



MEMORANDUM – 2017-012

To : All Trading Participants

Subject : Employee Movement

Date : 2 June 2017

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2017.

For information and guidance.

A handwritten signature in cursive script, reading 'Daisy P. Arce'.

DAISY P. ARCE

Officer-In-Charge

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
10-Mar-17	SALAZAR	GENELLE A.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
16-Mar-17	ROLLE	ANNE JANILLE M.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
04-Apr-17	ROSANES	ANDREW T.	-	SALES AGENT/REMISIER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
11-Apr-17	LANTING	MARCOS M.	SALESPERSON	SALESPERSON/ASST. CORP. SECRETARY	CAMPOS, LANUZA & COMPANY, INC.	RE-DESIGNATION
15-Apr-17	SANDAGA	MICHAEL JOHN A.	BROKERAGE CLERK	-	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	RESIGNATION
16-Apr-17	ARCELLANA	ARLEN E.	OPERATIONS SPECIALIST	OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	ARUTA	MA. CRISTINA B.	ACCOUNTING SPECIALIST	ACCOUNTING ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	BARRAMEDA	KEZIAH ELIKA V.	NEW ACCOUNTS SPECIALIST	NEW ACCOUNTS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	BATHAN	MARWIN B.	IT ASSISTANT	IT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	BELENCION	BONNA LIZA A.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	BONDOC	APRIL JOYCE M.	MKTG COMMUNICATIONS ASSISTANT & GRAPHIC DESIGNER	MKTG COMMUNICATIONS SPECIALIST & GRAPHIC DESIGNER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	BUENDIA	MONICA JOHANN T.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	CONCEPCION	CAMILA D.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	COS	CARMELITA T.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	DE GUZMAN	CRISTINE T.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	DE NIEVA	SYDNEY A.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	DUEÑAS	DORA JANE T.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	ESTOLEYDES	VIOLETA M.	LEGAL & COMPLIANCE SPECIALIST	LEGAL & COMPLIANCE ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	FILART	JOSEPHINE G.	ADMIN ASSISTANT	ADMIN SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	FRANCIA	GERRY U.	IT ASSISTANT	IT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	GALIMBA	JADE C.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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16-Apr-17	ILAO	MARK JASSON C.	BUSINESS DEVELOPMENT OFFICER	BUSINESS DEVELOPMENT MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	ILDEFONSO	JEIMEE G.	OPERATIONS ASSISTANT	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	INOSANTE	ALYZA P.	MARKETING & SALES STAFF	SALES ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	KALAW	GEM KATHLEEN C.	NEW ACCOUNTS SPECIALIST	NEW ACCOUNTS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	LAGUMAY	HERSHEY S.	OPERATIONS ASSISTANT	OPERATIONS SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	LUCERO	REY ANDREW I.	TRADING AND SUPPORT OPERATIONS PERSONNEL	TRADING AND SUPPORT OPERATIONS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	MACABIA	MARIA AMABELLE A.	HR STAFF	HR ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	MACALINAO	ALPHA IAN GENESIS L.	MARKETING & SALES STAFF	SALES ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	MENDIOLA	GABRIEL JOSE E.	IT MANAGER	IT SR. MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	MOLINA	JONALYN L.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	MONTEVERDE	MARIE CHERYLL ANNE J.	ADMIN SUPERVISOR & LEGAL SECRETARY	ADMINN OFFICER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	PALER	LUNA MARIE T.	ADMIN SPECIALIST	ADMIN ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	PINEDA	KATHRINA Q.	OPERATIONS ASSOCIATE	OPERATIONS ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	PONCE	KAREN AUTUMN S.	ADMIN ASSOCIATE	ADMIN ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	PONTINO	NILLETH MAE ANN V.	DEALING SPECIALIST, PCG	DEALING ASSOCIATE, PCG	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	QUITON	JHOANNA MARIE J.	NEW ACCOUNTS SPECIALIST	NEW ACCOUNTS ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	RICO	REGINA ROSARIO T.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	ROQUE-OLSON	MARIBEL D.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	SENINING	JENNY E.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	SUTINGCO	JENNIFER M.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	TAN	MICHELE B.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	TOLEDO	JOSE EFRAIM A.	TELECOMMUNICATIONS PERSONNEL	TELECOMMUNICATIONS ASST. SUPERVISOR	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	TOLENTINO	ELIZABETH L.	ADMIN ASST., PCG	ADMIN SPECIALIST, PCG	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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16-Apr-17	TUQUERO	CHARMANE B.	RESEARCH ADMIN ASSISTANT	RESEARCH ADMIN SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	YAP	GERALDINE MAY A.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Apr-17	YAP	NOEL E.	IT STAFF	IT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
17-Apr-17	ABAD	MARCUS ALDRIN B.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Apr-17	GALANG	JANN RENEI S.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Apr-17	NOZAWA	NIKKI P.	-	ON THE JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Apr-17	DY	ANDREI MIKAEL L.	SALES/RESEARCH ASSISTANT	SALESPERSON	CLSA PHILIPPINES, INC.	RE-DESIGNATION
28-Apr-17	YAPANA	ANGELINE JOY D.	ACCOUNTING ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
01-May-17	RAFAEL, JR.	PEÑAFLORES C.	AGENT/TRADER	-	ASIASEC EQUITIES, INC.	RESIGNATION (cleared)
01-May-17	LIM	MARC ANDREW	TRADER/DEALER	MANAGER-TRADING & DEALING	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
01-May-17	CASANO	RAMON EMILIO	ASST. TRADER/DEALER	SR. TRADER/DEALER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
01-May-17	GAN	ELIZABETH C.	INDEPENDENT DIRECTOR	-	DAVID GO SECURITIES CORP.	RESIGNATION (no clearance required)
01-May-17	CHUA	KENDRICK Y.	BOARD MEMBER	-	DAVID GO SECURITIES CORP.	RESIGNATION (no clearance required)
01-May-17	GO	DAVID C.	-	BOARD MEMBER	DAVID GO SECURITIES CORP.	NEW EMPLOYMENT
01-May-17	DIMAGIBA JR.	ARSENIO M.	-	INDEPENDENT DIRECTOR	DAVID GO SECURITIES CORP.	NEW EMPLOYMENT
01-May-17	PARKER	DAISY L.	CORPORATE SECRETARY	CORP. SEC. & BOARD MEMBER	DAVID GO SECURITIES CORP.	RE-DESIGNATION
01-May-17	GO	ELEANOR C.	BOARD MEMBER & ASSOCIATED PERSON	ASSOCIATED PERSON	DAVID GO SECURITIES CORP.	RE-DESIGNATION
01-May-17	GUERZON	ATTY. CAESAR A.	CORPORATE SECRETARY/HEAD OF ADMIN/LEGAL CONSULTANT	SVP-CORPORATE SECRETARY	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-May-17	LARGA	JENNIFER S.	BOOKKEEPER TRAINEE	-	YU AND COMPANY, INC.	RESIGNATION
01-May-17	MENESIS	AZIEL O.	-	BOOKKEEPER TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT

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01-May-17	SUNGA	VON HERBERT T.	IT NETWORKING	HEAD OF IT	SALISBURY BKT SECURITIES CORP.	RE-DESIGNATION
01-May-17	PE	CAROLINE U.	SALES CONSULTANT	SALES AGENT	SALISBURY BKT SECURITIES CORP.	RE-DESIGNATION
01-May-17	TAN	ANN MARGARRET S.	-	MANAGEMENT TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-May-17	VALIENTE	MARLYN B.	-	OJT TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
02-May-17	BENGSON	MICHAEL R.	HEAD, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
02-May-17	ANG	NICOLE KELVIN S.	-	CSR EQUITIES TRADER	TIMSON SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	LEONOR	JENN-ARAH B.	-	COMPLIANCE ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
02-May-17	SALAC	KRISTINA MICHAELA B.	MARKETING INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
02-May-17	CRUZ	SHERRYL R.	AUTHORIZED TRADER	SETTLEMENT HEAD	PNB SECURITIES, INC.	RE-DESIGNATION
03-May-17	HASSAN	ROLLY H.	-	JR. ASSOCIATE - MESSENGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
04-May-17	DANS	EDWIN OLIVER R.	-	MESSENGER	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
05-May-17	PAYOYO	VANESSA JOY S.	CONTRACTOR - ADMINISTRATIVE ASSISTANT	-	J.P. MORGAN SECURITIES PHILIPPINES, I NC.	RESIGNATION
05-May-17	ABILLAR	ROSEMARIE P.	ASSOCIATED PERSON	-	SARANGANI SECURITIES, INC.	RESIGNATION
08-May-17	TYCHICO	KRISTIN	-	TRADER/SALESMAN	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
08-May-17	PAGKALIWANG AN	CHARLES EMSON K.	RESEARCH ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
09-May-17	GASMEN	KAE L.	-	OFFICE CLERK	MERCANTILE SECURITIES CORPORATION	NEW EMPLOYMENT
10-May-17	DIAZ	CHRISTIAN CARL P.	COMPLIANCE STAFF	COMPLIANCE STAFF/ASSOCIATED PERSON	UBS SECURITIES PHIL.	RE-DESIGNATION
15-May-17	MARTINEZ	FERNANDO B.	-	SALESMAN	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
15-May-17	CANTIMBUHAN	MICHELLE ANNE O.	-	OPERATIONS OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-May-17	HOKUSHIN	KIDO	-	DIRECTOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-May-17	SOLIDUM	MA. CLAYCEL O.	CUSTOMER SERVICE ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)

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18-May-17	SALANGUIT	ATTY. MARITONI B.	ALTERNATE CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
22-May-17	TENG	CHRISTINE S.	TRADER/SALESMAN	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance under process)
22-May-17	GUE	DIANA T.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
22-May-17	TAN	MYLES JACOB S.	MARKETING INTERN	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
22-May-17	YCO	KRISTINE DIANE M.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
23-May-17	YU	RONALD MARTIN L.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
24-May-17	LORENZO	CHRISTOPHER G.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
25-May-17	VELASCO	EDWARD ANTHONY T.	SENIOR SALES TRADER/DEALER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
27-May-17	SYQUIATCO	KRIZIA ANN S.	SALES ASSOCIATE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
29-May-17	CHAN	MATTHEW COLWYN A.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	CHUA	ALEC JAYSON A.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	CRUZ	KEONI N.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	CUBALLES	JOSHUA P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	EVANGELISTA	DENZYL PAUL G.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	GUE	PAUL JEFFERSON F.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	LEE	ERWIN T.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
29-May-17	PESCASIO	KATHRENE NICOLE C.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
31-May-17	AQUINO	GODOFREDO R.	EXECUTIVE VICE PRESIDENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
30-Nov-17	LUMANLAN	THERESA JOY F.	CUSTOMER SUPPORT SECTION HEAD	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)