



MEMORANDUM – 2018-014

TO : ALL TRADING PARTICIPANTS

SUBJECT : 2018 TRADING PARTICIPANTS' SEMINAR PROGRAM

DATE : 10 APRIL 2018

Further to CMIC Memorandum 2018-011 dated 02 April 2018, which provided initial details on the Trading Participants' Seminar to be held on 12 April 2018, attached, for your perusal, is the seminar program.

Very truly yours,

(original signed)

DAISY P. ARCE
President

SD	IED	ACD	FHRAD



CAPITAL MARKETS INTEGRITY CORPORATION

2018 Trading Participants' Seminar

12 April 2018

PROGRAM

- 8:30 AM - 9:30 AM Registration / Breakfast
- 9:30 AM - 9:33 AM Invocation
- 9:33 AM - 9:36 AM National Anthem
- 9:36 AM - 9:40 AM Opening Remarks
Daisy P. Arce - President, CMIC
- 9:40 AM - 9:45 AM Coverage of the Seminar
Atty. Jose Martin O. General - Head, Investigation & Enforcement Department, CMIC
- 9:45 AM - 10:15 AM Discussion on Common CMIC Findings (2016-2017)
Atty. Jose Martin O. General - Head, Investigation & Enforcement Department, CMIC
- 10:15 AM - 11:00 PM Trading Without Settlement - CMIC Guidelines
Mr. Kenneth A. De Castro - Sr. Auditor & OIC, Audit & Compliance Department, CMIC
- 11:00 AM - 12:30 PM Updates on Foreign Account Tax Compliance Act ("FATCA"): Individuals & Institutions
Mr. Ken Meissner - Sr. Director, SGV & Co.
- 12:30 PM - 1:30 PM Lunch
- 1:30 PM - 3:00 PM Discussion on Republic Act 10963 or the "Tax Reform for Acceleration and Inclusion" (TRAIN Law)
Deputy Commissioner Marissa O. Cabrerros, Bureau of Internal Revenue
- 3:00 PM - 4:15 PM Republic Act 10173 or the Data Privacy Act of 2012
Atty. John Paul M. Gaba - Partner, Angara Abello Concepcion Regala & Cruz Law Offices
- 4:15 PM - 4:20 PM Closing Remarks
Ms. Grace M. Calubaquib - AVP & Head Surveillance Department, CMIC
- 4:20 PM Distribution of Certificates