



MEMORANDUM – 2018-022

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **7 September 2018**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 August 2018.

For information and guidance.

(Original Signed)
DAISY P. ARCE
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
25-May-18	LORICA	ABIGAIL C.	ASSOCIATED PERSON AND COMPLIANCE OFFICER	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	UPDATE: RESIGNATION (cleared)
25-Jun-18	GATIA	MAYRILL A.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Jun-18	MONTEMAYOR	RONA MAE O.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Jun-18	CALLOS	DIANNA MAE V.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
27-Jun-18	GARCELLANO	HECTOR PONCE D.	SALESMAN	-	WESTLINK GLOBAL EQUITIES, INC.	DECEASED
01-Jul-18	MANUEL	JEAN CARRIE P.	-	FINANCIAL ANALYST - OUTSOURCE	J.P. MORGAN SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
03-Jul-18	SANTOS	LILEYA D.	INTERN	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
04-Jul-18	BAUTISTA	JERICO R.	-	OJT	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
05-Jul-18	TRILLANES	THEA ESTELLE O.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
13-Jul-18	MOSSESGELD	FULGENCIO A.	-	SALES/ AGENT	SB EQUITIES, INC.	NEW EMPLOYMENT
15-Jul-18	LOPEZ	BRUCE RICARDO O.	INSTITUTIONAL SALES OFFICER./ DEALER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
16-Jul-18	SORIANO	JOHN FREDERICK T.	-	SECRETARY TO THE PRESIDENT	STAR ALLIANCE SECURITIES CORP	NEW EMPLOYMENT
17-Jul-18	COBANKIAT	JOHNNY O.	-	VICE CHAIRMAN/ DIRECTOR	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
20-Jul-18	CHAN	LAI H.	DIRECTOR	-	UBS SECURITIES PHILIPPINES, INC.	RESIGNATION
20-Jul-18	ASH	MARTA	-	DIRECTOR	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT

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25-Jul-18	LAGUA	MONICA CHARMANE D.	-	BUSINESS DEVELOPMENT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
30-Jul-18	KOBAYASHI	JANN MICHIKO O.	-	CUSTOMER SUPPORT FOR FOREIGN CLIENTS	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
30-Jul-18	MATEO	JOVEN RUIZ	-	TRADER/ SALESMAN	HDI SECURITIES, INC.	NEW EMPLOYMENT
30-Jul-18	DETABLAN	RAZEL MAE S.	-	RECEPTIONIST - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
30-Jul-18	NAKPIL	CARMEN G.	DIRECTOR AND STOCKHOLDER	-	IGC SECURITIES INC.	DECEASED
31-Jul-18	LATUJA	JONATHAN J.	EQUITY RESEARCH ANALYST	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
31-Jul-18	ARMADA	EVELYN I.	TRADER	-	VC SECURITIES CORPORATION	RESIGNATION (clearance under process)
31-Jul-18	DE GUZMAN	SARITA O.	CORPORATE ACCESS/ ADMIN STAFF	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (cleared)
31-Jul-18	SO	SELENA MARIE S.	RESEARCH INTERN	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
31-Jul-18	GREPALDEO	RAE GABRIELLE S.	RESEARCH INTERN	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
31-Jul-18	NAVARRO	MA. LORETO U.	DIRECTOR AND CORPORATE SECRETARY	-	INTRA-INVEST SECURITIES INC.	RESIGNATION
31-Jul-18	GOZUM	JANE MICHILLE D.	OPERATIONS CLERK	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
31-Jul-18	DOMINGO	LIZEL M.	OPERATIONS CLERK	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
31-Jul-18	GAW	LYNDSY LORRAINE L.	AM/ OPERATIONS	M1/ OPERATIONS	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
31-Jul-18	JANDA	JENNIFER C.	JAM/ OPERATIONS	JAM2/ OPERATIONS	BDO NOMURA SECURITIES INC.	RE-DESIGNATION

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31-Jul-18	RONQUILLO	PAOLA JOYCE T.	JAM/ OPERATIONS	JAM2/ OPERATIONS	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
01-Aug-18	MACABIA	MARIA AMABELLE A.	HR SPECIALIST	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
01-Aug-18	SABATER	ANN THERESE C.	-	DEALING ASSISTANT	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
01-Aug-18	CO	ANGELINE MARZANNE C.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Aug-18	SANCHEZ	PETER JUSTIN D.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Aug-18	DOMINGUEZ	MAE A.	TRAINEE	SETTLEMENT CLERK	R.S. LIM & COMPANY, INC.	RE-DESIGNATION
01-Aug-18	BIATEN	JOEMARIE G.	ASSOCIATED PERSON	-	PCCI SECURITIES BROKERS CORPORATION	RESIGNATION
01-Aug-18	APOSTOL	ANGELICA ELAINE S.	-	ASSISTANT MANAGER	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
01-Aug-18	ONG	SPENCER G.	-	TRAINEE	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Aug-18	RAZON	ABIGAIL ANNE V.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Aug-18	YAP	GERALDINE N.	-	ACCOUNTING STAFF	ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES	NEW EMPLOYMENT
01-Aug-18	DOMINGO	LAWRENCE MATTHEW A.	AM RETAIL BUSINESS DEVELOPMENT OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION
01-Aug-18	CASTILLO	JANICE AUGGIE B.	AM RETAIL BUSINESS DEVELOPMENT OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (no clearance required)
02-Aug-18	NEBRIDA	SHIRLEY E.	-	SALES AND MARKETING HEAD	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
06-Aug-18	ESTONILO	MAUREEN ALICIA L.	-	HR STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT

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06-Aug-18	SANGA	CELINA KYLE D.	RECEPTIONIST - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
08-Aug-18	SALCEDO	ELVIRA L.	-	ASSOCIATED PERSON	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
08-Aug-18	DELA PEÑA	JENESIS A.	-	SALESMAN	TANSENGCO AND COMPANY, INC.	NEW EMPLOYMENT
09-Aug-18	YU	KENNETH VINCENT U.	-	SALES AND TRADING ASSOCIATE	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
09-Aug-18	YU	KENNETH VINCENT U.	CLIENT SERVICES OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
09-Aug-18	DE CASTRO	RAYMUNDO T.	SALESMAN	-	A. T. DE CASTRO SECURITIES CORP	DECEASED
09-Aug-18	LOZADA	CONNIE M.	COMPLIANCE OFFICER/ ASSOCIATED PERSON	AVP/ COMPLIANCE OFFICER/ ASSOCIATED PERSON/ DPO	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
10-Aug-18	TOLIONGCO	ENRICO JEROME	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
13-Aug-18	BUENAVENTURA	RENE J.	DIRECTOR	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION (cleared)
13-Aug-18	YAOKASIN, JR.	JIMMY T.	CHAIRMAN OF BOARD	CHAIRMAN OF THE BOARD/ ACTING PRESIDENT/ ACTING	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
13-Aug-18	OJA	CHARLENE FAE V.	CUSTOMER SERVICE CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
15-Aug-18	GONZALES	CONNERY S.	SENIOR MANAGER, IT HEAD	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Aug-18	LOCSIN	FEDERICO MANUEL IV	DEALER, INSTITUTIONAL TRADING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
15-Aug-18	JOVES	ALJEAN	ACCOUNTING ASSISTANT	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (cleared)
16-Aug-18	LOCSIN	FEDERICO MANUEL IV	-	DEALER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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16-Aug-18	ORDOÑEZ	MARTIN P.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
16-Aug-18	JAVIER	EDILBERTO V.	-	CONSULTANT - TRADING/ SALES	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
16-Aug-18	NAVAL	CATHYLIN B.	-	SALESMAN	FIRST ORIENT SECURITIES, INC.	NEW EMPLOYMENT
16-Aug-18	SEJANE	BEVERLY H.	-	ACCOUNTING ASSISTANT	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
17-Aug-18	IGNACIO	RONALDO VICTORINO A.	TRADER/SALESMAN	-	AP SECURITIES, INC.	RESIGNATION
20-Aug-18	SHIU	RIKKI BIANCA KIM O.	OJT	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION
28-Aug-18	CRUZ	CARMEN CLARA FILOMENA O.	-	DIRECTOR	IGC SECURITIES INC.	NEW EMPLOYMENT