



MEMORANDUM – 2018-015

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **4 May 2018**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 April 2018.

For information and guidance.

(Original Signed)
DAISY P. ARCE
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
23-Feb-18	BAUTISTA	CAMILLE MARIA REMEDIOS Y.	RETAIL SALES ONLINE OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
26-Feb-18	BARACHINA	MARIAN S.	-	SENIOR MANAGER - MARKETING & BUSINESS	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
01-Mar-18	DIAZ	JOHN PATRICK R.	-	DRIVER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
01-Mar-18	PRAXIDIO	ROEL B.	-	BACKROOM STAFF	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
01-Mar-18	DULACA	ADRELIN T.	RESERVE ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA COMPLIANCE OFFICER	TRITON SECURITIES CORPORATION	RE-DESIGNATION
16-Mar-18	VALENTOS, JR.	SALVADOR S.	-	ACCOUNTANT	LUYS SECURITIES CO., INC.	NEW EMPLOYMENT
16-Mar-18	SANCHEZ	PATRICIA ANNE C.	-	GENERAL CLERK	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
19-Mar-18	HENSON	VICTOR ANDREW V.	-	SENIOR MANAGER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
19-Mar-18	FRADES	JEFFERSON MARTIN A.	-	SR. IT ANALYST	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
19-Mar-18	REMEDIOS	JOAN L.	-	OFFICE STAFF	NEW WORLD SECURITIES CO., INC.	NEW EMPLOYMENT
19-Mar-18	PORMALEJO	JUDITH M.	COMPLIANCE OFFICER/ DPO	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION (clearance under process)
23-Mar-18	LIU	BRIAN GREGORY	TREASURER	-	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	RESIGNATION
23-Mar-18	SYLIANTENG	CAESAR	-	TREASURER	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
23-Mar-18	CRISTOBAL	ANDREW L.	HEAD, FINANCIAL ACCOUNTING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
28-Mar-18	LIM	MARVIN M.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Mar-18	TALATO	PATRICK M.	TRADING ASSISTANT	-	ASIASEC EQUITIES, INC.	RESIGNATION (did not apply for clearance)
31-Mar-18	BALATAZO	AMELIA S.	CUSTOMER SERVICE STAFF	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
31-Mar-18	LOZADA	CONNIE M.	ASSOCIATED PERSON	-	AP SECURITIES INC.	RESIGNATION (clearance under process)
31-Mar-18	LIM	AMANDA MARIE C.	MARKETING INTERN	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
31-Mar-18	CO	CHRISTINE C.	ASSISTANT CORPORATE SECRETARY/ INDEPENDENT	-	KING'S POWER SECURITIES, INC.	RESIGNATION
31-Mar-18	CHUANG	KEMMY T.	-	INDEPENDENT DIRECTOR	KING'S POWER SECURITIES, INC.	NEW EMPLOYMENT
31-Mar-18	RIMANDO	CATALINA M.	VP - SALES/ AUTHORIZED TRADER	-	R. COYIUTO SECURITIES, INC.	RETIREMENT
31-Mar-18	BERDIN	GENNE BEE L.	OFFICE CLERK	-	R. S. LIM & COMPANY, INC.	RESIGNATION
31-Mar-18	LUCERO	JEFFREY	RESEARCH ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION
31-Mar-18	GABINERA	JONATHAN S.	HEAD, SALES	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (cleared)
31-Mar-18	BASCO	FLORENTINO L.	ASSOCIATED PERSON	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
01-Apr-18	ENCINAS	KIMBERLY ANNE O.	COMPLIANCE ASSISTANT	KYC ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Apr-18	ARCELLANA	ARLEN E.	OPERATIONS ASSOCIATE	OPERATIONS ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	ARUTA	MA. CRISTINA B.	ACCOUNTING ASSOCIATE	ACCOUNTING ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	BAJADA	MABELLE F.	ACCOUNTING ASSISTANT SUPERVISOR	CASHIER	COL FINANCIAL PHILIPPINES	RE-DESIGNATION

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01-Apr-18	BASBAS	JACQUELINE ANNE T.	SALES SUPERVISOR	SALES OFFICER	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	BATHAN	MARWIN B.	IT SPECIALIST	IT ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	BONDOC	CAMILLE S.	NEW ACCOUNTS ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	CHAN	JOYCE G.	CUSTOMER SUPPORT MANAGER	AVP-CUSTOMER SUPPORT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	COS	CARMELITA T.	ACCOUNTING SPECIALIST	ACCOUNTING ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	CUARTERON	ROBERT N.	APPLICATIONS DEVELOPMENT SUPERVISOR	IT OFFICER	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	DE GUZMAN	CRISTINE T.	OPERATIONS ASSISTANT	OPERATIONS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	DE NIEVA	SYDNEY A.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	DELA CRUZ	ANDY WILLING JR.	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	DELOS REYES	EIRA MARIE M.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	ENERIO	ARIANNE C.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	ENRIQUEZ	IRISH RAYCHELLE ANN T.	NEW ACCOUNTS ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	ESTOLEYDES	VIOLETA M.	LEGAL & COMPLIANCE ASSOCIATE	LEGAL & COMPLIANCE ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	FILART	JOSEPHINE G.	ADMIN SPECIALIST	ADMIN ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	FRANCIA	GERRY U.	IT SPECIALIST	IT ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION

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01-Apr-18	GALIMBA	JADE C.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	GO	MARY JOSEPHINE T.	NEW ACCOUNTS ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	INOSANTE	ALYZA P.	SALES ASSISTANT	SALES SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	LEE	KRISTINE S.	CUSTOMER SERVICE ASSOCIATE	CUSTOMER SERVICE ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	LUCERO	REY ANDREW I.	TRADING AND SUPPORT OPERATIONS ASSOCIATE	TRADING AND SUPPORT OPERATIONS SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	LUCIANO	JOHN MARTIN L.	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	MACABIA	MARIA AMABELLE A.	HR ASSISTANT	HR SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	MACALINAO	ALPHA IAN GENESIS L.	SALES ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	MENDIOLA	GABRIEL JOSE E.	IT SR. MANAGER	AVP - SOFTWARE DEVELOPMENT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	MOLINA	JONALYN L.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	NEVADO	CARLA FRANCESCA I.	ACCOUNTING ASSOCIATE	ACCOUNTING ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	NUEVAS	CRISTINE ANN M.	CUSTOMER SERVICE ASSOCIATE	CUSTOMER SERVICE ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	NUNGAY	CHARISE C.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	PINGUEL	VEIN ALDRIN D.	IT STAFF	IT ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	QUERIJERO	KATHLEEN ALEXIS L.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SERVICE SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION

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01-Apr-18	QUITON	JHOANNA MARIE J.	NEW ACCOUNTS ASSOCIATE	NEW ACCOUNTS ASST. SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	REGIO	CARMI F.	ADMIN STAFF	ADMIN ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	RICO	REGINA ROSARIO T.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SERVICE ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	ROSARIA	SALDIE MARCK D.	IT STAFF	IT ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	SANCHEZ	JADE ALEXIS C.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	SO	MARIAN CATHLEEN C.	CLIENT EXPERIENCE ASSOCIATE	CLIENT EXPERIENCE OFFICER	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	STO. DOMINGO	JANINA MARIE L.	NEW ACCOUNTS ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	TOLEDO	JOSE EFRAIM A.	TELECOMMUNICATIONS ASST. SUPERVISOR	TELECOMMUNICATIONS SUPERVISOR	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	TOLENTINO	ELIZABETH L.	ADMIN SPECIALIST, PCG	ADMIN ASSOCIATE, PCG	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	TUSARA	MARY ANGELI O.	OPERATIONS STAFF	OPERATIONS ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	VELARDE	KIMBERLY JOY P.	ACCOUNTING STAFF	ACCOUNTING ASSISTANT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	VILLARAZA	CAMILLE ANN R.	NEW ACCOUNTS ASSISTANT	NEW ACCOUNTS SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	YAP	GERALDINE MAY A.	ACCOUNTING ASSISTANT	ACCOUNTING SPECIALIST	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	YAP	NOEL E.	IT SPECIALIST	IT ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Apr-18	TABILANGON	EDGAR A.	MESSENGER	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (clearance under process)

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01-Apr-18	ASUNCION	ANNA BARBARA E.	-	ELECTRONIC TRADER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
01-Apr-18	LANZA	RAMEL C.	MESSENGER	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
01-Apr-18	CATRIZ	MARY JANE V.	ASSISTANT MANAGER	MANAGER	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
02-Apr-18	ARGUELLES	CARLO LEONARDO D.	MANAGER	SENIOR MANAGER	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
02-Apr-18	ABANGAN	MARIANITO C.	ASSISTANT MANAGER	MANAGER	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
02-Apr-18	MIRANDA	MA. LUISA E.	-	ASSOCIATED PERSON	AP SECURITIES INC.	NEW EMPLOYMENT
02-Apr-18	GO	DIANE NICOLE O.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
02-Apr-18	AYCO	IRISH MAE S.	-	ADMIN STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
02-Apr-18	VILLANUEVA	TOMAS D.	VICE PRESIDENT, INSTITUTIONAL SALES	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
02-Apr-18	MANAHAN	BRYAN MARK B.	-	MESSENGER	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
03-Apr-18	COLOMA	ALYSSA ERICA	-	RETAIL SALES SUPPORT	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
03-Apr-18	LOZADA	CONNIE M.	-	COMPLIANCE OFFICER	BDO NOMURA SECURITIES, INC.	NEW EMPLOYMENT
03-Apr-18	BASILLA	MARIA YSABEL B.	-	DEALING ASSISTANT	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
04-Apr-18	BARACHINA	MARIAN S.	SENIOR MANAGER - MARKETING & BUSINESS	SENIOR MANAGER - MARKETING & BUSINESS	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
05-Apr-18	MERCADO	JASMINE CARMELA G.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)

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07-Apr-18	BONDOC	APRIL JOYCE M.	MKTG COMMUNICATIONS SPECIALIST & GRAPHIC	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
10-Apr-18	MALICSI	CHUKKA T.	-	MARKETING ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
11-Apr-18	ALVARRO	ROSALIND C.	ACCOUNTING ASSISTANT	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (cleared)
13-Apr-18	LASACA	RAFAELA V.	SALESMAN	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
14-Apr-18	BALDANZO	ANNE JOSUA M.	NEW ACCOUNTS ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
14-Apr-18	CONCEPCION	JOBELLE T.	OPERATIONS ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
14-Apr-18	PALER	LUNA MARIE T.	ADMIN ASSOCIATE	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
14-Apr-18	TAN	FLORENCE THERESE A.	SALES TRADER	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
15-Apr-18	ALLER	GRACE	RESEARCH ANALYST	ONLINE ADMINISTRATOR	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	CRUZ	RACHELLE	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	HONRADA	MARY GRACE	FILING CLERK/ MESSENGER	FILING CLERK/ DISPATCHER	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	JAVIER	KIM	ACCOUNTING STAFF	JUNIOR SETTLEMENTS STAFF	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	ORBILLO	RENARIO JR.	DISPATCHER	OPERATIONS STAFF	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	SAUSA	LARA	ACCOUNTING STAFF	FINANCE STAFF	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	THALLA	JOYCE ANN	TELEPHONE OPERATOR	ADMINISTRATIVE ASSISTANT	AP SECURITIES INC.	RE-DESIGNATION

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15-Apr-18	TOLENTINO	MARICEL	ACCOUNTING CLERK, FINANCE DEPT.	FINANCE STAFF	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	TORRE	ELEZER JR.	SETTLEMENTS ASSISTANT	SENIOR SETTLEMENTS STAFF	AP SECURITIES INC.	RE-DESIGNATION
15-Apr-18	ESPERE	MARIFE C.	-	ACCOUNTING STAFF	AP SECURITIES INC.	NEW EMPLOYMENT
15-Apr-18	VASQUEZ	LEANIE D.	-	SALES SUPPORT STAFF	AP SECURITIES INC.	NEW EMPLOYMENT
15-Apr-18	ZAMORANOS	JENNIFER S.	-	ACCOUNTANT	AP SECURITIES INC.	NEW EMPLOYMENT
15-Apr-18	VILLONES	JUDITH L.	FINANCIAL ANALYST - OUTSOURCED	-	J. P. MORGAN SECURITIES PHILIPPINES, INC.	RESIGNATION (cleared)
16-Apr-18	ANDOG	GERARD RENZ R.	-	MARKETING ASSISTANT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
16-Apr-18	LABIO	NATHANIEL J.	FINANCIAL ANALYST	-	CITISECURITIES, INC.	RESIGNATION (clearance under process)
16-Apr-18	SANGA	CELINA KYLE D.	-	RECEPTIONIST - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
16-Apr-18	GARCIA	LOURDES G.	-	ASSISTANT CORPORATE SECRETARY	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
16-Apr-18	AYSON	JOHN PAOLO D.	-	RESEARCH ANALYST, SENIOR ASSISTANT MANAGER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
16-Apr-18	BALAJADIA	RAQUEL G.	-	ACCOUNTANT	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
16-Apr-18	MOSENBRE	TERESITA B.	ACCOUNTING AND SETTLEMENT HEAD	SETTLEMENT HEAD	VENTURE SECURITIES, INC.	RE-DESIGNATION
16-Apr-18	JERUSALEM	WINEFREDA B.	ACCOUNTANT	ACCOUNTING HEAD	VENTURE SECURITIES, INC.	RE-DESIGNATION
16-Apr-18	BALABIS	LORETO B.	LICENSED SALESMAN	LICENSED SALESMAN - HEAD	VENTURE SECURITIES, INC.	RE-DESIGNATION

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18-Apr-18	TAM	ABIGAE I.	RECEPTIONIST - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
18-Apr-18	CORPIN	DAVID P.	DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION
18-Apr-18	BAUTISTA	ARTHUR A.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
20-Apr-18	LABARETTE	MARK HENRICK M.	ASST. IT MANAGER	IT MANAGER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
21-Apr-18	BAUTISTA	JOSE ALEXANDRO MARIA C.	INSTITUTIONAL DEALER	-	BPI SECURITIES CORPORATION	RESIGNATION