



MEMORANDUM – 2018-021

TO : THE INVESTING PUBLIC AND THE TRADING PARTICIPANTS
SUBJECT : SUBMISSION OF ALL TRANSACTIONS OF PSE SHARES
DATE : 23 AUGUST 2018

This refers to the submission by the broker dealer of all transactions of Philippine Stock Exchange (“PSE”) shares, as required by Rule 5 of the Rules Governing the Trading of PSE Shares, *viz.*:

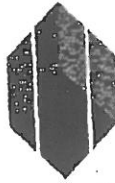
“A broker dealer shall submit not later than 12:00 noon of every Monday an original signed copy of the daily reports he has submitted during the immediately preceding week.”

For ease in monitoring, the Securities and Exchange Commission (“Commission”), in its letter dated 23 August 2018, has directed all PSE trading participants to submit a copy of the weekly consolidated report, parallel to the original signed copy, in Excel file format (Annex A) to mrd@sec.gov.ph.

For reference, attached is the 23 August 2018 letter from the Commission, together with the relevant annex.

DAISY P. ARCE
President

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**Securities and
Exchange
Commission**
PHILIPPINES

MARKETS AND SECURITIES REGULATION DEPARTMENT

23 August 2018

CAPITAL MARKETS INTEGRITY CORPORATION
10th Floor, PSE Tower, 5th Ave. corner 28th St.,
Bonifacio Global City, Taguig City.

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856-3058

Subject: **Submission of all transactions of PSE shares pursuant to Rules
Governing Trading of PSE Shares**

Gentlemen:

This refers to the submission by the broker dealer of all transactions of PSE shares, as provided under Rule 5 of Rules Governing the Trading of PSE Shares quoted below:

"5. A broker dealer shall submit not later than 12:00 noon of every Monday an original signed copy of the daily reports¹ he has submitted during the immediately preceding week"

For the purpose of ease in monitoring, please direct all trading participants of PSE to submit copy of the foregoing weekly consolidated report, parallel to the above original signed copy, in excel file format (Annex A) at mrd@sec.gov.ph.

For your compliance.

Very truly yours,


VICENTE GRACIANO P. FELIZMENIO, JR.
Director

¹4. The broker dealer shall submit to the Commission on a daily basis, either by e-mail or by facsimile, a report, signed by the Associated Person, of all transactions of PSE shares that the broker dealer has affected for the day not later than 9:00AM of the next trading day.

Annex A

REPORT ON NAMES OF PERSONS TRANSACTING PSE SHARES

Name of Broker Dealer:				Date of Transaction: (mm/dd/yyyy)	
Name of Person Owning the Account	No. of PSE Shares Bought	No. of PSE Shares Sold	Net Position for the day	Indicate Relation of Person to the Broker Dealer	Indicate If person owns PSE shares that are located outside of the Broker Dealer (Y/N)