



MEMORANDUM – 2018-013

To : All Trading Participants

Subject : Employee Movement

Date : 6 April 2018

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 March 2018.

For information and guidance.

(Original Signed)
DAISY P. ARCE
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
13-Jan-18	DELFIN	PRINCESS HYRICH F.	CUSTOMER SUPPORT ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
17-Jan-18	LIM	MARVIN M.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
18-Jan-18	TOLIONGCO	ENRICO JEROME	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
24-Jan-18	MERCADO	JASMIN CARMELA G.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
31-Jan-18	MELENDRES	JOBELYN N.	BOOKKEEPER	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
31-Jan-18	LINAO	NENITA C.	CORPORATE ACTION OFFICER	-	SB EQUITIES, INC.	RETIREMENT
31-Jan-18	QUEZMUNDO	CHARLENE V.	SENIOR ACCOUNTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
31-Jan-18	VERGARA	DOLORES L.	-	ASSISTANT TREASURER	PHIL-PROGRESS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-18	FATEG	JOCELYN T.	-	ACCOUNTANT	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
01-Feb-18	ORO	VICENTE	COMPLIANCE OFFICER	COMPLIANCE OFFICER & ASSOCIATED PERSON	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
01-Feb-18	SANTOS	MIRIAM R.	ACCOUNTING SPECIALIST	SENIOR ACCOUNTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Feb-18	DOMINGO	MARIEL S.	-	OFFICE CLERK	R & L INVESTMENTS, INC.	NEW EMPLOYMENT
01-Feb-18	ECHANO	CHRISTINA H.	OFFICE CLERK	-	R & L INVESTMENTS, INC.	RESIGNATION (clearance under process)
01-Feb-18	MUNCAL	MARIA	COMPLIANCE OFFICER/ ASSOCIATED PERSON	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RETIREMENT
01-Feb-18	DELA PEÑA	MA. CRISTINA T.	ASSISTANT TREASURER	ASSISTANT TREASURER/ TRADING FLOOR ASSISTANT	H.E. BENNETT SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
05-Feb-18	ONG	VIRILIO T.	INDEPENDENT DIRECTOR	ACCOUNTANT	PHIL-PROGRESS SECURITIES CORPORATION	RE-DESIGNATION
08-Feb-18	PENTINIO	MARIE BEL R.	PROPRIETARY TRADER & MM	DEALER - INSTITUTIONAL TRADING	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
08-Feb-18	ZURBITO	JAIRA D.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
12-Feb-18	DAGOHOY	RITCHEL A.	TRADING ASSISTANT	BRANCH-OIC	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
12-Feb-18	BUENA	JEANETTE C.	-	ASSOCIATED PERSON	UPCC SECURITIES CORPORATION	NEW EMPLOYMENT
12-Feb-18	RAMOS	DIOSCORO I.	-	INDEPENDENT DIRECTOR	BDO NOMURA SECURITIES, INC. (formerly PCIB Securities, Inc.)	NEW EMPLOYMENT
12-Feb-18	COLLADO	MARK JAYSON R.	-	SECURITIES SETTLEMENT ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
13-Feb-18	LEE	JOSEPH D.	VP/ TREASURER	PRESIDENT/ CHAIRMAN OF THE BOARD	R & L INVESTMENTS, INC.	RE-DESIGNATION
13-Feb-18	LEE	LUCY LINDA D.	NOMINEE APPLICANT/ GENERAL MANAGER/ SALESMAN	NOMINEE APPLICANT/ TREASURER/ GEN. MANAGER/	R & L INVESTMENTS, INC.	RE-DESIGNATION
13-Feb-18	LEE	KIMBERLY ANN E.	DIRECTOR	VICE PRESIDENT/ DIRECTOR	R & L INVESTMENTS, INC.	RE-DESIGNATION
13-Feb-18	LEE	JORDAN NIÑO L.	DIRECTOR/ TRADING FLR. ASST.	ASST. MANAGER/ DIRECTOR	R & L INVESTMENTS, INC.	RE-DESIGNATION
14-Feb-18	ASUNCION	ANNA BARBARA E.	ELECTRONIC TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (clearance under process)
15-Feb-18	LIMBENGCO	ALEXANDER C.	ASST. MANAGER	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Feb-18	VITAL	VICTOR L.	CHAIRMAN/ CEO/ DIRECTOR/ PRESIDENT/ APPLICANT NOMINEE	CHAIRMAN/ CEO/ DIRECTOR/ PRESIDENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Feb-18	WEE	ERICSON C.	FVP - HEAD OF OPERATIONS	FVP - HEAD OF OPERATIONS/ APPLICANT NOMINEE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION

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15-Feb-18	SANTOS, JR.	LAMBERTO M.	DIRECTOR/ ADVISOR/ CERTIFIED SECURITIES REP.	SENIOR ADVISOR/ CERTIFIED SECURITIES REP.	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Feb-18	UMALI II	RENATO M.	ASST. VICE PRESIDENT	DIRECTOR/ ASST. VICE PRESIDENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Feb-18	DUMAG	GLADYS KRISTINE	ACCOUNTING STAFF	-	CUALOPING SECURITIES CORPORATION	RESIGNATION
15-Feb-18	OBORDO	MARVIN B.	-	INVESTMENT ANALYST	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
15-Feb-18	GARCES	KIM JOSHUA B.	-	INVESTMENT ANALYST	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
16-Feb-18	MARICA	MICHELLE ANNE M.	OPERATIONS ASSOCIATE JL2	OPERATIONS ASSOCIATE JL3	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	RAPIRAP	JEROME C.	OPERATIONS ASSOCIATE JL2	OPERATIONS ASSOCIATE JL3	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	DESEO, JR.	ROGELIO C.	CUSTOMER SUPPORT ASSOCIATE JL3	CUSTOMER SUPPORT ASSOCIATE JL4	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	LOCSIN	FEDERICO MANUEL IV R.	DEALER INSTITUTIONAL TRADING (JAM)	DEALER INSTITUTIONAL (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	BORGA	JOHN ANTHONY M.	BUSINESS DEVELOPMENT OFFICER (JAM)	BUSINESS DEVELOPMENT OFFICER (AM)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	MERCADO	MA. KATRINA PATRICIA G.	EQUITY RESEARCH ANALYST (SAM)	EQUITY RESEARCH ANALYST (M)	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	CASTILLO	JOCELYN C.	SECTION HEAD - CASH SETTLEMENT & DOCUMENTATION	SECTION HEAD - CASH SETTLEMENT & DOCUMENTATION	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	GALVEZ	VANESSA ELAINE P.	PRODUCT DEVELOPMENT OFFICER	DEPARTMENT HEAD - PRODUCT DEVELOPMENT DEPARTMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	GUTIERREZ	KRISTI MARIE R.	EXECUTIVE SECRETARY	EXECUTIVE ASSISTANT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
16-Feb-18	TINIMBANG	JERVIS C.	SECTION HEAD - INSTITUTIONAL DEALING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)

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19-Feb-18	TARAPE	GIZELLE	-	ACCOUNTANT	VC SECURITIES CORPORATION	NEW EMPLOYMENT
19-Feb-18	SAY	VICTOR	-	TRADING ASSISTANT	VC SECURITIES CORPORATION	NEW EMPLOYMENT
19-Feb-18	LEE	KAREN F.	-	SALES TRADER/ DEALER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
21-Feb-18	MORANDARTE	MARIE JO A.	-	TRADING ASSISTANT	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
21-Feb-18	DE LEON	ADRIAN L.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
23-Feb-18	LUZONG	JENIFER P.	ACCOUNTING ASSISTANT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
26-Feb-18	ASTURIANO	JOYCE ANN L.	-	RECEPTIONIST/ ADMINISTRATIVE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
28-Feb-18	FAMILIAR	NESTOR H.	COMPANY DRIVER	-	R.S. LIM & COMPANY, INC.	RETIREMENT
28-Feb-18	MARCILLA	ELINO	ACCOUNTANT	-	CUALOPING SECURITIES CORPORATION	RESIGNATION
28-Feb-18	FAUNE, JR.	PEDRO	ACCOUNTING STAFF/ BOOKKEEPER	BOOKKEEPER/ ACCOUNTANT	CUALOPING SECURITIES CORPORATION	RE-DESIGNATION
28-Feb-18	RAYMOND	BRIAN ALDRICH A.	SALESMAN	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
28-Feb-18	ANG	MICK JAIRO M.	CERTIFIED SECURITIES REPRESENTATIVE	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
28-Feb-18	AGERO	ANGELYNE ANN S.	-	AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
28-Feb-18	ALAGON	ANGEL ANN BERNALENE H.	-	OFFICE TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
28-Feb-18	CHUA	ALEC JAYSON A.	TRAINEE	-	STAR ALLIANCE SECURITIES CORP.	RESIGNATION

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28-Feb-18	GERMAN	JEANNET C.	ACCOUNTING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
28-Feb-18	ANG	LAURETA A.	ASSOCIATED PERSON	-	TRITON SECURITIES CORPORATION	RESIGNATION
01-Mar-18	LATIGAY	VIOLETA C.	DATA PROTECTOR OFFICER/ SETTLEMENT OFFICER	SETTLEMENT OFFICER	SARANGANI SECURITIES, INC.	RE-DESIGNATION
01-Mar-18	SISON	MARY CAROLINE C.	VICE PRESIDENT/ AP	VP/ AP/ DPO	SARANGANI SECURITIES, INC.	RE-DESIGNATION
01-Mar-18	SENORO	KATHERINE C.	-	OPERATIONS STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
01-Mar-18	YU	CHRISTIAN ALEXANDER G.	-	TELEPHONE CLERK	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Mar-18	ORLINA	PLACIDA M.	-	CONSULTANT	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Mar-18	BIATEN	JOEMARIE G.	-	CONSULTANT	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Mar-18	STA. MARIA	REINIER C.	-	TRADER/ SALES REPRESENTATIVE	UCPB SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-18	TYCHICO	KRISTIN	-	SALES AND TRADING ASSOCIATE	CHINABANK SECURITIES CORPORATION (formerly ATC	NEW EMPLOYMENT
01-Mar-18	AGGABAO	FRICHIE JOY	-	BUSINESS OPERATIONS ASSOCIATE	CHINABANK SECURITIES CORPORATION (formerly ATC	NEW EMPLOYMENT
01-Mar-18	ONG	JONATHAN S.	-	TECHNICAL ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-18	PANOPIO	ANNE DENISE P.	-	RETAIL SALES SUPPORT OFFICE	BDO NOMURA SECURITIES, INC. (formerly PCIB Securities, Inc.)	NEW EMPLOYMENT
01-Mar-18	CRUZ	LUIS GEMINIANO E.	DIRECTOR AND TREASURER	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Mar-18	BONOAN	FRANCISCO JAVIER P.	-	DIRECTOR AND TREASURER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

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01-Mar-18	RAYMOND	BRIAN ALDRICH A.	-	SALESMAN	F. YAP SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-18	BALITBIT	ALONA B.	OPERATIONS ASSISTANT	ACCOUNTANT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Mar-18	DIARON	RONABIL M.	COMPLIANCE OFFICER	COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
02-Mar-18	LIM	RAYMOND C.	TRADING ASSISTANT	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
02-Mar-18	JIN	SOOHEUNG	MANAGING DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (clearance under process)
05-Mar-18	SALVATIERRA	KATRINA MARIE D.	JUNIOR DEALER	JUNIOR DEALER/ SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
05-Mar-18	TAROG	MARCO S.	-	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
05-Mar-18	SEVERINO	TRICIA F.	-	EQUITY RESEARCH ANALYST	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
05-Mar-18	PILON	ANGELICA T.	-	OPERATIONS ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
06-Mar-18	DIABO	BAIVERTH M.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION
06-Mar-18	DESTAJO	NANCY A.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
08-Mar-18	HUBAY	LADELYN N.	PROJECT HIRE	-	BPI SECURITIES CORPORATION	RESIGNATION
08-Mar-18	SAN JOAQUIN	MARK ANTHONY DR.	ASSISTANT GENERAL MANAGER/ ASSOCIATED PERSON	-	INTRA-INVEST SECURITIES INC.	RESIGNATION
08-Mar-18	ANCHETA	MA. VICTORIA G.	ASSOCIATED PERSON	-	INTRA-INVEST SECURITIES INC.	RESIGNATION
09-Mar-18	LOPEZ	BRUCE RICARDO	INSTITUTIONAL SALES OFFICER	INSTITUTIONAL SALES OFFICER/ DEALER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION

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12-Mar-18	MAULEON	MARCO R.	-	RESEARCH ANALYST	SB EQUITIES, INC.	NEW EMPLOYMENT
12-Mar-18	VELASCO	MA. JIDGETTE V.	-	RESEARCH HEAD	SB EQUITIES, INC.	NEW EMPLOYMENT
12-Mar-18	BATACAN	MIGUEL ANGELO G.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
12-Mar-18	FIDER	CIDNEY B.	-	ACCOUNTING ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
12-Mar-18	MARZAN, JR.	ANTHONY C.	-	CUSTOMER SUPPORT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
14-Mar-18	REYES	JENNET D.	TRADING SUPERVISOR	-	EASTERN SECURITIES DEVELOPMENT CORP.	RESIGNATION (clearance under process)
15-Mar-18	MONTEMAYOR	RIZALDY M.	SECURITIES CUSTODIAN/ SETTLEMENT CLERK	-	RTG & CO., INC.	RETIREMENT
15-Mar-18	HENSON	VICTOR ANDREW V.	TRADER/ SALESMAN	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
15-Mar-18	CHOI	MARTIN MAN HING H.	-	TRADER	VC SECURITIES CORPORATION	NEW EMPLOYMENT
15-Mar-18	DESEO, JR.	ROGELIO	CUSTOMER SUPPORT ASSOCIATE	DEALER - ONLINE SALES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
15-Mar-18	BAGACONZA	OLYMPIO D.	-	ASSOCIATED PERSON (appointed)	INTRA-INVEST SECURITIES INC.	NEW EMPLOYMENT
16-Mar-18	GALANG	FEDERICO C.	NOMINEE	NOMINEE & PRESIDENT	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
16-Mar-18	BIATEN	JOEMARIE G.	CONSULTANT	TREASURER & CONSULTANT	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
16-Mar-18	ORLINA	PLACIDA M.	CONSULTANT	CORPORATE SECRETARY & CONSULTANT	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION
16-Mar-18	LAGO	JOSEPH JAMES F.	AVP - HEAD OF RESEARCH, OFFICER-IN-CHARGE & DIRECTOR	ASSISTANT VICE PRESIDENT & DIRECTOR	PCCI SECURITIES BROKERS CORPORATION	RE-DESIGNATION

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21-Mar-18	CECILIO	CYRUS ELJON D.	CERTIFIED SECURITIES REPRESENTATIVE (CSR)	-	AP SECURITIES, INC. (formerly Angping & Associates Securities, Inc.)	TERMINATION OF CONTRACT (No clearance to be issued)
22-Mar-18	PINEDA	MICAELA PAOLA L.	ASSISTANT MANAGER, INSTITUTIONAL SALES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
26-Mar-18	LEYVA	KIM CRISHA D.	-	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
31-Mar-18	GABINERA	JONATHAN S.	SALESMAN/ TRADER	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (clearance under process)
01-Apr-18	SARMIENTO	JEFFREY G.	-	SALESMAN/ TRADER	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT