



MEMORANDUM – 2018-008

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **5 March 2018**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2018.

For information and guidance.

(Original Signed)
DAISY P. ARCE
President

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | PRESENT EMPLOYER | REMARKS |
|---------------------|-------------|------------------|--------------------------------|------------------------------------|--------------------------------------|--|
| 01-Jan-18 | MATUGUINAS | DONIE D. | HEAD OF SETTLEMENTS | - | CLSA PHILIPPINES, INC. | UPDATE: RESIGNATION (cleared) |
| 02-Jan-18 | MAGRACIA | ROY S. | IT MANAGER | - | CLSA PHILIPPINES, INC. | UPDATE: RESIGNATION (cleared) |
| 15-Jan-18 | MARTINEZ | EDMUND DANIEL P. | HEAD OF PREMIUM GROUP | AVP-HEAD OF PREMIUM BUSINESS GROUP | COL FINANCIAL PHILIPPINES | RE-DESIGNATION |
| 15-Jan-18 | TAM | ABIGAIL I. | - | RECEPTIONIST - CONTRACTUAL | MAYBANK ATR KIM ENG SECURITIES, INC. | NEW EMPLOYMENT |
| 19-Jan-18 | ABERGONZADO | KOKKI P. | - | FINANCE STAFF - CONTRACTUAL | MAYBANK ATR KIM ENG SECURITIES, INC. | NEW EMPLOYMENT |
| 20-Jan-18 | BAGAS | ELMIDIO JR., | COMPLIANCE OFFICER | - | VC SECURITIES CORPORATION | RESIGNATION |
| 22-Jan-18 | SAMBILE | ALYANNA | - | SALES EXECUTIVE | VC SECURITIES CORPORATION | NEW EMPLOYMENT |
| 26-Jan-18 | TALEKAR | FALGUN I. | IT TECH OPS - SUPPORT SERVICES | - | CLSA PHILIPPINES, INC. | RESIGNATION (no clearance required) |
| 30-Jan-18 | AMPARO | BEVERLY D. | TRADING ASSISTANT | TRADING FLOOR ASSISTANT | PAPA SECURITIES CORPORATION | RE-DESIGNATION |
| 30-Jan-18 | PAPA | LUIS MIGUEL P. | ASST. VICE PRESIDENT | ASST. VICE PRESIDENT/ TRADER | PAPA SECURITIES CORPORATION | RE-DESIGNATION |
| 31-Jan-18 | AQUINO | MARIANITO T. | CONSULTANT | - | R.S. LIM & COMPANY, INC. | RESIGNATION |
| 31-Jan-18 | BIATEN | JOEMARIE B. | ASSOCIATED PERSON | - | PCCI SECURITIES BROKERS CORPORATION | RETIREMENT |
| 31-Jan-18 | CAMPOS | AISSA MARIE L. | OFFICE CLERK | - | SINCERE SECURITIES CORPORATION | RESIGNATION (cleared) |
| 31-Jan-18 | CHOI | MARTIN M. | SALESMAN | - | I.B. GIMENEZ SECURITIES, INC. | RESIGNATION (cleared) |
| 31-Jan-18 | GASMEN | KAE L. | OFFICE CLERK | - | MERCANTILE SECURITIES CORPORATION | RESIGNATION (did not apply for clearance) |

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| 31-Jan-18 | LIM | GABRIEL U. | DIRECTOR AND TREASURER | - | BDO SECURITIES CORPORATION | RESIGNATION (cleared) |
| 31-Jan-18 | ONGPIN | MARIANNA M. | HEAD, INSTITUTIONAL SALES | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 31-Jan-18 | ORLINA | PLACIDA M. | AVP - ASSISTANT HEAD OF OPERATIONS, ASSISTANT | - | PCCI SECURITIES BROKERS CORPORATION | RETIREMENT |
| 31-Jan-18 | TAN | ENGELBERGE DAR S. | AGENT | - | TIMSON SECURITIES, INC. | RESIGNATION |
| 01-Feb-18 | ACOSTA | CONNIE ROSE A. | COMPLIANCE STAFF | COMPLIANCE ASSISTANT | COL FINANCIAL PHILIPPINES | RE-DESIGNATION |
| 01-Feb-18 | ANG | STANLEY A. | FVP-SUPPORT SERVICES HEAD | DIRECTOR AND TREASURER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 01-Feb-18 | BELARMINO | DANNY G. | - | CONSULTANT | R.S. LIM & COMPANY, INC. | NEW EMPLOYMENT |
| 01-Feb-18 | CAPIOSO | EDISON C. | SETTLEMENT | TRADING ASSISTANT | WONG SECURITIES CORPORATION | RE-DESIGNATION |
| 01-Feb-18 | CHAU | MICHAEL W. | TRADING ASSISTANT | SETTLEMENT | WONG SECURITIES CORPORATION | RE-DESIGNATION |
| 01-Feb-18 | DE CELIS | JERVIN S. | SALESMAN | SALESMAN/ EQUITY ANALYST | TIMSON SECURITIES, INC. | RE-DESIGNATION |
| 01-Feb-18 | DIARON | RONABIL M. | ACCOUNTANT II | COMPLIANCE OFFICER | SALISBURY BKT SECURITIES CORPORATION | RE-DESIGNATION |
| 01-Feb-18 | FLORES | ALLAN T. | GENERAL MANAGER | GENERAL MANAGER/TRADER | JAKA SECURITIES CORPORATION | RE-DESIGNATION |
| 01-Feb-18 | GUIANG | GEORGIA V. | - | HEAD, INSTITUTIONAL SEGMENT | BPI SECURITIES CORPORATION | NEW EMPLOYMENT |
| 01-Feb-18 | HERRERA | ERNIE S. | OFFICE CLERK | - | STANDARD SECURITIES CORPORATION | RESIGNATION |
| 01-Feb-18 | JOSE | ERLINDA D. | ACCOUNTANT | ACCOUNTANT/TRADING FLOOR ASSISTANT | JAKA SECURITIES CORPORATION | RE-DESIGNATION |

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| 01-Feb-18 | LARANANG | MARK DAVE R. | - | RESEARCH ANALYST | CHINA BANK SECURITIES CORPORATION (formerly ATC Securities, Inc.) | NEW EMPLOYMENT |
| 01-Feb-18 | LATIGAY | VIOLETA C. | SETTLEMENT CLERK | SETTLEMENT OFFICER | SARANGANI SECURITIES, INC. | RE-DESIGNATION |
| 01-Feb-18 | LIAO | WILMA D. | OFFICE CLERK | - | STANDARD SECURITIES CORPORATION | RETIREMENT |
| 01-Feb-18 | OJEDA | AARON JACOB D. | - | IT OPERATIONS ASSISTANT | COL FINANCIAL PHILIPPINES | NEW EMPLOYMENT |
| 01-Feb-18 | PADERNOS | JENNY ROSE P. | - | SETTLEMENT ASSISTANT | SARANGANI SECURITIES, INC. | NEW EMPLOYMENT |
| 01-Feb-18 | PANELO | MISPAH JOY N. | - | FINANCE OFFICER | MAYBANK ATR KIM ENG SECURITIES, INC. | NEW EMPLOYMENT |
| 01-Feb-18 | RADADON | CELIA P. | SETTLEMENT CLERK | SETTLEMENT OFFICER | SARANGANI SECURITIES, INC. | RE-DESIGNATION |
| 01-Feb-18 | ROY | PETER DINO F. | DRIVER | - | CLSA PHILIPPINES, INC. | RESIGNATION (no clearance required) |
| 01-Feb-18 | SANTIAGO | LARA KATRINA E. | HR STAFF | HR ASSISTANT | COL FINANCIAL PHILIPPINES | RE-DESIGNATION |
| 01-Feb-18 | VELASCO | KYLE JEMMERIC L. | RESEARCH ANALYST | - | COL FINANCIAL PHILIPPINES | RESIGNATION (clearance under process) |
| 02-Feb-18 | CHOI | MARTIN | - | CSR - EQUITY TRADER | VC SECURITIES CORPORATION | NEW EMPLOYMENT |
| 05-Feb-18 | AGUILLON | ALTAIR GRACE A. | - | MARKETING MANAGER | PAPA SECURITIES CORPORATION | NEW EMPLOYMENT |
| 05-Feb-18 | CAMPOS | AISSA MARIE L. | - | OFFICE TRAINEE | YU AND COMPANY, INC. | NEW EMPLOYMENT |
| 05-Feb-18 | CHU | JAMES Y. | GENERAL MANAGER/ AUTHORIZED CLERK | VICE PRES./ TRADER | NEW WORLD SECURITIES CO., INC. | RE-DESIGNATION |
| 05-Feb-18 | SALVATIERRA | KATRINA MARIE D. | - | JUNIOR DEALER | MAYBANK ATR KIM ENG SECURITIES, INC. | NEW EMPLOYMENT |

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| 06-Feb-18 | PE | CAROLINE U. | SALES AGENT | - | SALISBURY BKT SECURITIES CORPORATION | RESIGNATION (clearance under process) |
| 08-Feb-18 | BULAHAN | AXELLE MAY O. | PROJECT HIRE | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 08-Feb-18 | DE JUAN | KRISSIA S. | PROJECT HIRE | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 08-Feb-18 | GADUGDOG | CATHERINE U. | PROJECT HIRE | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 08-Feb-18 | HILADO | ELEANOR M. | DIRECTOR AND PRESIDENT | - | ARMSTRONG SECURITIES, INC. | RESIGNATION (did not apply for clearance) |
| 09-Feb-18 | LIM | GABRIEL U. | - | DIRECTOR AND PRESIDENT | ARMSTRONG SECURITIES, INC. | NEW EMPLOYMENT |
| 09-Feb-18 | TORRES | ELFREN J. | - | STUDENT PRACTICUM | YU AND COMPANY, INC. | NEW EMPLOYMENT |
| 15-Feb-18 | LIM | AMANDA MARIE C. | PCG TRAINEE | - | COL FINANCIAL PHILIPPINES | RESIGNATION (no clearance required) |
| 15-Feb-18 | LIM | AMANDA MARIE C. | - | MARKETING INTERN | COL FINANCIAL PHILIPPINES | NEW EMPLOYMENT |
| 15-Feb-18 | NGAN | BENJAMIN F. | RESEARCH ANALYST | - | RCBC SECURITIES, INC. | RESIGNATION |
| 16-Feb-18 | PERALTA | ANNABEL A. | NEW ACCOUNTS STAFF | NEW ACCOUNTS ASSISTANT | COL FINANCIAL PHILIPPINES | RE-DESIGNATION |
| 17-Feb-18 | STA. MARIA | REINIER C. | SALESMAN | - | TANSENGCO & COMPANY, INC. | RESIGNATION |
| 17-Feb-18 | TYCHICO | KRISTIN | TRADER/SALESMAN | - | SECURITIES SPECIALISTS, INC. | RESIGNATION (cleared) |
| 19-Feb-18 | ABANADOR | DANICCA D.S. | BROKERAGE CLERK | TRADER/SALESMAN | IMPERIAL, DE GUZMAN, ABALOS & CO., INC. | RE-DESIGNATION |
| 19-Feb-18 | MADRIAGA | CHERRY MAE D. | - | NEW ACCOUNTS STAFF | COL FINANCIAL PHILIPPINES | NEW EMPLOYMENT |

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| 19-Feb-18 | ODULIO | JARED B. | AUTHORIZED TRADER | - | YU AND COMPANY, INC. | RESIGNATION |
| 19-Feb-18 | SALAGUSTE | GLENN ROMMEL H. | - | IT OPERATIONS ASSOCIATE | COL FINANCIAL PHILIPPINES | NEW EMPLOYMENT |
| 19-Feb-18 | SAY | VICTOR | - | TRADING ASSISTANT | VC SECURITIES CORPORATION | NEW EMPLOYMENT |
| 19-Feb-18 | TARAPE | GIZELLE | - | ACCOUNTANT | VC SECURITIES CORPORATION | NEW EMPLOYMENT |
| 19-Feb-18 | VALENZUELA III | ROBERTO C. | - | OPERATIONS ASSISTANT | CHINA BANK SECURITIES CORPORATION (formerly ATC Securities, Inc.) | NEW EMPLOYMENT |
| 20-Feb-18 | REYES | KIMBERLY MARIE S. | SHARE SETTLEMENT ASSOCIATE | SECURITIES SETTLEMENT ASSOCIATE | BPI SECURITIES CORPORATION | RE-DESIGNATION |
| 21-Feb-18 | ABALLE | VANESSA V. | ACCOUNTING ASSISTANT | - | MAYBANK ATR KIM ENG SECURITIES, INC. | RESIGNATION (cleared) |
| 23-Feb-18 | BAUTISTA | CAMILLE MARIA REMEDIOS Y. | RETAIL SALES ONLINE OFFICER | - | MAYBANK ATR KIM ENG SECURITIES, INC. | RESIGNATION (clearance under process) |
| 26-Feb-18 | AGUILAR | EDWARD JOHN | - | TRADING ASSISTANT | WONG SECURITIES CORPORATION | NEW EMPLOYMENT |
| 26-Feb-18 | APATAN | QUEENNE MARIE D. | - | NEW ACCOUNTS STAFF | COL FINANCIAL PHILIPPINES | NEW EMPLOYMENT |
| 26-Feb-18 | GO | WILLARD | TELEPHONE CLERK | TRADING FLOOR ASSISTANT | NEW WORLD SECURITIES CO., INC. | RE-DESIGNATION |
| 27-Feb-18 | AGULAR | CARMEN JANLEI B. | ADMIN/SUPPORT | INSTITUTIONAL SALES SUPPORT/ ADMIN | SB EQUITIES, INC. | RE-DESIGNATION |
| 27-Feb-18 | RAYNES | LANI ROSE A. | ADMIN/SUPPORT | INSTITUTIONAL SALES SUPPORT/ ADMIN | SB EQUITIES, INC. | RE-DESIGNATION |
| 01-Mar-18 | PAJUTINING | VENUS P. | - | CONTRACTUAL | RTG & CO., INC. | NEW EMPLOYMENT |
| 15-Mar-18 | CRUZ | PATRIA LARA S. | OPERATIONS ASSISTANT | - | CHINA BANK SECURITIES CORPORATION (formerly ATC Securities, Inc.) | RESIGNATION |