



## MEMORANDUM – 2018-032

**To** : All Trading Participants  
**Subject** : Employee Movement  
**Date** : 7 November 2018

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2018.

For information and guidance.

(Original Signed)  
**DAISY P. ARCE**  
*President*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Feb-18	TINIMBANG	JERVIS C.	SECTION HEAD - INSTITUTIONAL DEALING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	UPDATE: RESIGNATION (cleared)
16-Jul-18	LOPEZ	BRUCE RICARDO O.	INSTITUTIONAL SALES OFFICER - DEALER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	UPDATE: RESIGNATION (cleared)
24-Jul-18	FATEG	JOCELYN T.	-	COMPLIANCE ASSISTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
17-Aug-18	FATEG	JOCELYN T.	COMPLIANCE ASSISTANT	COMPLIANCE OFFICER/ ASSOCIATED PERSON	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
20-Aug-18	MONTELLANO	CHRISTIAN JOSEPH D.	COMPLIANCE OFFICER/ ASSOCIATED PERSON	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Aug-18	LOCSIN	FEDERICO MANUEL R. IV	DEALER - INSTITUTIONAL TRADING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	UPDATE: RESIGNATION (cleared)
07-Sep-18	LOCSIN	FEDERICO MANUEL R. IV	DEALER	DEALER/ SALESMAN	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
07-Sep-18	LEE	RACHELE LINDSAY Y.	RETAIL SALES ONLINE OFFICER/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
07-Sep-18	MANREZA	BENEDICTO J.	-	HEAD IT DESIGN/DEV.	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
07-Sep-18	SIY	BEA CAMILLA MARGARET D.	-	TRAINEE	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
12-Sep-18	CONCEPCION	LINMUEL A.	-	MESSENGER	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
12-Sep-18	DIZON	ANNA CHRISTIE P.	CHIEF FINANCIAL OFFICER/ ACTING NOMINEE/ VP -	CHIEF FINANCIAL OFFICER/ LICENSE ASSOCIATED PERSON	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
15-Sep-18	KUAN	ROBERTO F.	INDEPENDENT DIRECTOR	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	DECEASED
16-Sep-18	LEE	RACHELE LINDSAY Y.	-	EQUITIES TRADER/ SALESMAN, JUNIOR ASSISTANT MANAGER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
17-Sep-18	BACANI	SEKEN C.	-	DIRECTOR	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT

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17-Sep-18	BAUTISTA	JADE N.	AUDIT ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
17-Sep-18	MORALES	THERESA M.	-	AUDIT ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
24-Sep-18	YAP	WALTER FRANCIS A.	-	AVP/ RELATIONSHIP MANAGER	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
24-Sep-18	CHING	EIRAH WYNONA S.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
24-Sep-18	PACIA	BRIAN ERMIN C.	SECTION HEAD - MARGIN AND MUTUAL FUNDS	SECTION HEAD - EXPANDED SERVICES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
25-Sep-18	LANUZA	CORAZON V.	CHAIRMAN	CHAIRMAN/ SALESMAN	CAMPOS, LANUZA & COMPANY, INC.	RE-DESIGNATION
25-Sep-18	DAUZ	ALEXANDER LUDWIG L.	HEAD OF SALES	-	CLSA PHILS., INC.	RESIGNATION (cleared)
26-Sep-18	TE	MATTHEW ANDERSON C.	OJT - RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
27-Sep-18	BALATAZO	AMELIA S.	-	SALESMAN	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
30-Sep-18	OCAMPO	PEAVEY M.	WEB AND TECHNICAL SOLUTION SPECIALIST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
30-Sep-18	GABINERA	JONATHAN S.	DOMESTIC INSTITUTIONAL SALES	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
01-Oct-18	PABLO	NANETTE C.	OPERATIONS MANAGER/ LICENSED SALESMAN	CHIEF OPERATING OFFICER/ LICENSED SALESMAN	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Oct-18	SANTIDAD	PATRICK JOHN P.	-	IT STAFF	CITISECURITIES, INC.	NEW EMPLOYMENT
01-Oct-18	YCA Y	ERNEST MATTHEW B.	-	TRAINEE	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
01-Oct-18	NUBLA	GAIL ELEANOR S.	-	ASSISTANT OFFICE MANAGER	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT

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01-Oct-18	DEBULOS	JASON CHRISTOPHER D.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Oct-18	NOGRALES	MARSEILLE N.	-	SALESMAN	CLSA PHILS., INC.	NEW EMPLOYMENT
01-Oct-18	FERNANDEZ	DENISSE ALYSSA P.	-	MARKETING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-18	MANIAGO	GEENIKA M.	-	MARKETING ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-18	CAYETANO	RANDELL D.	-	OFFICE CLERK	R & L INVESTMENTS, INC.	NEW EMPLOYMENT
01-Oct-18	TINIMBANG	JERVIS C.	-	SECTION HEAD - INSTITUTIONAL DEALING	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
01-Oct-18	NOZAWA	NIKKI P.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Oct-18	COBANKIAT	JAN VINCENT N.	EXECUTIVE VICE PRESIDENT/ DIRECTOR	EXECUTIVE VICE PRESIDENT/ DIRECTOR/ TRADING FLOOR ASSISTANT	R. NUBLA SECURITIES, INC.	RE-DESIGNATION
03-Oct-18	YAP	SEDRIC C.	STUDENT INTERN	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
08-Oct-18	QUA	MIMI C.	-	MARKETING MANAGER	SUNSECURITIES, INC.	NEW EMPLOYMENT
08-Oct-18	GACASA	NICHOL JOHN M.	-	TRAINEE	SUNSECURITIES, INC.	NEW EMPLOYMENT
08-Oct-18	BAYOG	JUNALD P.	-	TRAINEE	SUNSECURITIES, INC.	NEW EMPLOYMENT
08-Oct-18	GUZMAN	CHRISTOPHER E.	-	SALESMAN/ TRADER	HDI SECURITIES, INC.	NEW EMPLOYMENT
08-Oct-18	REINANTE	CZARINA VIELE G.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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08-Oct-18	PAGTAKHAN	LORENZO MIGUEL L.	EQUITY SALES TRADER	-	BPI SECURITIES CORPORATION	RESIGNATION
08-Oct-18	TORIO	JOSEPH E.	-	DEPARTMENT HEAD - FINANCIAL ACCOUNTING	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
08-Oct-18	MACASAET	ALLAN NARCISO P.	CORPORATE SECRETARY	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
08-Oct-18	TECHICO	BENITO G.	DIRECTOR/ TREASURER	DIRECTOR/ TREASURER/ CORPORATE SECRETARY	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
08-Oct-18	DELA PEÑA	JENESIS A.	-	JUNIOR TRADER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
12-Oct-18	TINIMBANG	JERVIS C.	SECTION HEAD - INSTITUTIONAL DEALING	DEPARTMENT HEAD - INSTITUTIONAL DEALING	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
12-Oct-18	ABAJON	MHELVIN F.	DIVISION HEAD - SALES	DIVISION HEAD - RETAIL SALES	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
12-Oct-18	RAMIREZ	MA. VINA A.	-	PART TIME CONSULTANT FOR SETTLEMENT	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
15-Oct-18	TANJUATCO	MICHAEL GABRIEL R.	-	RESEARCH ASSISTANT	CLSA PHILS., INC.	NEW EMPLOYMENT
15-Oct-18	BALATAZO	AMELIA S.	SALESMAN	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
15-Oct-18	VANTA	JOSELITO F.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
15-Oct-18	BALANTE	MARIELLE P.	SETTLEMENT OFFICER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
15-Oct-18	QUE	JEANNE RICHELLE G.	ON THE JOB TRAINEE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (cleared)
15-Oct-18	SANTOS	SARAH NICOLE T.	-	CONSULTANT	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Oct-18	DEBULOS	JASON CHRISTOPHER D.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION

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16-Oct-18	SANTOS, JR.	MILINEO V.	SALESPERSON	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
16-Oct-18	FLORES	FLORDELIZA C.	-	JUNIOR ACCOUNTANT	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
18-Oct-18	OLIQUINO	DANICA D.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
19-Oct-18	CO	VIVIEN CATHERINE L.	TREASURER	TREASURER & TRADING ASSISTANT	VC SECURITIES CORPORATION	RE-DESIGNATION
22-Oct-18	VICENA	JAYSON D.	CUSTOMER SERVICE OFFICER/ TRADING FLOOR ASSISTANT	SALESMAN/ AUTHORIZED TRADER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
22-Oct-18	COCABO	MICHAEL JEFFERSON J.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION