



# MEMORANDUM – 2018-020

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **3 August 2018**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2018.

For information and guidance.

(original signed)  
**DAISY P. ARCE**  
*President*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
07-May-18	JUMAWAN	GILBERT C.	-	JANITOR	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
21-May-18	ORDOÑEZ	MARTIN P.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
28-May-18	SHIU	RIKKI BIANCA KIMI O.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
28-May-18	TRILLANES	THEA ESTELLE O.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
31-May-18	BUNAGAN	JANELLE CHRISTIE C.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
08-Jun-18	LASACA	RAFAELA V.	MARKETING ASSISTANT	CERTIFIED SECURITIES REPRESENTATIVE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
08-Jun-18	MONTEREY	VICHELINE C.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
09-Jun-18	BUEN	JANELLA WESLYN C.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
13-Jun-18	FIGUEROA	MICHELLE DIVINA Y.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-Jun-18	DRAMAYO	CARL JOVEN	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	REGALADO	FLORANTE V.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	JOCSON	JOEL M.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	NODADO	JOSE BRIX P.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	RINO	PEDRO R.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	ARCA	ROGELIO O.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT

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15-Jun-18	VICTORIO	VALENTIN S.	-	MESSENGER	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jun-18	RODRIGUEZ	LUCIO A.	VICE PRESIDENT	DIRECTOR	A & A SECURITIES, INC.	RE-DESIGNATION
18-Jun-18	GO	MALCOLM MILTON T.	-	TRADING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
18-Jun-18	CALAQUIAN	CHELISA ANN V.	-	SALES - DEALER	SB EQUITIES, INC.	NEW EMPLOYMENT
19-Jun-18	MESA	PATRICK	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
19-Jun-18	NUGUE	JOHN ERIC	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
22-Jun-18	WEE	ERICSON C.	FVP - HEAD OF OPERATIONS/ APPLICANT NOMINEE	FVP - HEAD OF OPERATIONS/ NOMINEE	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
28-Jun-18	MANARPIIS	MARIE CATHERINE T.	FINANCE MANAGER AND COMPLIANCE OFFICER FOR	FINANCE MANAGER	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RE-DESIGNATION
28-Jun-18	BLANGUE	LORRAINE JANE B.	ACCOUNTING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
29-Jun-18	CABANGON	WILLIAM MATTHEW M.	-	EXECUTIVE VICE PRESIDENT/ GENERAL MANAGER (EVP/GM)	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
30-Jun-18	PARAS	YEN-YEN L.	STOCK SETTLEMENT ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
30-Jun-18	MARZAN	LEONARDO C.	SALESMAN	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-18	TORRES	JENIELYN P.	ACCOUNTANT	-	A.T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
30-Jun-18	TINGA, JR.	DANTE R.	SVP/ RESEARCH ANALYST OFFICER	SVP/ HEAD OF RESEARCH	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
30-Jun-18	LEE	CHEENE FAYE G.	AM/ OPERATIONS	-	BDO NOMURA SECURITIES INC.	RESIGNATION (clearance under process)

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30-Jun-18	DICHOSO	JOY L.	OFFICE/TRADING FLR. ASSISTANT	-	R & L INVESTMENTS, INC.	RESIGNATION (clearance under process)
01-Jul-18	MANUEL	JEAN CARRIE P.	FINANCIAL ANALYST - OUTSOURCE	-	J. P. MORGAN SECURITIES PHILS, INC.	RESIGNATION
01-Jul-18	LORICA, JR.	SIMEON A.	MANAGER - HEAD TRADER	AVP - HEAD TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-18	SALINOG	JACKIE LOU D.	FO - ACCOUNTING HEAD	JAM - IC, ACCOUNTING UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-18	BURAGAY	ALALYN J.	-	HEAD, BROKERAGE OPERATIONS, COG-TOD, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
01-Jul-18	CABRAL	MARTHA MAY C.	-	OPERATIONS STAFF, COG-TOD, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
01-Jul-18	PEREZ	ISAIAH JOHN T.	LICENSED SALESMAN	MARKET STRATEGIST, LICENSED SALESMAN	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
01-Jul-18	BANCHIRAN	ELIJAH MAE S.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jul-18	AZURIN	ATTY. PAULA BEATRIZ L.	CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
01-Jul-18	VELASCO	ATTY. ANDREW O.	ALTERNATE CORPORATE SECRETARY	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
01-Jul-18	CAJAYON	ATTY. EUNICE J.	-	CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
01-Jul-18	DIAZ DE RIVERA	ATTY. JON VINCENT B.	-	ALTERNATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
01-Jul-18	SANTILLAN	PIA	ACCOUNTING ANALYST	ACCOUNTING HEAD	SB EQUITIES, INC.	RE-DESIGNATION
01-Jul-18	LOPEZ	ROWENA P.	COLLECTION OFFICER	SETTLEMENT ASSISTANT	SB EQUITIES, INC.	RE-DESIGNATION
01-Jul-18	COLLADO	MARK JAYSON	SETTLEMENT ASSISTANT	COLLECTION & DISPATCH COORDINATOR	SB EQUITIES, INC.	RE-DESIGNATION

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02-Jul-18	BALBUENA	JENNIFER R.	-	SETTLEMENTS ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
02-Jul-18	HIPOLITO	GLEN JAMES J.	-	EQUITY TRADE ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
09-Jul-18	VALINO	ANTONINO F.	-	SALESMAN	VC SECURITIES CORPORATION	NEW EMPLOYMENT
09-Jul-18	SAGAYSAY	DHARWIN G.	-	OPERATIONS SUPPORT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Jul-18	VILLANUEVA	AEVEREE Z.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
11-Jul-18	LORICA	ABIGAIL C.	-	ASSOCIATED PERSON	AP SECURITIES, INC.	NEW EMPLOYMENT
11-Jul-18	MARZAN	LEONARDO C.	CUSTOMER SERVICE OFFICER	SALESMAN/ AUTHORIZED TRADER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
12-Jul-18	FUNGO	ROMEL B.	-	RECON SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
13-Jul-18	PILLADA	KATRINA MICHELLE T.	MARKETING ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
13-Jul-18	GO	MALCOLM MILTON T.	TRADING OFFICER	TRADER	BDO SECURITIES CORPORATION	RE-DESIGNATION
16-Jul-18	LIT	ALYSSA MAE U.	-	JUNIOR RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-18	DAYANAN	MARY FAITTE O.	-	ACCOUNTING STAFF	VICSAL SECURITIES & STOCK BROKERAGE, INC.	NEW EMPLOYMENT
16-Jul-18	YAP	SEDRIC	-	STUDENT INTERN	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jul-18	MANALAYSAY	RAPHAEL T	EXECUTIVE VICE PRESIDENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
18-Jul-18	DE LA SERNA	VICTOR P.	DIRECTOR	-	UCPB SECURITIES INC.	RESIGNATION (did not apply for clearance)

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20-Jul-18	ONG, JR.	LUCIANO M.	-	SALESMAN	S.J. ROXAS & CO., INC.	NEW EMPLOYMENT
23-Jul-18	FATEG	JOCELYN T.	ACCOUNTANT	-	VICSAL SECURITIES & STOCK BROKERAGE, INC.	RESIGNATION (cleared)
25-Jul-18	DE MESA	KING PATRICK A.	-	EQUITY RESEARCH ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jul-18	RELUNIA	ERNESTO III D.	-	IT MANAGER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT