



# MEMORANDUM – 2019-022

**To** : All Trading Participants

**Subject** : Employee Movement

**Date** : 2 August 2019

---

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2019.

For information and guidance.

*(Original Signed)*

**DAISY P. ARCE**

*President*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
11-Apr-19	FRADES	JEFFERSON MARTIN A.	SR. IT ANALYST	-	CLSA PHILS., INC.	UPDATE: RESIGNATION (cleared)
16-Apr-19	ARCAS	ALVIN S.	HEAD, INFORMATION TECHNOLOGY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
24-May-19	GLADSTONE	THOMAS XENOPHON	DIRECTOR	-	CLSA PHILS., INC.	RESIGNATION (no clearance required)
31-May-19	OLIVEROS	EDWIN G.	AVP, INFORMATION TECHNOLOGY; DATA	AVP, INFORMATION TECHNOLOGY; COMPLIANCE	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
31-May-19	ASPELING	BEVERLY JANE	-	DATA PROTECTION OFFICER	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
03-Jun-19	GUARIN	JAN DERRICK S.	-	ON-THE-JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jun-19	RASCO	LAETISHA BELLE C.	-	ON-THE-JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jun-19	SALVADOR	CHRISTIAN ARNOLD R.	-	ON-THE-JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jun-19	DENUEVO	JERNY D.	-	UTILITY/ HOUSEKEEPER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
03-Jun-19	MORALES	EUGENIO GIL TEKWANI A.	-	INVESTMENT RESEARCHER	IGC SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-19	LIM	FRANCES ANNE Y.	-	ON-THE-JOB TRAINEE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
10-Jun-19	FAJARDO	JOHN KENNARD T.	DEPUTY CEO	DEPUTY CEO AND NOMINEE-APPLICANT	BPI SECURITIES CORPORATION	RE-DESIGNATION
15-Jun-19	TAN	HARRY C.	DIRECTOR	-	PAN ASIA SECURITIES CORPORATION	RESIGNATION
16-Jun-19	CHUA	ADRIANO T.	-	INDEPENDENT DIRECTOR	PAN ASIA SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-19	SUNGA	VON HERBERT T.	-	IT SYSTEM ADMIN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
20-Jun-19	CHUA	JOHN KENDRICK O.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
21-Jun-19	ZAMORA	CHINKEE ANN MARIE	ACCOUNTING ASSISTANT	-	LUCKY SECURITIES, INC.	RESIGNATION (cleared)
27-Jun-19	BONDOC	CAMILLE S.	NEW ACCOUNTS SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Jun-19	BAJADA	MABELLE F.	CASHIER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
29-Jun-19	QUINTOS	CHRISTHELLE Q.	CUSTOMER SERVICE ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
30-Jun-19	SANCHEZ	PATRICIA ANNE C.	GENERAL CLERK	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
30-Jun-19	CAGUD	LEILA A.	EXECUTIVE ASSISTANT TO THE PRESIDENT	-	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RESIGNATION
01-Jul-19	TORRELIZA	LOIS ANNE NICOLE Z.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
01-Jul-19	GENTAPANAN	NEL VINCENT C.	-	RESEARCH ASSISTANT	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	NEW EMPLOYMENT
01-Jul-19	TORRES	ELMER A.	ASST. MANAGER - EQUITIES TRADER	SENIOR ASST. MANAGER - EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-19	AGRAVANTE	HAZEL B.	SETTLEMENT CLERK	FIRST OFFICER - SETTLEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
01-Jul-19	MARTINEZ	CYRAH D.	-	TRADING FLOOR ASSISTANT	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
01-Jul-19	BAUTISTA	MARIA ANGELICA L.	-	ASSOCIATE, MARKETING ASSISTANT	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-19	GIMENEZ	HANNAH CLARICE N.	-	ACCOUNTING ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
01-Jul-19	ARENAS	EVELYN P.	HEAD, FINANCE AND ACCOUNTING AND CFO	CFO	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jul-19	BINUYA	KATRINA CYNDIE O.	-	ACTING HEAD OF FINANCE AND ACCOUNTING	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-19	COCAMAS	MATRANILLO F.	-	MESSENGER/ UTILITY	R.S. LIM & COMPANY, INC.	NEW EMPLOYMENT
01-Jul-19	AUMENTADO	KRISLE M.	-	GENERAL CLERK	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
01-Jul-19	LAGUNDAY	JOVY NICHOLSON M.	-	SR. IT ANALYST	CLSA PHILS., INC.	NEW EMPLOYMENT
01-Jul-19	ROXAS	JULIAN VINCENT A.	-	RESEARCH ASSOCIATE	PHIL. EQUITY PARTNERS, INC.	NEW EMPLOYMENT
03-Jul-19	KOBAYASHI	JANN MICHIKO O.	-	ASSOCIATE, CUSTOMER SUPPORT ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Jul-19	JHOCSON	ANNA PATRICIA T.	ASSISTANT CORPORATE SECRETARY	-	J. P. MORGAN SECURITIES PHILS, INC.	RESIGNATION (no clearance required)
03-Jul-19	SANTOS	LILEYA D.	-	INTERN	CLSA PHILS., INC.	NEW EMPLOYMENT
03-Jul-19	BAY-ON	MICAELA ANDREA L.	-	SECURITIES CLERK	MDR SECURITIES, INC.	NEW EMPLOYMENT
04-Jul-19	VILLANUEVA	AEVEREE Z.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
04-Jul-19	TORIO	JOSEPH E.	DEPARTMENT HEAD - FINANCIAL ACCOUNTING	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
06-Jul-19	ADEZER	MARLINA S.	ACCOUNTING ASSISTANT	-	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	RESIGNATION (clearance under process)
08-Jul-19	TIU	JOSHUA NICHOLAS C.	-	TRAINEE	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
09-Jul-19	BAYOG	JUNALD P.	TELEPHONE CLERK - FLOOR	SALESMAN	SUNSECURITIES, INC.	RE-DESIGNATION
10-Jul-19	BILAZON	LIEZEL V.	JR. ACCOUNTANT II	-	EASTERN SECURITIES DEVT. CORPORATION	RESIGNATION (clearance under process)
11-Jul-19	KERR	EVERLY BLESS L.	-	TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
14-Jul-19	ARCANO	KENNETH RALPH B.	TRAINEE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
15-Jul-19	CRUZ	KATRINA ANN B.	SALES TRADER	SALES ASSOCIATE	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Jul-19	SORIANO	JOAHNNA S.	-	CONSULTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-19	OUANO	GARIE G.	RESEARCH DIRECTOR	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
15-Jul-19	GATMAYTAN	MICHAEL JOHN P.	CO-HEAD, PRIVATE CLIENTS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
15-Jul-19	WONG	GEORGE PETER C.	CO-HEAD, PRIVATE CLIENTS GROUP	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
15-Jul-19	DOMINGUEZ	MAE	SETTLEMENT CLERK	-	R.S. LIM & COMPANY, INC.	TERMINATION
15-Jul-19	URREA	CHRISTINE JOYCE A.	-	ACCOUNTING ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
15-Jul-19	AQUINO	GLAIZA B.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
15-Jul-19	MELLA, JR.	DANILO M.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MGMT. SECURITIES CORP.	NEW EMPLOYMENT
15-Jul-19	SANCHEZ	PETER JUSTIN D.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	RE-DESIGNATION
15-Jul-19	DUMOL	MA. LOURDES GUADALUPE F.	RESEARCH OFFICER/ ANALYST	-	BDO NOMURA SECURITIES INC.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jul-19	AMORA	JANET D.	SAVP/ OPERATIONS HEAD	VP/ OPERATIONS HEAD	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
15-Jul-19	CHIW	ABIGAIL KATHRYN L.	VP/ RESEARCH HEAD - OIC	FVP/ RESEARCH HEAD - OIC	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
15-Jul-19	TINGA, JR.	DANTE R.	SVP/ INSTITUTIONAL SALES - HEAD	SVP/ INSTITUTIONAL SALES - HEAD/ CSR LICENSE	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
15-Jul-19	RESPETO	JERIC JAYSON T.	ACCOUNTING ASSISTANT	SETTLEMENT ASSISTANT	UCPB SECURITIES, INC.	RE-DESIGNATION
16-Jul-19	MARASIGAN	RYAN ANGELO F.	-	TRADING ASSISTANT	INTRA-INVEST SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-19	RAMIREZ	CAMILLE P.	-	COMPLIANCE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jul-19	ANTONIO	MARY GRACE ANNE S.	-	NEW ACCOUNTS ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jul-19	PLADERO	RONALYN R.	-	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jul-19	CAMBAYA	RHEA	ACCOUNTING ASSISTANT	-	R. COYIUTO SECURITIES, INC.	RESIGNATION
16-Jul-19	JUSTINIANO	JOSE IVAN T.	-	EVP & COO	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
16-Jul-19	VELASCO	ANGEL Y.	DIRECTOR/ TREASURER	DIRECTOR/ ASST. TREASURER	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
16-Jul-19	CABANTOG	CONNIE M.	DIRECTOR	DIRECTOR/ TREASURER	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
17-Jul-19	JOSE	GISELLE C.	-	CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS, INC.	NEW EMPLOYMENT
18-Jul-19	HWANG	ANGELINE H.	-	INDEPENDENT DIRECTOR	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
20-Jul-19	DE NIEVA	SYDNEY A.	ACCOUNTING SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
22-Jul-19	BIACORA	MIGUEL AUGUSTINE P.	OJT - RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
22-Jul-19	DIONISIO	PAOLO ANGELO R.	OJT - RESEARCH	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
23-Jul-19	CRUZ	ENRICO S.	DIRECTOR	-	DEUTSCHE REGIS PARTNERS INC.	RESIGNATION (cleared)
23-Jul-19	NERI	ROMULO L.	-	INDEPENDENT DIRECTOR	REGINA CAPITAL DEVT. CORPORATION	NEW EMPLOYMENT
23-Jul-19	PASCUAL	GAMALIEL	INDEPENDENT DIRECTOR	-	REGINA CAPITAL DEVT. CORPORATION	RESIGNATION (no clearance required)
25-Jul-19	NAZARRO	JESTIN T.	-	RESEARCH ASSISTANT	PAPA SECURITIES CORPORATION	NEW EMPLOYMENT
26-Jul-19	PAMANI	THELMA S.	RESEARCHER	TRADER AND RESEARCHER	WESTLINK GLOBAL EQUITIES, INC.	RE-DESIGNATION
26-Jul-19	ROSAÑES	ANDREW T.	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
31-Jul-19	PANOPIO	ANNE DENISE P.	MARKETING RELATIONSHIP OFFICER		BDO NOMURA SECURITIES INC.	RESIGNATION
17-Aug-19	ONG	ROBERTO MIGUEL B.	RESEARCH ANALYST	-	AP SECURITIES, INC.	RESIGNATION