



MEMORANDUM – 2017-026

To : All Trading Participants

Subject : Employee Movement

Date : 6 November 2017

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2017.

For information and guidance.


DAISY R. ARCE
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-May-17	PEREZ	DAVID M.	AM-ASSISTANT OPERATIONS HEAD	AM-ASSISTANT OPERATIONS HEAD/DATA PROTECTION OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Aug-17	PEREZ	GABRIEL JOSE F.	-	SALES ASSOCIATE	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
09-Aug-17	AGUILAR	SHEILA MARIE C.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PRIVACY OFFICER	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
31-Aug-17	MAGTAÑOB	CRISTETA U.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	J.M. BARCELON & CO., INC.	RE-DESIGNATION
01-Sep-17	TALATO	PATRICK M.	SALES TRAINEE	TRADING ASSISTANT	ASIASEC EQUITIES, INC.	RE-DESIGNATION
05-Sep-17	PEREZ	ZOILA M.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	VALUE QUEST SECURITIES CORPORATION	RE-DESIGNATION
05-Sep-17	GLEAN	MARIA LIZA L.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	STRATEGIC EQUITIES CORPORATION	RE-DESIGNATION
08-Sep-17	CALISON	DOLORES S.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	SECURITIES SPECIALIST, INC.	RE-DESIGNATION
11-Sep-17	ALCARAZ	PEPITO	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	BA SECURITIES, INC.	RE-DESIGNATION
11-Sep-17	LEONOR	JENN-ARAH B.	COMPLIANCE ANALYST	COMPLIANCE ANALYST/DATA PROTECTION OFFICER	CLSA PHILS., INC.	RE-DESIGNATION
18-Sep-17	LANDAYAN	CAMILLE LOUISE C.	-	ACCOUNTING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORP.	NEW EMPLOYMENT
18-Sep-17	AGUILAR	ROYCE CHRISTOPHER A.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORP.	NEW EMPLOYMENT
18-Sep-17	MACEDA	DOROTHY T.	-	VICE PRESIDENT AND HEAD, ACCOUNTING, DISBURSEMENT AND RELATED SERVICES- CENTRAL ACCOUNTING DIVISION	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	TIU	NELSON L.	-	ASSISTANT VICE PRESIDENT, ACCOUNTING, DISBURSEMENT AND RELATED SERVICES- CENTRAL ACCOUNTING DIVISION	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	GO SEE IT	IRENE A.	-	DEPUTY SENIOR MANAGER, ACCOUNTING, DISBURSEMENT AND RELATED SERVICES- CENTRAL	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT

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				ACCOUNTING DIVISION		
18-Sep-17	VARGAS	LAWRENCE JAMES G.	-	SENIOR ACCOUNTING SPECIALIST, ACCOUNTING, DISBURSEMENT AND RELATED SERVICES- CENTRAL ACCOUNTING DIVISION	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	SARMIENTO	FRANCISCO EDUARDO A.	-	VICE PRESIDENT, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	SANTOS III	FERNANDO S.	-	ASSISTANT VICE PRESIDENT, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	ONA	ELEANOR C.	-	SENIOR MANAGER, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	BURAGAY	ALALYN J.	-	SENIOR MANAGER, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	MANCERA	JOSE GIOVANNI L.	-	DEPUTY SENIOR MANAGER, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	CUERDO	REY BENJAMIN S.	-	SENIOR ASSISTANT MANAGER, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	AVES	AGNES F.	-	SUPERVISOR, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	GARCIA	ANNA LORRAINE P.	-	SUPERVISOR, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	FRANCISCO	KENNETH S.	-	SUPERVISOR, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT

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18-Sep-17	CRUZ	PATRICIA LARA S.	-	OPERATIONS ASSISTANT, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	SOTELO	RHODILYN AIRRA B.	-	OPERATIONS ASSISTANT, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	GIERZA	CHRISTINE A.	-	OPERATIONS ASSISTANT, GENERAL AND TREASURY OPERATIONS SERVICES-CENTRALIZED OPERATIONS GROUP	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
18-Sep-17	BAUTISTA	JOSE ALEXANDRO MARIA C.	TRADER	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
18-Sep-17	BUNAGAN	JANA CHRISTIANE C.	TRADER	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
18-Sep-17	DELA CRUZ	MARJORIE S.	ACCOUNTING STAFF	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	RE-DESIGNATION
18-Sep-17	LORAYES	RICARDO Z.	RESEARCH DIRECTOR/TRADER	-	STRATEGIC EQUITIES CORPORATION	DECEASED
18-Sep-17	GLEAN	MARIA LIZA L.	DATA PROTECTION OFFICER	DATA PROTECTION OFFICER/ANTI-MONEY LAUNDERING COUNCIL (AMLC) OFFICER	STRATEGIC EQUITIES CORPORATION	RE-DESIGNATION
18-Sep-17	ESTILLERO	ABIGAIL E.	-	GENERAL CLERK	RCBC SECURITIES, INC.	NEW EMPLOYMENT
18-Sep-17	VELASCO	MARCO NINO M.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
19-Sep-17	MERCULISIO	RICMEL O.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
21-Sep-17	MOJICA	AIZA ANN M.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
25-Sep-17	CHUA	ALEC JAYSON A.	-	TRAINEE	STAR ALLIANCE SECURITIES CORP	NEW EMPLOYMENT
25-Sep-17	BIATEN	JOEMARIE G.	ASSOCIATED PERSON	ASSOCIATED PERSON/COMPLIANCE OFFICER FOR PRIVACY	PCCI SECURITIES BROKERS CORP	RE-DESIGNATION
26-Sep-17	DELA ROSA	KRIZZIE P.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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26-Sep-17	TABAC	JONATHAN T.	CO/AP	CO/AP/DATA PRIVACY OFFICER	FIRST METRO SECURITIES BROKERAGE CORP.	RE-DESIGNATION
26-Sep-17	PORMALEJO	JUDITH M.	COMPLIANCE OFFICER	COMPLIANCE/DATA PRIVACY OFFICER	SALISBURY BKT SECURITIES CORP	RE-DESIGNATION
29-Sep-17	DELOS REYES	NEDDY R.	MESSENGER	-	EQUITIWORLD SECURITIES, INC.	RESIGNATION (cleared)
29-Sep-17	LEIDO, JR.	EDGARDO B.	MIDDLE OFFICE SUPERVISOR	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
29-Sep-17	BELCHEZ	MEG CAMILLE R..	ASSOCIATE, SETTLEMENT	-	UNICAPITAL SECURITIES, INC.	RESIGNATION
30-Sep-17	DULAGA	ADRELIN T.	ASSOCIATED PERSON AND SETTLEMENT ACCOUNTANT	-	LITONJUA SECURITIES, INC.	RESIGNATION
30-Sep-17	BALLADARES	ARLYN N.	STOCK CUSTODIAN	-	R.S. LIM & COMPANY, INC.	RESIGNATION (did not apply for clearance)
30-Sep-17	ALQUEZA	DANNY	OPERATIONS MANAGER	OPERATIONS MANAGER/DATA PRIVACY OFFICER	AP SECURITIES, INC.	RE-DESIGNATION
30-Sep-17	RILE	ADRIAN P.	CORPORATE ACTIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Oct-17	SAMPAYO	NILO C.	COMPLIANCE OFFICER/ASSOCIATED PERSON	COMPLIANCE OFFICER/ASSOCIATED PERSON/DATA PRIVACY OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-17	SAMPAYO	NILO C.	COMPLIANCE OFFICER/ASSOCIATED PERSON	COMPLIANCE OFFICER/ASSOCIATED PERSON/DATA PRIVACY OFFICER	ARMSTRONG SECURITIES, INC.	RE-DESIGNATION
01-Oct-17	GALANG	FEDERICO C.	VICE-CHAIRMAN	CHAIRMAN	PCCI SECURITIES BROKERS CORP	RE-DESIGNATION
01-Oct-17	CAMACHO, JR.	MARIO D.	DIRECTOR	-	PCCI SECURITIES BROKERS CORP	RESIGNATION
01-Oct-17	GUINGONA	JOSE T.	CHAIRMAN	DIRECTOR	PCCI SECURITIES BROKERS CORP	RE-DESIGNATION
01-Oct-17	TACDA	GRAHAM BELL G.	-	DIRECTOR	PCCI SECURITIES BROKERS CORP	NEW EMPLOYMENT
01-Oct-17	BANAAG, JR.	ANACLETO D.	INDEPENDENT DIRECTOR	-	PCCI SECURITIES BROKERS CORP	RESIGNATION
01-Oct-17	BONGOLAN	GONZALO BENJAMIN A.	-	DIRECTOR	PCCI SECURITIES BROKERS CORP	NEW EMPLOYMENT

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01-Oct-17	LOPEZ	MIGUEL L.	DIRECTOR	-	PCCI SECURITIES BROKERS CORP	RESIGNATION
01-Oct-17	SALUD	ABIGAIL MARIE D.	HEAD OF OPERATIONS	-	PCCI SECURITIES BROKERS CORP	RESIGNATION
01-Oct-17	LAGO	JOSEPH JAMES F.	OFFICER-IN-CHARGE	OFFICER-IN-CHARGE/DIRECTOR	PCCI SECURITIES BROKERS CORP	RE-DESIGNATION
01-Oct-17	PUNO	RENE E.	-	INDEPENDENT DIRECTOR	PCCI SECURITIES BROKERS CORP	NEW EMPLOYMENT
01-Oct-17	RAMOS	CARMELYN S.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	TIMSON SECURITIES, INC.	RE-DESIGNATION
02-Oct-17	HERNANDEZ	RAPHAEL JOURDAN	-	CSR	AP SECURITIES, INC.	NEW EMPLOYMENT
02-Oct-17	FAVOR	MA. XY-ZA ELECTCIA F.	SALESMAN	-	VENTURE SECURITIES, INC.	RESIGNATION
02-Oct-17	HAGURING	MARY GRACE S.	-	NEW ACCOUNTS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORP.	NEW EMPLOYMENT
02-Oct-17	LOPEZ	BRUCE RICARDO O.	DEALER - INSTITUTIONAL TRADING	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORP.	RE-DESIGNATION
02-Oct-17	SALAZAR	ARRAH JOYCE M.	CONTRACTUAL (CLERK)	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
02-Oct-17	TAN	ROSAURO C.	-	MESSENGER	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
02-Oct-17	MAPE	CHRISTINE S.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
02-Oct-17	MAGLASANG	ANALIZA D.	-	TRAINEE	A.T. DE CASTRO SECURITIES CORP	NEW EMPLOYMENT
02-Oct-17	VELASCO	KEVIN TRISTAN S.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
02-Oct-17	LOZADA	CONNIE M.	-	ASSOCIATED PERSON	AP SECURITIES, INC.	NEW EMPLOYMENT
03-Oct-17	MARASIGAN	CHRISTINE ALYSSA S.	INTERN	-	COL FINANCIAL GROUP, INC.	RESIGNATION (no clearance required)
04-Oct-17	GABION	PAUL BERNARD R.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
06-Oct-17	CORDERO	NICOLE ISSACHAR C.	-	GENERAL ACCOUNTING ASSISTANT MANAGER	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
09-Oct-17	ANDRES	KHARIZZA MAE P.	-	NEW ACCOUNTS STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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09-Oct-17	DE MESA	MARK GIERON E.	CLIENT SERVICES OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
10-Oct-17	TANEDO	CORRINE HAZEL G.	-	VP-RESEARCH	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
11-Oct-17	ARAMBULO	MARIA JACQUELINE L.	VP, CCRO	VP, CCRO/DATA PROTECTION OFFICER	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
13-Oct-17	LEYVA	KIM CRISHA D.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
14-Oct-17	ASUNCION	LUIZ MIGUEL P.	JUNIOR DEALER	-	CLSA PHILS., INC.	RESIGNATION (clearance under process)
16-Oct-17	CALING	FE M.	ASSOCIATED PERSON	ASSOCIATED PERSON/DATA PROTECTION OFFICER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
16-Oct-17	MANGUN	CHRISTOPHER JOHN F.	-	RESEARCH DEPARTMENT HEAD	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
17-Oct-17	MARZO	ARIAN JASMINE B.	-	CLIENT EXPERIENCE ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Oct-17	NOYNAY	JOSEPH BRIAN S.	CERTIFIED SECURITIES REPRESENTATIVE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
23-Oct-17	DEL ROSARIO	CAITRIN DOMINI LARISSA S.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
23-Oct-17	SIMPAO	MARVIN ROY S.	HEAD, PRODUCT AND BUSINESS DEVELOPMENT	SENIOR COMPLIANCE OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
23-Oct-17	MANZARES	SANDIE F.	SENIOR COMPLIANCE OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
25-Oct-17	RODRIGO	ROMMEL L.	VICE PRESIDENT, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
27-Oct-17	ORTEGA	GRACE ANNE F.	-	OPERATIONS ASSISTANT, GENERAL AND TREASURY OPERATIONS SERVICES - CENTRALIZED OPERATIONS	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
27-Oct-17	ASIDAO	HANNAH MAY R.	-	OPERATIONS ASSISTANT, GENERAL AND TREASURY OPERATIONS SERVICES - CENTRALIZED OPERATIONS	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES, INC.)	NEW EMPLOYMENT
29-Oct-17	FERNANDEZ	ARVIN ROMEL R.	TRADER	-	BDO NOMURA SECURITIES INC.	RESIGNATION