



MEMORANDUM – 2018-001

To : All Trading Participants

Subject : Employee Movement

Date : 5 January 2018

Pursuant to Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 December 2017.

For information and guidance.

A handwritten signature in black ink, appearing to read 'Daisy P. Arce', is positioned above the printed name.

DAISY P. ARCE

President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
27-Oct-17	RODRIGO	ROMMEL L.	VICE PRESIDENT, RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
02-Nov-17	BOLAZO	NUMERIANO B.	EXECUTIVE VICE PRESIDENT, CHIEF COMPLIANCE	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
06-Nov-17	CARPIO-DUQUE	ATTY. IRIS MARIE	ASSISTANT CORPORATE SECRETARY	ASSISTANT CORPORATE SECRETARY/ DATA PROTECTION OFFICER	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
07-Nov-17	SARREAL	LOVELL A.	SVP, SENIOR ANALYST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
15-Nov-17	LIBO-ON	ROMEL FRANCIS B.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
15-Nov-17	CARONAN	BEA KRISTINE N.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
15-Nov-17	CHUA	ALEC JAYSON A.	INTERN	-	CITISECURITIES, INC.	RESIGNATION (no clearance required)
16-Nov-17	TAY	DEXTER A.	-	CHIEF COMPLIANCE OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Nov-17	CARGULLO	FERLYN JOY C.	SALES ASSOCIATE	SALES ASSOCIATE/SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
16-Nov-17	NOGRALES	MARSEILLE N.	INSTITUTIONAL SALES OFFICER	SECTION HEAD - INSTITUTIONAL SALES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Nov-17	BELTRAN	ANDRO LEO I.	BUSINESS DEVELOPMENT OFFICER	DEPARTMENT HEAD - BUSINESS DEVELOPMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
20-Nov-17	DE JUAN	KRISSIA S.	-	PROJECT HIRE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
20-Nov-17	BULAHAN	AXELLE MAY O.	-	PROJECT HIRE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
20-Nov-17	DIMZON	JIERO JOHN D.	AGENT-STOCK BROKER	-	ALPHA SECURITIES CORPORATION	RESIGNATION (cleared)

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23-Nov-17	CALVO	JOANNA MARIE E.	ACCOUNTING CLERK	-	LUCKY SECURITIES, INC.	RESIGNATION
24-Nov-17	PUA	LEONARD P.	SALESMAN	-	UPCC SECURITIES CORPORATION	RESIGNATION
27-Nov-17	HERMOSISIMA	CHRISTOPHER ALBERT C.	SALESMAN	-	CAMPOS, LANUZA & COMPANY, INC.	RESIGNATION (did not apply for clearance)
30-Nov-17	DE LUNA	ROMARIE NATIAH B.	MARKETING ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process but may join other broker)
30-Nov-17	MORADA	MARIA CECILIA L.	SALESMAN	-	FIDELITY SECURITIES, INC.	RESIGNATION (cleared)
30-Nov-17	ODULIO	EDNA B.	OPERATIONS OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION
30-Nov-17	MARZAN	LEONARDO C.	SALESMAN	-	DW CAPITAL, INC.	RESIGNATION (cleared)
01-Dec-17	ILAGAN	MARCELLE LEA V.	-	ADMINISTRATIVE ASSISTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-17	TEMBREVILLA	JUSTIN LAWRENCE J.	-	ANALYST	UCPB SECURITIES, INC.	NEW EMPLOYMENT
01-Dec-17	IMBUIDO	MARTE Y.	IT ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance withheld)
01-Dec-17	COYIUTO	SAMUEL G.	PRESIDENT	CHAIRMAN	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
01-Dec-17	CARAGAN	VITO S.	VICE PRESIDENT/ ASSOCIATED PERSON	PRESIDENT/DIRECTOR	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
01-Dec-17	WHANG	JULIE P.	SETTLEMENT ASSOCIATE	AUTHORIZED TRADER/SALESMAN	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
01-Dec-17	AQUINO	ANNA LEA C.	ACCOUNTING ASSOCIATE	SETTLEMENT ASSOCIATE	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
01-Dec-17	COYIUTO	MA. ROSALINA T.	-	ASSISTANT TREASURER	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT

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01-Dec-17	ATAY	CRISTYBETH D.	-	FINANCIAL ACCOUNTANT	UBS SECURITIES PHILIPPINES INC.	NEW EMPLOYMENT
01-Dec-17	CAPILI	FERLIE MARIZ E.	ACCOUNT OPENING ASSOCIATE	ACCOUNT OPENING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	DIMAFELIX	PAULA CAMILLE R.	CORPORATE ACTIONS ASSOCIATE	CORPORATE ACTIONS SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	LUMEN	KAREN FAYE L.	ACCOUNTING ASSOCIATE	ACCOUNTING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	TEODORO	ZARAH MARIE I.	CASH SETTLEMENT ASSOCIATE	CASH SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	AGSAOAY	DIANA A.	SECURITIES SETTLEMENT ASSOCIATE	SECURITIES SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	IMPERIAL	BRYAN O.	ACCOUNTING ASSISTANT - OPERATIONS	ACCOUNTING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	DUMAG	GLADYS KRISTINE	-	ACCOUNTING STAFF	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-17	QUILALA	GARRY D.	-	IT CONSULTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Dec-17	VILLAFLO	GENESIS L.	IT ASSOCIATE	IT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Dec-17	SY	GOON	SALESPERSON	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
01-Dec-17	SY	LETTY T.	SALESPERSON	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
01-Dec-17	YAP	YOLANDA U.	CONSULTANT	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
01-Dec-17	CARRILLO	ANMER B.	STAFF (SPECIAL PROJECT)	-	HDI SECURITIES, INC.	RESIGNATION (clearance under process)
01-Dec-17	GUINIAWAN	MAURIENE	ADMIN ASSISTANT/RECEPTIONIST	-	HDI SECURITIES, INC.	RESIGNATION (clearance under process)

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01-Dec-17	DIESTRO	CHRIS ANNE B.	ACCOUNTING ASSISTANT - DISBURSEMENTS	-	HDI SECURITIES, INC.	RESIGNATION (clearance under process)
04-Dec-17	JABON	PAMELA MAE G.	SECURITIES SETTLEMENT ASSOCIATE	CORPORATE ACTIONS ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
04-Dec-17	BUENSUCESO	JOSE BENJAMIN D.	-	VICE PRESIDENT	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
04-Dec-17	DAÑAS	LUDWIG P.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
04-Dec-17	VILLEGAS	MICHELLE E.	-	ONLINE MARKETING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
09-Dec-17	SALVADOR	GEZIL CHARLENE D.	ACCOUNTING STAFF	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
09-Dec-17	VARGAS	JEFFERSON PHILIP	CLIENT RELATIONS SUPPORT - CONTRACTUAL	-	BPI SECURITIES CORPORATION	RESIGNATION
11-Dec-17	BILLONES	JOHN PHILIP T.	-	BUSINESS DEVELOPMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
11-Dec-17	MARQUEZ	ARISREED A.	-	PRODUCT MARKETING SENIOR MANAGER	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
11-Dec-17	CORTEZ	JOANNEL R.	ADMIN STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
11-Dec-17	CORPUZ	SHELANE L.	-	ACCOUNTING ASSISTANT - DISBURSEMENTS	HDI SECURITIES, INC.	NEW EMPLOYMENT
11-Dec-17	HORARIO	CHINA PEARL L.	-	INTERN	HDI SECURITIES, INC.	NEW EMPLOYMENT
15-Dec-17	ORO	VICENTE	SENIOR ACCOUNTING MANAGER	COMPLIANCE OFFICER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
28-Dec-17	MOJICA	AIZA ANN M.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
01-Jan-18	CARIASO	REGINALDO ANTHONY B.	CHAIRMAN AND ACTING PRESIDENT	CHAIRMAN	BPI SECURITIES CORPORATION	RE-DESIGNATION

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01-Jan-18	NARVAEZ	HERMENEGILDO Z.	HEAD, RESEARCH	PRESIDENT AND CEO	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-18	LIM	RICHE LEVIN T.	RESEARCH ANALYST	OIC - HEAD, RESEARCH	BPI SECURITIES CORPORATION	RE-DESIGNATION