-Jan-17	DAVID	REYNALDO S.	BOARD OF DIRECTOR/ TREASURER/ AUDIT & COMPLIANCE COMMITTEE VICE-CHAIRMAN	TREASURER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
14-Jan-17	DAVID	MICHELLE ANNE M.	BOARD OF DIRECTOR	BOARD OF DIRECTOR/ AUDIT AND COMPLIANCE COMMITTEE VICE CHAIRMAN	DA MARKET SECURITIES, INC.	RE-DESIGNATION
15-Jan-17	MORTEL	GENEVIEVE REGINA U.	INDEPENDENT DIRECTOR	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-17	CHUA	POLLY S.	INDEPENDENT DIRECTOR	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-17	CRUZ	MARIA FLORITA A.	-	INDEPENDENT DIRECTOR	A & A SECURITIES, INC.	NEW EMPLOYMENT

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15-Jan-17	GO	ERNESTO S.	-	INDEPENDENT DIRECTOR	A & A SECURITIES, INC.	NEW EMPLOYMENT
15-Jan-17	LUBATON	ROWENA MARIE A.	RELATIONSHIP MANAGER	INVESTOR CENTER MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	BASBAS	JACQUELINE ANNE T.	CUSTOMER RELATIONS SUPERVISOR	SALES SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	BELENCION	BONNA LIZA A.	CUSTOMER RELATIONS SPECIALIST	SALES SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	CONCEPCION	CAMILA D.	CUSTOMER RELATIONS SPECIALIST	SALES SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	ROQUE-OLSON	MARIBEL D.	CUSTOMER RELATIONS SPECIALIST	SALES SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	SENINING	JENNY E.	CUSTOMER RELATIONS SPECIALIST	SALES SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	TAN	MICHELE B.	CUSTOMER RELATIONS SPECIALIST	SALES SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
15-Jan-17	LORICA	ABIGAIL C.	ASSOCIATE PERSON	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
16-Jan-17	MAGSINO	ARNEL GABRIEL MARTIN L.	CERTIFIED SECURITIES REPRESENTATIVE	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
16-Jan-17	ARANDA	AILEEN GERALDINE S.	CSR	SENIOR EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	COBARRUBIAS	RALPH J.	CSR	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	DIAZ	FRANCIS PATRICK T.	CSR	HEAD, BUSINESS & SALES, PRIVATE CLIENT GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	GANHINHIN	IRA P.	INSTITUTIONAL SALES/SALES DEALING OFFICER	HEAD, SALES TRADING, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	LAUREL	JOSE ARSENIO S.	CSR	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	LEH	CANDICE EMILY L.	CSR	SENIOR EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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16-Jan-17	MACAPUGAY	AVA DIORELLA C.	PCG ASSOCIATE	PRODUCT SPECIALIST, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	MALLINLLIN	FRANCIS MEL G.	CSR	SENIOR EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	PONTINO	NILLETH MAE ANN V.	PCG ASSOCIATE	DEALING SPECIALIST, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	TANG	JOSEPH SAMUEL H.	CSR	HEAD, INSTITUTIONAL SALES, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	TOBIAS	ADRIAN MA. LUIS C.	CSR	HEAD, RELATIONSHIP & ADVISORY, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	TOLENTINO	ELIZABETH L.	PCG MARKETING ASSISTANT	ADMINISTRATION ASSISTANT, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	UY-TIOCO	PATRICK CHARLES ANTHONY S.	CSR	HEAD, DEALING & EXECUTION, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	ZANDUETA	ZERGE B.	CSR	HEAD, PRODUCT, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	QUERIJERO	KATHLEEN ALEXIS L.	CUSTOMER SERVICE STAFF	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jan-17	CARLOS	THERESE D.	-	ON THE JOB TRAINEE	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT