



## MEMORANDUM – 2017-014

**To** : All Trading Participants

**Subject** : Employee Movement

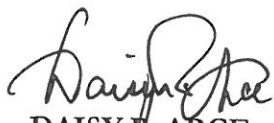
**Date** : 7 July 2017

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Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2017.

For information and guidance.

  
DAISY F. ARCE  
*Officer-In-Charge*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
27-Feb-17	KO	CHRISTINE DARA K.	-	CSR	AP SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	CHUA	RICARDO R.	-	CHAIRMAN	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	UYAN, JR.	ROMEO D.	-	VICE CHAIRMAN	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	MUTUC	PETER M.	-	PRESIDENT/CEO	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	KUAN	ROBERTO F.	-	INDEPENDENT DIRECTOR	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	YAO	ALBERTO S.	-	INDEPENDENT DIRECTOR	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	QUIRING	MARY ANTONETTE E.	-	TREASURER/CORPORATE SECRETARY/BUSINESS OPERATIONS DIRECTOR	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	QUANO	GARIE G.	-	RESEARCH DIRECTOR	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	CELSO	ANNABELLE G.	-	BUSINESS OPERATIONS ASSOCIATES	ATC SECURITIES, INC.	NEW EMPLOYMENT
06-Mar-17	MIGUEL	ISSA CZARINA E.	-	SALES AND TRADING ASSOCIATES	ATC SECURITIES, INC.	NEW EMPLOYMENT
01-Apr-17	TAN	SHEILA MARIE U.	CORPORATE SECRETARY	-	BPI SECURITIES CORPORATION	RESIGNATION
06-Apr-17	SANTOS	SARAH NICOLE T.	-	CSR	AP SECURITIES, INC.	NEW EMPLOYMENT
06-Apr-17	DELA CRUZ	LAICA CAMMILLE A.	-	CSR	AP SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	DE GUZMAN	MICHAEL MANOLO T.	DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
02-May-17	CRUZ	RACHELLE C.	-	RESEARCH ANALYST	AP SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	RESPICIO	JERRIE MAE HYVI P.	-	RESEARCH ANALYST	AP SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	ONG	ROBERTO MIGUEL B.	-	RESEARCH ANALYST	AP SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	LARITA	LAWRENCE ANGELICA R.	-	SALES SUPPORT	AP SECURITIES, INC.	NEW EMPLOYMENT
02-May-17	BENGSON	MICHAEL R.	HEAD, RESEARCH/SVP/SENIOR ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-May-17	VAN OMMEREN	JUSTIN PAOLO P.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
10-May-17	GALANG	CORAZON C.	DIRECTOR, SVP-HEAD OF OPERATIONS TREASURER &	-	PCCI SECURITIES BROKERS CORP.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
			CORPORATE SECRETARY			
10-May-17	ORLINA	PLACIDA M.	ASST. HEAD OF OPERATIONS	ASST. HEAD OF OPERATIONS & ASST. CORPORATE SECRETARY	PCCI SECURITIES BROKERS CORP.	RE-DESIGNATION
10-May-17	SALUD	ABIGAIL MARIE D.	-	VP-HEAD OF OPERATIONS	PCCI SECURITIES BROKERS CORP.	NEW EMPLOYMENT
11-May-17	REVILLA	RAE VINCENT F.	-	BROKERAGE CLERK	IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	NEW EMPLOYMENT
15-May-17	MACUGAY	CRISTER C.	ACCOUNTANT	-	J.M. BARCELON & CO., INC.	RESIGNATION (cleared)
15-May-17	KILAYKO	JERONIMO U.	DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION
16-May-17	MACUGAY	CRISTER C.	-	SALESMAN	COHERCO SECURITIES, INC.	NEW EMPLOYMENT
16-May-17	SALONGA	HEIDY R.	-	ACCOUNTANT	J.M. BARCELON & CO., INC.	NEW EMPLOYMENT
16-May-17	RAY	JUDITH R.	-	PROJECT MANAGER	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
20-May-17	DAVID	MICHELLE ANNE M.	BOARD OF DIRECTOR/AUDIT AND COMPLIANCE COMMITTEE VICE CHAIRMAN	BOARD OF DIRECTOR	DA MARKET SECURITIES, INC.	RE-DESIGNATION
22-May-17	DELA CRUZ	RODOLFO S.	ASSOCIATE, EQUITY SALES	SR. ASSOCIATE, EQUITY SALES	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	DEL MUNDO	CRISANTO D.	ASSOCIATE, SETTLEMENT	SR. ASSOCIATE, SETTLEMENT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	LATUJA	JONATHAN J.	ASSOCIATE, EQUITY RESEARCH	SUPERVISOR, EQUITY RESEARCH	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	GABALDON	NEIL PATRICK A.	MANAGER, EQUITY SALES ONLINE	SR. MANAGER, EQUITY SALES ONLINE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	GONZALES	CONNERY S.	MANAGER, IT	SR. MANAGER, IT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	LEIDO, JR.	EDGARDO B.	SR. ASSOCIATE, OPERATIONS	MIDDLE OFFICE SUPERVISOR	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
22-May-17	DE VERA	ANAROSE R.	-	FINANCE STAFF-CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
22-May-17	MABUHAY	MARJORIE	FINANCE STAFF-CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
22-May-17	DELA CRUZ	MARILYN A.	CONTRACTUAL-ACCOUNTING ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
23-May-17	CORTEZ	JOANNEL R.	-	ADMIN STAFF-CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
24-May-17	CACHO	LORENA I.	DIRECTOR, HEAD OF FINANCE, TREASURER AND CHIEF	DIRECTOR, HEAD OF FINANCE, TREASURER AND CFO,	UBS SECURITIES PHILIPPINES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
			FINANCE OFFICER	ASSOCIATED PERSON		
24-May-17	ONG	LESTER	-	CORPORATE SECRETARY	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
25-May-17	LIM	ROSALIND HEE K.	DIRECTOR	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
29-May-17	ARAMBULO	MARIA JACQUELINE L.	-	VP-CCRO	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
29-May-17	CU	CHRISTINE DENISE V.	-	INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
29-May-17	SEVILLA	BRIXTER Q.	-	INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
31-May-17	ABACARO	EVELYN A.	ACCOUNTING ASSISTANT	-	SECURITIES SPECIALIST, INC.	RESIGNATION (cleared)
31-May-17	CARLOS	TERESA D.	BOARD CONSULTANT	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
31-May-17	NUNGA	SUSAN D.	MANAGEMENT CONSULTANT	-	DA MARKET SECURITIES, INC.	RESIGNATION (no clearance required)
31-May-17	AQUINO	GODOFREDO R.	EXECUTIVE VICE PRESIDENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
31-May-17	RAMOS	STEPHANIE R.	RESEARCH ANALYST	-	SB EQUITIES, INC.	RESIGNATION (without clearance)
01-Jun-17	NUNGAY	CHARISE C.	CUSTOMER SERVICE STAFF	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jun-17	RECTO	JUAN LUIS T.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Jun-17	LUCHANGCO	ERIC ROBERTO M.	BOARD MEMBER	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Jun-17	CRUZ	LUIS GEMINIANO E.	TREASURER	BOARD MEMBER AND TREASURER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-17	MACAPAGAL	JANICE A.	HEAD, FRONT OFFICE	CLIENT ACQUISITION OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-17	BERDIN	GENNE BEE L.	-	OFFICE CLERK	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Jun-17	LAYGO	SHERWYN J.	-	IT STAFF	MERCANTILE SECURITIES CORP.	NEW EMPLOYMENT
01-Jun-17	MENESES	SHARI MAE O.	-	OFFICE TRAINEE	YU AND COMPANY, INC.	NEW EMPLOYMENT
01-Jun-17	SANTILLAN	RHEA MARI C.	-	SALESMAN	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-17	MANARPIIS	MARIE CATHERINE T.	-	FINANCE MANAGER	ATC SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-17	TAN	SHIRLEY O.	-	TRADER	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-17	MARIPOSA	MARK JASON C.	-	CERTIFIED INVESTMENT SOLICITOR	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jun-17	HENSON	PAMELA CARLA G.	-	SALES ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-17	DIMAFELIX	PAULA CAMILLE R.	SECURITIES SETTLEMENT ASSOCIATE	CORPORATE ACTIONS ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-17	DEL ROSARIO	BRIAN CHRISTOPHER Z.	HEAD, CLIENT ACQUISITION	CLIENT ACQUISITION OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-17	HENSON	PAMELA CARLA G.	SALES ASSOCIATE	INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-17	RONQUILLO	NICK ANGELIE T.	ADMINISTRATIVE ASSISTANT-CEBU	ACCOUNT OPENING ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
02-Jun-17	ALMONTE	FRANCISCO M.	-	TRADER	INTRA-INVEST SECURITIES INC.	NEW EMPLOYMENT
02-Jun-17	CHAN	JHAYE ALLISON RANDELL A.	SALESMAN/AGENT	-	UNICAPITAL SECURITIES, INC.	TERMINATION
05-Jun-17	MENDOZA	JAYVEE D.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
05-Jun-17	TIU	LOWELL KYLE L.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
06-Jun-17	SYQUIATCO	KRIZIA ANN S.	-	MANAGER FOR INSTITUTIONAL SALES/SALESPERSON	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
06-Jun-17	MARASIGAN	CHRISTINE ALYSSA S.	-	INTERN	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
06-Jun-17	CRUZ	JEROME M.	-	MESSENGER	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
07-Jun-17	LORICA	ABIGAIL C.	-	ASSOCIATED PERSON/DIRECTOR COMPLIANCE	ATC SECURITIES, INC.	NEW EMPLOYMENT
07-Jun-17	REYES	MA. ELEONOR C.	-	RESEARCH HEAD	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
07-Jun-17	GONZAGA III	LUIS LORENZO C.	MARKETING INTERN	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
09-Jun-17	ALVARRO	ROSALIND C.	SETTLEMENT OFFICER	-	SUPREME STOCKBROKERS, INC.	RESIGNATION
09-Jun-17	VIADO	JERALYN A.	-	SETTLEMENT OFFICER	SUPREME STOCKBROKERS, INC.	NEW EMPLOYMENT
09-Jun-17	SURIGAO	ROCHE P.	-	JUNIOR ACCOUNTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
09-Jun-17	BLANGUE	LORRAINE JANE B.	-	ACCOUNTING ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-17	MACAPUGAY	AVA DIORELLA C.	PRODUCT SPECIALIST, PRIVATE CLIENTS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
10-Jun-17	LEE	BEVERLY MAE C.	CLIENT EXPERIENCE	-	COL FINANCIAL GROUP, INC.	RESIGNATION



DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
			ASSOCIATE			(clearance under process but may join other broker)
13-Jun-17	CHUA	DANIEL ADRIAN C.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
13-Jun-17	PASCUAL	ISIS NORMAGNE G.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
13-Jun-17	ETRATA	KRIZZIA MAE E.	-	CUSTOMER SERVICE ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
13-Jun-17	MAPE	CHRISTINE S.	-	FINANCE STAFF-CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
14-Jun-17	POLIQUIT	PATRICK LORENZ L.	-	OJT-IT DEPARTMENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-17	ALVARRO	ROSALIND C.	-	ACCOUNTING ASSISTANT	SECURITIES SPECIALIST, INC.	NEW EMPLOYMENT
16-Jun-17	SALVADOR	GEZIL CHARLENE V.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jun-17	MENDOZA	JAYVEE D.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
16-Jun-17	DELA CRUZ	ROSALIE	CONSULTANT, OPERATIONS & SETTLEMENT	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
16-Jun-17	TACHIKAWA	ATSUO	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
16-Jun-17	VELASCO	ATTY. ANDREW O.	-	ALTERNATE CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
16-Jun-17	YANAGISAWA	SHIKO	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
16-Jun-17	SALANGUIT	ATTY. MARITONI	ALTERNATE CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
16-Jun-17	OLONAN	MINDA CLAVER A.	-	HEAD OF RESEARCH AND STRATEGY	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-17	MISA	MARIA NAOMI A.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Jun-17	CONSUNJI	JAVIER RICARDO O.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-17	LOO	ANALYN C.	BACKROOM TRAINEE	-	YU AND COMPANY, INC.	RESIGNATION
17-Jun-17	RIMANDO	MITZI LOUIE M.	EXECUTIVE ASSISTANT	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process but may join other broker)
19-Jun-17	ROSALES	OHARA JANE L.	-	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
19-Jun-17	VALIENTE	MARLYN B.	OJT	-	YU AND COMPANY, INC.	RESIGNATION
21-Jun-17	ANTONIO	PAMELA ROSIEL D.	-	OJT-FINANCE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
21-Jun-17	PANGILINAN	ERIKA	-	OJT	YU AND COMPANY, INC.	NEW EMPLOYMENT
22-Jun-17	DELA CRUZ	VONN HANS H.	TRAINEE	AGENT	ASIASEC EQUITIES, INC.	RE-DESIGNATION
27-Jun-17	CARONAN	BEA KRISTINE N.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
03-Jul-17	DEE III	GILBERT	-	TRAINEE	INVESTORS SECURITIES INC.	NEW EMPLOYMENT