



# MEMORANDUM – 2018-017

**To** : All Trading Participants  
**Subject** : Employee Movement  
**Date** : 5 July 2018

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2018.

For information and guidance.

(Original Signed)

**GRACE M. CALUBAQUIB**

*Officer-In-Charge*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
28-Apr-18	TUBIGIN	LARA PATRIZIAH L.	ACCOUNTING CLERK	-	LUCKY SECURITIES, INC.	RESIGNATION
30-Apr-18	BARBA	ROWEL S.	INDEPENDENT DIRECTOR	-	JAKA SECURITIES CORPORATION	RESIGNATION (cleared)
30-Apr-18	VALDEZ	IRISH T.	ACCOUNTING ASSISTANT	-	JAKA SECURITIES CORPORATION	RESIGNATION (cleared)
30-Apr-18	TORREGROSA	OLIVER R.	OFFICE ASSISTANT	-	IGC SECURITIES INC.	RESIGNATION
01-May-18	ESCANO	JAIME R.	-	INDEPENDENT DIRECTOR	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
07-May-18	ZAMORA	CHINKEE ANN MARIE T.	-	ACCOUNTING CLERK	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
11-May-18	DIZON	CHERISH CRISTINE M.	ASSOCIATED PERSON / DPO	-	COHERCO SECURITIES, INC.	RESIGNATION (cleared)
11-May-18	TANYAG	DESIREE JANE M.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DPO	COHERCO SECURITIES, INC.	RE-DESIGNATION
15-May-18	DELA CRUZ	JUAN PAOLO R.	-	SALESMAN	EAGLE EQUITIES INC.	NEW EMPLOYMENT
15-May-18	MACUGAY	JACQUELINE O.	-	COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
15-May-18	DIARON	RONABIL M.	COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
15-May-18	GATMAYTAN	MICHAEL JOHN P.	CSR	CO-HEAD, PRIVATE CLIENTS GROUP	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
15-May-18	WONG	GEORGE PETER C.	CSR	CO-HEAD, PRIVATE CLIENTS GROUP	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
15-May-18	MISA	MARIA NAOMI A.	CSR	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
15-May-18	TAN	MYLES JACOB S.	CSR	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS	COL FINANCIAL PHILIPPINES	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
15-May-18	ENRIQUEZ	FERNANDO M.	SALES TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
15-May-18	BANAG	PRINCE CARL P.	-	ACCOUNTING SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-May-18	ALDAY	FRANCIS NICOLI L.	SALESMAN/ AUTHORIZED TRADER	-	R. COYIUTO SECURITIES, INC.	RESIGNATION (clearance under process)
18-May-18	CAÑETE	VICTORIA B.	ACCOUNTING STAFF	-	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORPORATION	RESIGNATION (no clearance required)
21-May-18	JACOSALEM	ABIGAIL	-	INTERN	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	NEW EMPLOYMENT
22-May-18	BUELA	CLEVELAND Z.	INDEPENDENT DIRECTOR	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (no clearance required)
22-May-18	CERTEZA	CAEZAR R.	INDEPENDENT DIRECTOR	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (no clearance required)
22-May-18	HALILI	EDUARDO B.	-	INDEPENDENT DIRECTOR	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
22-May-18	GAFFUD	REDECTOR M.	-	INDEPENDENT DIRECTOR	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
23-May-18	SO	SELENA MARIE S.	-	RESEARCH INTERN	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
24-May-18	LACANIENTA	JAY D.	DIRECTOR - SENIOR MANAGER	EXECUTIVE DIRECTOR/ ASSISTANT VICE-PRESIDENT, IT	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
24-May-18	ORO	VICENTE T.	DIRECTOR/ COMPLIANCE OFFICER/ ASSOCIATED PERSON	DIRECTOR/ VICE PRESIDENT/ COMPLIANCE OFFICER/ ASSOCIATED PERSON/ DATA PROTECTION OFFICER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION
24-May-18	ABAQUITA	MICAELA GABRIELLE L.	RESEARCH ANALYST	ASSOCIATE DIRECTOR/ RESEARCH ANALYST	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
24-May-18	FUKANO	SHOJIRO	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
24-May-18	TACHIKAWA	ATSUO	DIRECTOR (BOARD)	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
25-May-18	LORICA	ABIGAIL C.	ASSOCIATED PERSON AND COMPLIANCE DIRECTOR	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (clearance under process)
28-May-18	WY-CACAYAN	KRISTINA S.	-	ASSOCIATED PERSON AND COMPLIANCE DIRECTOR	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
28-May-18	GUDELOS	JERICO ROLAND V.	-	TRADE AND SETTLEMENT CLERK	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
28-May-18	GANHINHIN	IRA P.	HEAD, SALES TRADING, PRIVATE CLIENTS GROUP	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
28-May-18	GREPALDEO	RAE GABRIELLE S.	-	RESEARCH INTERN	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
30-May-18	PEREZ	ISAIAH JOHN T.	-	CERTIFIED SECURITIES REPRESENTATIVE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
31-May-18	DIA	MARY FRANCE S.	SALESMAN	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (cleared)
31-May-18	TAGOLGOL	CHARLENE L.	TRADING FLOOR ASSISTANT	-	ALPHA SECURITIES CORPORATION	RESIGNATION
31-May-18	LACBAWAN	JOY ANGELI A.	OPERATIONS ANALYST	-	DEUTSCHE REGIS PARTNERS INC.	RESIGNATION (cleared)
31-May-18	SALUD	ANTONIO RODRIGO P.	RESEARCH ANALYST	-	DEUTSCHE REGIS PARTNERS INC.	RESIGNATION (cleared)
31-May-18	NADCOP	ZIV P.	OPERATIONS ANALYST	-	DEUTSCHE REGIS PARTNERS INC.	RESIGNATION (cleared)
31-May-18	ESPIRITU	MERYLL KRIS A.	CUSTOMER SERVICE CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
01-Jun-18	ALFONSO	RALPH ZAIN C.	-	SALES AND TRADING ASSOCIATE	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jun-18	DEL PUERTO	JAIME JOSE M.	RESEARCH ANALYST	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
01-Jun-18	GUADES	LECILLE G.	EXECUTIVE ASSISTANT	BUSINESS DEVELOPMENT ADMIN ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Jun-18	OLARTE	MA. ABBEY GALE C.	EXECUTIVE ASSISTANT	SECRETARY TO THE PRESIDENT	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
01-Jun-18	CABRERA	JENNY R.	OIC - HEAD, ACCOUNTING, FINANCE AND MIS	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Jun-18	GADUGDOG	CATHERINE U.	-	ACCOUNT OPENING ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-18	DE GUZMAN	RUBELYN S.	-	STOCK CUSTODIAN	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jun-18	DE LEON	VINCENT K.	PRESIDENT/NOMINEE	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
01-Jun-18	ARENAS	EVELYN P.	HEAD, FINANCE AND ACCOUNTING	HEAD, FINANCE AND ACCOUNTING AND ACTING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	GAWECO	ANGELIE S.	BOOKKEEPER	-	MOUNT PEAK SECURITIES, INC.	RESIGNATION (cleared)
01-Jun-18	DOMINGUEZ	MAE A.	-	TRAINEE	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Jun-18	DOMINGUEZ	MAE A.	-	TRAINEE	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Jun-18	MINA	ULYSSES G.	-	OFFICER - IN- CHARGE	UCPB SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-18	ALEJO	EDNA T.	ADMIN HEAD/ EXECUTIVE SECRETARY	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	ALLAM	JOSEPHINE G.	SENIOR ACCOUNTING STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	BALUETA	ARNULFO L.	MESSENGER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)

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01-Jun-18	BAROLA	MICHELLE M.	IT STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	BASTIDA	LEILANI C.	DOCUMENTATION CLERK	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	BORGONOS	RESURRECION R.	SETTLEMENT STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	CAGATIN	RITA MAY A.	RECEPTIONIST/ GENERAL CLERK	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	CALANAS	MARCIAL B.	JANITOR/ MESSENGER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	CONSTANTINO	CRISTINA E.	ADMINISTRATIVE STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	CRUZ	HONEYROSE S.	ACCOUNTING STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	DE GUZMAN	CELSA T.	SETTLEMENT CLERK	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	EGUIA	JENNETHE A.	TRADING ASSISTANT	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	GARDON	MA. TERESA E.	HEAD - SETTLEMENT DEPT.	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	GUARDIANO	KC LYN	FINANCIAL ACCOUNTANT 3	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	LAGO	JOSEPH JAMES F.	DIRECTOR & ASSISTANT VICE PRESIDENT	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	MATEO	JOVEN R.	TRADER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	NEBRIDA	SHIRLEY E.	TRADER	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	OMBE	JOSEPH REYNELL G.	SYSTEMS ADMINISTRATOR	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)

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01-Jun-18	RAAGAS	LENDLY L.	ADMINISTRATIVE STAFF	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	SEVERINO	MARIBEL O.	AVP - HEAD OF SALES	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION (cleared)
01-Jun-18	ALEJO	EDNA T.	-	ADMIN HEAD/ EXECUTIVE SECRETARY (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	ALLAM	JOSEPHINE G.	-	SENIOR ACCOUNTING STAFF (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	BALUETA	ARNULFO L.	-	MESSENGER (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	BAROLA	MICHELLE M.	-	IT STAFF (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	BASTIDA	LEILANI C.	-	DOCUMENTATION CLERK (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	BORGONOS	RESURRECION R.	-	SETTLEMENT STAFF (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	CALANAS	MARCIAL B.	-	JANITOR/MESSENGER (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	CONSTANTINO	CRISTINA E.	-	ADMINISTRATIVE STAFF (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	CRUZ	HONEYROSE S.	-	ACCOUNTING STAFF (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	GUARDIANO	KC LYN	-	FINANCIAL ACCOUNTANT 3 (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	LAGO	JOSEPH JAMES F.	-	DIRECTOR & ASSISTANT VICE PRESIDENT (CONTRACTUAL)	PCCI SECURITIES BROKERS CORPORATION	NEW EMPLOYMENT
01-Jun-18	UNGCO	MICHELLE CHRISTINE M.	ASSISTANT VICE PRESIDENT	VICE PRESIDENT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	PILADA	KATRINA MICHELLE P.	-	MARKETING ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Jun-18	PINCA	STEVE EDWARD D.	-	MESSENGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-18	BALANTE	MARIELLE P.	SETTLEMENT ASSISTANT	SETTLEMENT OFFICER	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-18	BELLEZA	GERALDINE E.	SETTLEMENT STAFF	SETTLEMENT ASSISTANT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Jun-18	GAWECO	CHARLENE MARIE G.	-	OFFICE CLERK	MOUNT PEAK SECURITIES, INC.	NEW EMPLOYMENT
01-Jun-18	GIMENEZ	THEA ARCELY R.	DIRECTOR	DIRECTOR/ TREASURER	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	OLANDAY	ROBERTO B.	DIRECTOR/ TREASURER	DIRECTOR	I. B. GIMENEZ SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	ABERGONZADO	KOKKI P.	FINANCE STAFF - CONTRACTUAL	TREASURY ASSISTANT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	SURIGAO	ROCHE P.	JUNIOR ACCOUNTANT	FINANCE OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	TABAFUNDA	MARIA ISABEL D.	SETTLEMENTS ASSISTANT	SETTLEMENTS OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	RAMOS	PAUL JAYSON I.	VP, INSTITUTIONAL SALES	SVP, INSTITUTIONAL SALES	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	LINA	MARIA ANGELICA BEA T.	SENIOR DEALER, SALES TRADING AND DEALING	SALES TRADER, SALES TRADING AND DEALING	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	LACHICA	GERALEN C.	RETAIL SUPPORT ASSISTANT	RETAIL SUPPORT OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	CHAN	HANNAH CECILLE L.	MANAGER, FINANCIAL PLANNER FOR PRODUCTS AND	MANAGER, BUSINESS ANALYST FOR PRODUCTS AND SERVICES/	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	DIAZ	MARIA THERESA R.	TRADING ASSISTANT	TRADING OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	PEVIDAL	JAYSON R.	ASSISTANT MANAGER, IT	MANAGER, IT SPECIALIST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION



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01-Jun-18	BAYLON	ERICK JOHN M.	EXECUTIVE, IT	MANAGER, IT SPECIALIST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	MATUGUINA	VILMA B.	ASSISTANT MANAGER, MIDDLE OFFICE	MANAGER, MIDDLE OFFICE	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	LEE	RACHELE LINDSAY Y.	RETAIL SALES ONLINE OFFICER	RETAIL SALES ONLINE OFFICER/ SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	TAN	FLORENCE THERESE A.	SALES ASSOCIATE	SALES ASSOCIATE/ SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jun-18	NAVAL	CATHYLIN B.	SALES - DEALER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
01-Jun-18	JANI	EKHWAN B.	CHIEF FINANCIAL OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
03-Jun-18	CO CHIN HUA	ATILANO	VICE CHAIRMAN/ DIRECTOR	-	R. NUBLA SECURITIES, INC.	DECEASED
04-Jun-18	TE	MATTHEW ANDERSON C.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
04-Jun-18	REYES	STEPHANIE FAYE B.	-	LEGAL MANAGER	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
04-Jun-18	SANTOS	LILEYA D.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
05-Jun-18	RAMOS	JOZOLLY O.	ASSOCIATED PERSON	-	LUYS SECURITIES CO., INC.	RESIGNATION
06-Jun-18	ROLLOM	ERIKA JOY N.	ACCOUNTING SUPERVISOR	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
06-Jun-18	DIA	MARY FRANCE S.	TRADING FLOOR ASSISTANT	SALESMAN	ALPHA SECURITIES CORPORATION	RE-DESIGNATION
07-Jun-18	MENDOZA	GABRIEL FRANCISCO D.	RESEARCH ASSISTANT	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
11-Jun-18	CALQUIAN	CHELISA ANN V.	FO-EQUITIES TRADER	-	RCBC SECURITIES, INC.	RESIGNATION

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11-Jun-18	MENIADO	IVY P.	-	ADMIN STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
11-Jun-18	GO	MALCOLM MILTON T.	EQUITY SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION
11-Jun-18	CAPILI	FERLIE MARIZ E.	ACCOUNT OPENING SPECIALIST	EQUITY SALES TRADER	BPI SECURITIES CORPORATION	RE-DESIGNATION
13-Jun-18	VALINO	ANTONINO	TRADER	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (cleared)
15-Jun-18	CHAN	SHEILA C.	SALES AGENT	-	BPI SECURITIES CORPORATION	RESIGNATION
15-Jun-18	BANGAYAN	SHIRLEY Y.	PRESIDENT/CEO	PRESIDENT/ CHAIRMAN	A & A SECURITIES, INC.	RE-DESIGNATION
15-Jun-18	CHIU	LOUIS S.	DIRECTOR	VICE PRESIDENT	A & A SECURITIES, INC.	RE-DESIGNATION
15-Jun-18	RODRIGUEZ	LUCIO JR. R.	TREASURER	TREASURER/ DIRECTOR	A & A SECURITIES, INC.	RE-DESIGNATION
15-Jun-18	CHAN	CINDY THERESE B.	CHIEF FINANCIAL OFFICER	CHIEF EXECUTIVE OFFICER	A & A SECURITIES, INC.	RE-DESIGNATION
15-Jun-18	NARAG	LIZA P.	BOOKKEEPER	COMPTROLLER/ BOOKKEEPER	A & A SECURITIES, INC.	RE-DESIGNATION
15-Jun-18	RODRIGUEZ	LUCIO A.	VICE PRESIDENT	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
15-Jun-18	BANGAYAN	TEOFILO T.	CHAIRMAN	-	A & A SECURITIES, INC.	RESIGNATION (cleared)
16-Jun-18	LIM	RICHE LEVIN T.	OIC - HEAD, RESEARCH	-	BPI SECURITIES CORPORATION	RESIGNATION
18-Jun-18	VILLARUEL	EDWARD P.	-	IT STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
18-Jun-18	BONGAR	CHELLISA MARI D.	-	GRAPHIC DESIGN ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT

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18-Jun-18	BULAHAN	AXELLE MAY O.	-	ACCOUNT OPENING ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jun-18	YABUT	JESSY NAZER G.	-	IT ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jun-18	FAROLAN	RAMIR E.	-	OIC - HEAD, ACCOUNTING, FINANCE AND MIS	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jun-18	TIJULAN	MARIEL G.	-	OFFICE CLERK	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
27-Jun-18	REYES	FRANCISCO JAVIER G.	ASSOCIATE DIRECTOR, SALESMAN	-	UBS SECURITIES PHIL.	RETIREMENT
28-Jun-18	BLANGUE	LORRAINE JANE B.	ACCOUNTING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)