



MEMORANDUM – 2017-006

To : All Trading Participants

Subject : Employee Movement

Date : 03 March 2017

Pursuant to Art.IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2017.

For information and guidance.


DAISY PARCE
Officer-In-Charge

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jan-17	BIBIT	JASON OHELLE	SALESMAN	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION (will issue clearance)
01-Jan-17	ZIALCITA	MANUEL LUIS	SALESMAN	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION (will issue clearance)
03-Jan-17	ALDAY	CORAZON G.	ASSOCIATED PERSON	OPERATIONS SUPERVISOR	PREMIUM SECURITIES, INC.	RE-DESIGNATION
03-Jan-17	VENTURA	MARITES M.	SETTLEMENT HEAD	ASSOCIATED PERSON	PREMIUM SECURITIES, INC.	RE-DESIGNATION
03-Jan-17	SUMACULUB	JENNYLITA L.	SETTLEMENT/DOCUMENTATION CLERK	SETTLEMENT HEAD	PREMIUM SECURITIES, INC.	RE-DESIGNATION
03-Jan-17	INGAL	MADELA G.	ENCODER/OFFICE ASST.	SETTLEMENT ASSISTANT/ENCODER/DOCUMENTATION CLERK	PREMIUM SECURITIES, INC.	RE-DESIGNATION
03-Jan-17	LOO	ROSELYN C.	BOOKKEEPER TRAINEE	IT/SETTLEMENT STAFF	YU & COMPANY, INC.	RE-DESIGNATION
03-Jan-17	LABERINTO	CHRISTIAN L.	-	ACCOUNTANT	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
03-Jan-17	CURAMMENG	MARISSA F.	ACCOUNTANT	ASSISTANT ASSOCIATED PERSON	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
09-Jan-17	DELA CRUZ	DOMINGA M.	ASSISTANT ASSOCIATED PERSON	CONSULTANT	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
11-Jan-17	BELTRAN	ANDREO LEO I.	INSTITUTIONAL SALES OFFICER	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Jan-17	DECASA	CHRISTIAN	RESEARCH STAFF	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION (will issue clearance)
18-Jan-17	VALDEZ	RICHARD S.	-	SALESMAN	MERIDIAN SECURITIES, INC.	NEW EMPLOYMENT (pending SEC application)
18-Jan-17	RANIS	MARIA PERPETUA M.	SETTLEMENT ASSISTANT	SALESMAN	MERIDIAN SECURITIES, INC.	RE-DESIGNATION (pending SEC application)
20-Jan-17	DE GUZMAN	SARITA ANN O.	ADMINISTRATION ASSOCIATE	-	CLSA PHILIPPINES, INC.	RESIGNATION (cleared)
21-Jan-17	VINASOY	ABIGAIL ANNE S.	ACCOUNTING OPENING ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
25-Jan-17	TSAI	PATRICK VINCENT U.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
25-Jan-17	SANTIAGO	REGINALD ANGELO H.	TRAINEE	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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26-Jan-17	LARCE	ORVEN R.	-	MESSENGER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
30-Jan-17	DE GUZMAN	AIVY FAYE C.	-	ADMINISTRATION ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
31-Jan-17	PICONES	ROCETTE ANNE C.	ADMIN CLERK	-	YU & COMPANY, INC.	RESIGNATION
31-Jan-17	GAWECO	ANNA HESTER S.	AUTHORIZED TRADER	-	MOUNT PEAK SECURITIES, INC.	RESIGNATION
31-Jan-17	VAN OMMEREN	JUSTIN PAOLO P.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Feb-17	MARTINEZ	FERNANDO	SALESMAN	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION (No clearance yet)
01-Feb-17	NOGRALES	MARSEILLE N.	-	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Feb-17	DELA CRUZ	ROSALIE	-	CONSULTANT, OPERATIONS & SETTLEMENT	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
01-Feb-17	GAWECO	CAROLINE G.	-	OFFICE CLERK	MOUNT PEAK SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-17	DE LOS SANTOS	MERLENE G.	TRADING ASSISTANT	SALESMAN/TRADER	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
01-Feb-17	UY	DAYANARA JOGIE S.	AGENT	SALESMAN	YU & COMPANY, INC.	RE-DESIGNATION
01-Feb-17	QUEZMUNDO	CHARLENE V.	-	SENIOR ACCOUNTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-17	ALDAY	FRANCIS NICOLI L.	-	SALESMAN/TRADER	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-17	ROQUE	GABRIEL LUIS E.	-	RESEARCH OFFICERS	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-17	LITTON	ALBERT C.	-	RESEARCH OFFICERS	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-17	DUMOL	MARIA LOURDES GUADALUPE F.	-	RESEARCH OFFICERS	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-17	TIU	BENJAMIN JOHN C.	-	PRESIDENT	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
01-Feb-17	TIU, JR.	JOHN Y.	PRESIDENT	-	FIDELITY SECURITIES, INC.	RESIGNATION
03-Feb-17	DAGONDON	ELDRED A.	MESSENGER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (no clearance required)
03-Feb-17	ALMONTE	ISABELO S.	TECHNICAL SUPPORT	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (no clearance required)
06-Feb-17	KATAYAMA	MAMIKO	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION
06-Feb-17	TOLETE	MARIA SHARINE A.	-	BUSINESS RELATIONSHIP EXECUTIVE	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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06-Feb-17	NOFUENTE	LEXTER G.	MESSENGER/CLERK	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
06-Feb-17	BLANCO	AILEEN M.	-	TECHNICAL SUPPORT	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
07-Feb-17	CECILIO	CYRUS ELJON D.	-	CERTIFIED SECURITIES REPRESENTATIVE	AP SECURITIES, INC.	NEW EMPLOYMENT
07-Feb-17	DELGADO	MARIA CRISTINA M.	-	CERTIFIED SECURITIES REPRESENTATIVE	AP SECURITIES, INC.	NEW EMPLOYMENT
07-Feb-17	KATO	HIROAKI	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
08-Feb-17	DANDAN	KYLE JOSHUA C.	CSR EQUITIES TRADER	-	CITISECURITIES, INC.	RESIGNATION (clearance under process but may join other broker)
08-Feb-17	SINGSON	GERARD R.	-	INDEPENDENT DIRECTOR	PNB SECURITIES, INC.	NEW EMPLOYMENT
10-Feb-17	ORTIGAS	XAVIER IGNACIO M.	TRAINEE	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
10-Feb-17	REYES	RON JAIME J.	TRAINEE	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
13-Feb-17	MALIUANAG	VINCENT V.	-	INTERN	CITISECURITIES, INC.	NEW EMPLOYMENT
13-Feb-17	BANASTAS	ERIC P.	-	MESSENGER/CLERK	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
15-Feb-17	YCO	KRISTINE DIANE M.	-	TRAINEE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
15-Feb-17	BASCO	FLORENTINO L.	OPERATIONS HEAD	ASSOCIATED PERSON	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Feb-17	ANGELES	MYRNA B.	ACTING ASSOCIATED PERSON	OPERATIONS HEAD	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
16-Feb-17	CO	RONALD EMMANUEL A.	DEPARTMENT HEAD- OPERATIONS (M)	DEPARTMENT HEAD- OPERATIONS (SM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-17	GUTIERREZ	KRISTI MARIE R.	EXECUTIVE SECRETARY (JL4)	EXECUTIVE SECRETARY (JAM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-17	ENCARNACION	RHIZZA MAE P.	CUSTOMER SUPPORT ASSOCIATE (JL2)	CUSTOMER SUPPORT ASSOCIATE (JL4)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-17	ALIBUDBUD	AIMELOU S.	-	CUSTOMER SUPPORT OFFICER	FIRST METRO SECURITIES	NEW EMPLOYMENT

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					BROKERAGE CORPORATION	
16-Feb-17	BONDOC	CAMILLE S.	NEW ACCOUNTS STAFF	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
16-Feb-17	ADEZER	MARLINA S.	-	ACCOUNTING ASSISTANT	CITISECURITIES, INC.	NEW EMPLOYMENT
16-Feb-17	NUÑEZ	AUDREY P.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
16-Feb-17	PATAJO	MIGUEL DOMINGO B.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
16-Feb-17	YU	JEREMY JOHNSON N.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
17-Feb-17	WEE	ERICSON C.	COMPLIANCE OFFICER/ASSOCIATED PERSON	FVP-HEAD OF OPERATIONS	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
17-Feb-17	MONTELLANO	CHRISTIAN JOSEPH D.	COMPLIANCE ASSISTANT	COMPLIANCE OFFICER/ASSOCIATED PERSON	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
17-Feb-17	LIM	TAMMY B.	MANAGER-OPERATIONS HEAD	MANAGER-ACCOUNTING HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
18-Feb-17	MALIUANAG	VINCENT V.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
20-Feb-17	DELA CRUZ	DOMINGA M.	CONSULTANT	TRADER	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
20-Feb-17	UY	LEMUEL Y.	EQUITY ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION
22-Feb-17	DE GUZMAN	SARITA ANN O.	-	CORPORATE ACCESS/ADMINISTRATION STAFF	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
24-Feb-17	GO	JORGE S.	NOMINEE	-	JSG SECURITIES, INC.	RESIGNATION
24-Feb-17	GO	RICKY C.	-	NOMINEE	JSG SECURITIES, INC.	NEW EMPLOYMENT