



MEMORANDUM – 2017-019

To : All Trading Participants

Subject : Employee Movement

Date : 8 September 2017

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2017.

For information and guidance.


DAISY P. ARCE
Officer-In-Charge 

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
28-Apr-17	ABARCA	LEO CEASAR M.	CORPORATE ACTIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
02-May-17	OLIUINO	DANICA D.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-17	MATUTE	MONICA ISABEL C.	OIC - HEAD, CLIENT SEGMENT INVESTOR GROUP AND CORPORATE ACCESS	-	BPI SECURITIES CORPORATION	RESIGNATION
06-Jul-17	SEVILLA	BRIXTER Q.	INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION
06-Jul-17	CU	CHRISTINE DENISE V.	INTERN	-	BPI SECURITIES CORPORATION	RESIGNATION
09-Jul-17	GO	CHERYL L.	MANAGER, CEBU BRANCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
12-Jul-17	REYES	PAULA RENEE M.	ASSISTANT MANAGER, MULTIMEDIA GRAPHICS DESIGNER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
15-Jul-17	BUNAL	AMADO ANDREW L.	MESSENGER/COLLECTOR	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (did not apply for clearance)
16-Jul-17	GONZAGA	ANDREO M.	OPERATIONS ASSOCIATE (JL3)	OPERATIONS ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	LOBRIDO	FEBE P.	RECEPTIONIST/ADMINISTRATIVE ASSOCIATE (JL3)	RECEPTIONIST/ADMINISTRATIVE ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	BUNAGAN	JANELLE CHRISTINE C.	EQUITY RESEARCH ASSOCIATE (JL2)	EQUITY RESEARCH ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	MERCADO	MA. KATRINA PATRICIA G.	EQUITY RESEARCH ANALYST (AM)	EQUITY RESEARCH ANALYST (SAM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	PADERNAL	MARY GRACE B.	ACCOUNTING ASSOCIATE (JL3)	ACCOUNTING ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	LICHAUCO	MICHAEL M.	DEALER - ONLINE SALES (JAM)	DEALER - ONLINE SALES (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	SAMSON	ROBERTO MARCO N.	BUSINESS DEVELOPMENT OFFICER (JAM)	BUSINESS DEVELOPMENT OFFICER (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jul-17	SYLING	SARAH JANE P.	SECTION HEAD - CUSTOMER SUPPORT (JAM)	SECTION HEAD - CUSTOMER SUPPORT (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Jul-17	DEL CALLAR	MA. KARISSA RAPHAELA S.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jul-17	VILLANUEVA	RENEE CLAUDIA T.	-	PRODUCT AND BUSINESS DEVELOPMENT OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

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17-Jul-17	LAESMA	MARIA CECILIA G.	-	ADMINISTRATIVE OFFICER - OIC	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
17-Jul-17	ABELLO	SAMANTHA L.	-	RESEARCH TRAINEE - INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jul-17	PAMANI	THELMA S.	AP TRAINEE/RESEARCH	RESEARCH	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
19-Jul-17	PAPA	JOAQUIN GABRIEL O.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
20-Jul-17	FAVILA	PETER B.	INDEPENDENT DIRECTOR	-	BDO NOMURA SECURITIES, INC.	RESIGNATION
24-Jul-17	RODRIGO	JOSEPH U.	-	IT STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
24-Jul-17	JOSEPH	KIM ELYZA R.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
24-Jul-17	JAYOMA	RALPH JAN I.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
25-Jul-17	RODRIGO	JOSEPH U.	IT STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance withheld)
26-Jul-17	CO CHIN HUA	ATILANO	CHAIRMAN	VICE CHAIRMAN	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
26-Jul-17	NUBLA, JR.	RALPH C.	VICE CHAIRMAN	CHAIRMAN	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
27-Jul-17	MACASAET	MARIFE M.	ADMINISTRATIVE CLERK, OPERATOR/RECEPTIONIST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
28-Jul-17	TAMAYO	JULIE ANNE C.	IT ADMINISTRATIVE ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
29-Jul-17	CHUA	DANIEL ADRIAN C.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
29-Jul-17	CUBALLES	JOSHUA P.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
29-Jul-17	BODOLLO	RALPH CHRISTIAN G.	RESEARCH ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Jul-17	CURIA	MARIA TERESA S.	CONTRACTOR - RESEARCH ASSISTANT	-	J.P. MORGAN SECURITIES PHILIPPINES INC.	RESIGNATION (cleared)
31-Jul-17	CORPUZ	RENZ ANGELO P.	SALESMAN AND AUTHORIZED TRADER	-	R. COYIUTO SECURITIES, INC.	RESIGNATION (cleared)
31-Jul-17	OBAR	JANNETTE O.	LEGAL SECRETARY	-	EQUITIWORLD SECURITIES, INC.	RETIREMENT
31-Jul-17	VALDECAÑAS	FRANCESCO ADEL T.	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION
31-Jul-17	DEE III	GILBERT	TRAINEE	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
31-Jul-17	FRANCISCO	NORMAN JAY G.	SALES	-	SB EQUITIES, INC.	RESIGNATION (cleared)

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31-Jul-17	FRANCISCO	NORMAN JAY G.	SALES	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Jul-17	FELIX	VICTOR IMMANUEL F.	RESEARCH ANALYST	-	AB CAPITAL SECURITIES, INC	RESIGNATION (clearance under process)
01-Aug-17	YONZON	CHINKY GALE L.	-	SENIOR EXECUTIVE ASSISTANT	J.P. MORGAN SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
01-Aug-17	BONILLA	CLARO P.	CHIEF OPERATING OFFICER	COO AND APPLICANT NOMINEE	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Aug-17	VILLAMIEL	ESTELLA DHEL B.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Aug-17	OYSON	MICHAELANGELO R.	DIRECTOR, NOMINEE TRADING PARTICIPANT, PRESIDENT, CEO	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Aug-17	CARIASO	REGINALDO ANTHONY I.	CHAIRMAN	CHAIRMAN AND ACTING PRESIDENT	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Aug-17	BACTUNG	ZYNNCLYRR P.	HR STAFF	HR ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Aug-17	CRUZ	HANNAH RAFFA G.	-	ACCOUNTING ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Aug-17	EISMA	JEFFERSON VINCE C.	-	BUSINESS DEVELOPMENT ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Aug-17	DE CASTRO	MA. CONCEPCION B.	-	INVESTOR CENTER OFFICER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Aug-17	PANTE	SHIARA LORETTE M.	-	RISK MANAGEMENT TRAINEE	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Aug-17	CORPUZ	RENTZ ANGELO P.	-	SALESMAN/TRADER	ALPHA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Aug-17	VILLARIAS	DIOVILLE M.	-	AUTHORIZED OFFICER, FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
01-Aug-17	ANTONIO	PAMELA ROSIEL D.	OJT - FINANCE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
01-Aug-17	SALAZAR	ARRAH JOYCE M.	-	CONTRACTUAL (CLERK)	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-17	CHAN	CHRISTINE U.	HEAD - ONLINE DEPT. (AVP)	HEAD - ONLINE DEPT (VP)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-17	ABAJON	MHELVIN F.	HEAD - INSTITUTIONAL & BUSINESS DEV DEPT. - VP	DIVISION HEAD - SALES (FVP)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
01-Aug-17	ZAMORA	POCH EDWARD I.	HEAD OF INSTITUTIONAL EQUITIES	-	SB EQUITIES, INC.	RESIGNATION (cleared)
02-Aug-17	BUCAG	ALFONSO LUIS B.	EXECUTION TRADER	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION (cleared)

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03-Aug-17	LEE	YOUN WEN	-	DIRECTOR	DEUTSCHE REGIS PARTNERS INC.	NEW EMPLOYMENT
03-Aug-17	NAVLAKHA	NILESH	DIRECTOR	-	DEUTSCHE REGIS PARTNERS INC.	RESIGNATION (cleared)
05-Aug-17	TEODOSIO	LOURIE O.	OFFICER-IN-CHARGE, OPERATIONS	HEAD, OPERATIONS	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
05-Aug-17	EVANGELISTA	DENZYL PAUL G.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	LEE	ERWIN T.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	PASCUAL	ISIS NORMAGNE G.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	CRUZ	KEONI N.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	TIU	LOWELL KYLE L.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	CHAN	MATTHEW COLWYN A.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
05-Aug-17	GUE	PAUL JEFFERSON F.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
07-Aug-17	PANGILINAN	ERIKA	OJT	-	YU AND COMPANY, INC.	RESIGNATION
07-Aug-17	HALAGAO	JIAH L.	CONTRACTUAL (CLERK)	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
08-Aug-17	WONG	DIANNE N.	VICE PRESIDENT FOR SALES	ASSOCIATED PERSON	DW CAPITAL INC.	RE-DESIGNATION
09-Aug-17	LIBUTAN	JOHN PAULO K.	CLERK	TRADER ASSISTANT	S.J. ROXAS & CO., INC.	RE-DESIGNATION
11-Aug-17	MACALE	MARIEL ANGELA L.	INTERN	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
11-Aug-17	VERZOSA	RAFAEL IAN G.	RISK OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION
11-Aug-17	LOZADA	CONNIE M.	ASSOCIATED PERSON	-	DW CAPITAL INC.	RESIGNATION (cleared)
14-Aug-17	CANDANO	RENZO LOUIE C.	-	RESEARCH ASSOCIATE	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
14-Aug-17	LIM	AMANDA MARIE C.	-	PCG TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
14-Aug-17	DE VERA	ANAROSE R.	FINANCE STAFF (CONTRACTUAL)	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)

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14-Aug-17	YU	KENNETH VINCENT U.	SALES AGENT	CLIENT SERVICES OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Aug-17	TAN	ENGELBERGE DAR S.	TRADER	AGENT	TIMSON SECURITIES, INC.	RE-DESIGNATION
15-Aug-17	MUTYA	MARLON P.	SALES/AGENT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
16-Aug-17	YU	ADRIAN ALEXANDER N.	-	RESEARCH ANALYST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Aug-17	PERALTA	ANNABEL A.	-	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Aug-17	ACOSTA	CONNIE ROSE A.	-	COMPLIANCE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Aug-17	SANTIAGO	LARA KATRINA E.	-	HR STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Aug-17	DULIN	ALWYN M.	-	ACCOUNTING STAFF	AB CAPITAL SECURITIES, INC	NEW EMPLOYMENT
17-Aug-17	UY	LUCKY DICKINSON T.	AGENT/SALESMAN	-	VENTURE SECURITIES, INC.	RESIGNATION
19-Aug-17	VAZQUEZ	ROSANA MARIA LUISA D.	ASSISTANT MANAGER, INSTITUTIONAL SALES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
28-Aug-17	POLIKUIT	PATRICK LORENZ L.	OJT - IT DEPARTMENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
31-Aug-17	GANGCUANGCO	MARK ANTHONY C.	EQUITY SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Sep-17	RUADO	EDGAR H.	-	VICE PRESIDENT FOR OPERATIONS	SECURITIES SPECIALIST, INC.	NEW EMPLOYMENT
01-Sep-17	BISCOCHO	EDNA C.	OPERATION HEAD/DIRECTOR/TREASURER	DIRECTOR/TREASURER	SECURITIES SPECIALIST, INC.	RE-DESIGNATION
01-Sep-17	MAGAHUM	EMERALD P.	ASST. OPERATION HEAD	-	SECURITIES SPECIALIST, INC.	RESIGNATION
01-Sep-17	MIGUEL	ISSA CZARINA E.	SALES AND TRADING ASSOCIATES	-	CHINABANK SECURITIES CORPORATION (formerly ATC Securities, Inc.)	RESIGNATION
01-Sep-17	SOLOMON, JR.	LORETO G.	ADMINISTRATIVE ASSISTANT	VICE PRESIDENT FOR ADMINISTRATION	SECURITIES SPECIALIST, INC.	RE-DESIGNATION