



MEMORANDUM – 2019-005

To : All Trading Participants

Subject : Employee Movement

Date : 1 February 2019

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2019.

For information and guidance.

(original signed)

DAISY P. ARCE

President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
30-Sep-18	OCAMPO	PEAVEY M.	WEB AND TECHNICAL SOLUTION SPECIALIST	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
03-Dec-18	GONZALES	CONNERY S.	-	SYSTEMS ADMINISTRATOR	JAKA SECURITIES CORPORATION	NEW EMPLOYMENT
15-Dec-18	SANTOS	RICADO A.	SALESMAN	-	EAGLE EQUITIES, INC.	RETIREMENT
15-Dec-18	KERR	EVERLY BLESS L.	TRAINEE	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
17-Dec-18	SALVACION	REMJUNE	MESSENGER		SUMMIT SECURITIES, INC.	RESIGNATION
18-Dec-18	MONTELLANO	CHRISTIAN JOSEPH D.	-	SALESMAN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
28-Dec-18	CONCEPCION	LINMUEL A.	MESSENGER	-	SARANGANI SECURITIES, INC.	RESIGNATION
30-Dec-18	KABIGTING	RAMON VICENTE T.	CHIEF STRATEGIST ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION
31-Dec-18	AYSON	JOHN PAOLO D.	RESEARCH ANALYST, SENIOR ASSISTANT MANAGER	-	RCBC SECURITIES, INC.	RESIGNATION
31-Dec-18	DELA PEÑA	JENESIS A.	JUNIOR TRADER	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
31-Dec-18	CARPIO	MARY ELENOR A.	ASSOCIATED PERSON	-	APEX PHILS. EQUITIES CORPORATION	RESIGNATION
31-Dec-18	JAAFAR	JIHAN V.	SALESMAN	-	LUCKY SECURITIES, INC.	RESIGNATION (cleared)
01-Jan-19	CHAN	CINDY THERESE B.	CHIEF EXECUTIVE OFFICER	EXECUTIVE VICE PRESIDENT	A & A SECURITIES, INC.	RE-DESIGNATION
01-Jan-19	LUCERO	JEFFREY	-	RESEARCH ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
01-Jan-19	JUNIO	VALERIE ANN R.	ACCOUNT OPENING ASSOCIATE	ACCOUNT OPENING SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION

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01-Jan-19	GUIANG	GEORGIA V.	HEAD, INSTITUTIONAL SEGMENT	HEAD, INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-19	RECIO	ALJON A.	-	EQUITY SALES TRADER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jan-19	BELLEZA	GERALDINE E.	SETTLEMENT ASSISTANT	OFFICER-IN-CHARGE - SETTLEMENT	SALISBURY BKT SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-19	ANG	BEE F.	OPERATIONS HEAD/ TELEPHONE CLERK	OPERATIONS HEAD/ TELEPHONE CLERK/ DPO	LUCKY SECURITIES, INC.	RE-DESIGNATION
01-Jan-19	DEL ROSARIO	BRIAN CHRISTOPHER Z.	CLIENT ACQUISITION OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Jan-19	TENORIO	NINA FRANCES THERESE B.	RESEARCH ANALYST	VP - RESEARCH	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
01-Jan-19	CUARENTA	BRIAN E.	-	COLLECTOR/MESSENGER	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-19	CAPINPIN	RONALYN A.	-	OFFICE ASSISTANT	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-19	JAIME	JUDITH A.	PROJECT MANAGER, TECHNOLOGY DIVISION -	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
02-Jan-19	OREJUDOS	GRETEL D.	CONSULTANT	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
02-Jan-19	BALDERAS	LIZELDA L.	-	PROJECT MANAGER, TECHNOLOGY DIVISION -	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
02-Jan-19	JIMENO	JILLIAN I.	-	OPERATIONS ASSISTANT	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
02-Jan-19	GETANO	EDGAR G.	-	UTILITY CLERK	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-19	MANZANO	MICHELLE B.	-	TRAINEE	DAVID GO SECURITIES CORPORATION	NEW EMPLOYMENT
02-Jan-19	ARGUDO	ABEGAIL JOY	-	OFFICE CLERK	STANDARD SECURITIES CORPORATION	NEW EMPLOYMENT

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02-Jan-19	BALATAZO	AMELIA S.	-	TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
02-Jan-19	LAMMERSKOTTER	LARS RAPHAEL J.	-	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-19	APOSTOL	FREDERICK D.	ASSOCIATE PROGRAMMER, TECHNOLOGY DIVISION -	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
02-Jan-19	ILANO	JEROME S.	-	ASSOCIATE PROGRAMMER, TECHNOLOGY DIVISION -	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
03-Jan-19	CHAN	JONATHAN	-	NOMINEE	A & A SECURITIES, INC.	NEW EMPLOYMENT
03-Jan-19	ADORZA	JOSEPH J.	-	MESSENGER	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
04-Jan-19	MANAHAN	BRYAN MARK B.	MESSENGER	-	TRANS-ASIA SECURITIES, INC.	RESIGNATION (no clearance required)
04-Jan-19	KILAPKILAP	MAE-ANN D.	-	OFFICE CLERK	CAMPOS, LANUZA & COMPANY, INC.	NEW EMPLOYMENT
07-Jan-19	KABIGTING	RAMON VICENTE T.	-	CONSULTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
07-Jan-19	SILVANO	CHRIS LOIS M.	BOOKKEEPER	-	LUCKY SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-19	JACOSALEM	ABIGAIL M.	INTERN	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
09-Jan-19	VILLAREAL	MARK JANIEL M.	TRADER	TRADING FLOOR ASSISTANT	A. T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
09-Jan-19	OLORES	JOHN MICHAEL D.	TRADER	TRADING FLOOR ASSISTANT	A. T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
10-Jan-19	LICUP	MIKEAL T.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
11-Jan-19	CASTRO	ROYCE EMMANUEL D.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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11-Jan-19	VALDEZ	RICHARD S.	LICENSED SALESMAN	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
11-Jan-19	MABIOG	ALBERTO M.	LICENSED SALESMAN	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
14-Jan-19	ARCANO	KENNETH RALPH B.	-	TRAINEE	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
15-Jan-19	LOPEZ	ROSIAN S.	ACCOUNTING ASSISTANT	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-19	TINGA, JR.	DANTE R.	SVP/ RESEARCH HEAD	SVP/ INSTITUTIONAL SALES HEAD	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
15-Jan-19	CHIW	ABIGAIL KATHRYN L.	VP/ RESEARCH OFFICER	VP/ RESEARCH HEAD - OIC	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
16-Jan-19	YANGA	AEDRICK B.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
16-Jan-19	PARDILLO	ANDRE PHILIP M.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
16-Jan-19	MENDIOLA	ROME NEIL O.	-	FINANCIAL ANALYST	CITISECURITIES, INC.	NEW EMPLOYMENT
16-Jan-19	FAJARDO	SAMANTHA P.	-	HEAD, ACCOUNTING, FINANCE & MIS	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jan-19	LONTOC	MICHAEL ANDREW G.	-	EQUITY TRADE JUNIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
16-Jan-19	SORIANO	JOHN FREDERICK T.	SECRETARY TO THE PRESIDENT	-	STAR ALLIANCE SECURITIES CORPORATION	RESIGNATION
17-Jan-19	SANTOS	HAZEL MARGERIE V.	-	SETTLEMENT OFFICER	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
21-Jan-19	ROSANES	ANDREW T.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
23-Jan-19	DALAWANGBAYAN	VHIA D.	-	RECEPTIONIST - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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23-Jan-19	VILLAR	LYKA B.	-	FINANCE STAFF - CONTRACTUAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
25-Jan-19	BALATAZO	AMELIA S.	TRAINEE	TRADER	SINCERE SECURITIES CORPORATION	RE-DESIGNATION
26-Jan-19	CONCEPCION	CAMILA D.	SALES ASSOCIATE	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
28-Jan-19	DETABLAN	RAZEL MAE S.	RECEPTIONIST - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
28-Jan-19	MERCULISIO	RICMEL O.	FINANCE STAFF - CONTRACTUAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
01-Feb-19	INGAL	MADELA G.	SETTLEMENT ASSISTANT/ ENCODER/ DOCUMENTATION	-	PREMIUM SECURITIES, INC.	RESIGNATION (cleared)
22-Feb-19	RESPICIO	JERRIE MAE HYVI P.	RESEARCH ANALYST	-	AP SECURITIES, INC.	RESIGNATION