

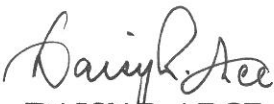


# MEMORANDUM – 2017-018

TO : THE INVESTING PUBLIC AND THE TRADING PARTICIPANTS  
SUBJECT : SUSPENSION OF DW CAPITAL, INC.  
DATE : 11 AUGUST 2017

On 10 August 2017, the Capital Markets Integrity Corporation ("CMIC") issued an order of suspension of trading of all listed securities against DW Capital, Inc. ("DWCI"), in accordance with Article XI-A, Sections 1 and 2 of the CMIC Rules.

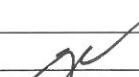
Considering, however, that the suspension of DWCI should not prejudice the rights of its clients, particularly those relating to the shares held by the trading participant, they can coordinate with DWCI, and for its part, the trading participant will be allowed to effect the procedure/s that can facilitate any transaction involving clients' shares through off-exchange or *EQTrade* transactions involving no change in beneficial ownership of shares, subject to certain guidelines duly communicated to DWCI by CMIC. All principal and related party transactions, however, will not be allowed.

  
DAISY P. ARCE  
Officer-in-Charge

  
ACD

FHRAD

  
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