



MEMORANDUM – 2019-008

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **1 March 2019**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 28 February 2019.

For information and guidance.

(original signed)

DAISY P. ARCE

President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
02-Jan-19	ARCILLO	MARJORY MAE A.	-	BUSINESS DEVELOPMENT OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
03-Jan-19	BANGAYAN	SHIRLEY Y.	PRESIDENT/ CHAIRMAN/ NOMINEE	PRESIDENT/ CHAIRMAN	A & A SECURITIES, INC.	RE-DESIGNATION
07-Jan-19	BLANQUERA	NIKKI I.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
14-Jan-19	CORCEGA	MEDINER M.	IN-HOUSE CORPORATE LEGAL COUNSEL	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
14-Jan-19	ORTEGA	MA. PAZ L.	-	CORPORATE LEGAL COUNSEL	HDI SECURITIES, INC.	NEW EMPLOYMENT
15-Jan-19	VALERIO	MARIO A.	AGENT/ SALES	-	SB EQUITIES, INC.	RESIGNATION (cleared)
15-Jan-19	NAVAL, JR.	RICARDO C.	IT OFFICER - NETWORK ADMINISTRATOR	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
16-Jan-19	DELA CUESTA	JOSEF ROMEO F.	BUSINESS DEVELOPMENT MANAGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
16-Jan-19	DE GUZMAN	RUBELYN S.	STOCK CUSTODIAN	-	JAKA SECURITIES CORPORATION	RESIGNATION (clearance under process)
16-Jan-19	WEE	ENRIQUE T.	-	SALESMAN/ TRADER	SUNSECURITIES, INC.	NEW EMPLOYMENT
17-Jan-19	SANTOS	HAZEL MARGERIE V.	-	SECURITIES SETTLEMENT OFFICER	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
22-Jan-19	CAYABYAB	JEANNE CHRISTINE R.	RESEARCH ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
25-Jan-19	JAVIER	JUSSA D.	-	SALESMAN	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
25-Jan-19	PALICPIC	MARK DANNIEL M.	-	ASSOCIATED PERSON	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
25-Jan-19	DY	AARON MICHAEL P.	EQUITY SALES TRAINEE	EQUITY SALES TRADER	BPI SECURITIES CORPORATION	RE-DESIGNATION

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26-Jan-19	LACSON	EDGARDO G.	INDEPENDENT DIRECTOR	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)
26-Jan-19	FENIX, JR.	ALBERTO P.	-	INDEPENDENT DIRECTOR	VC SECURITIES CORPORATION	NEW EMPLOYMENT
28-Jan-19	BOCO	DIANA E.	-	ACCOUNTING ASSISTANT	VENTURE SECURITIES, INC.	NEW EMPLOYMENT
30-Jan-19	CABANGON	WILLIAM MATTHEW M.	EXECUTIVE VICE PRESIDENT/ GENERAL MANAGER (EVP/GM)	PRESIDENT	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
30-Jan-19	CABANGON	D. ARNOLD A.	PRESIDENT/ DIRECTOR	DIRECTOR	AAA SOUTHEAST EQUITIES, INC.	RE-DESIGNATION
31-Jan-19	RAMIREZ	MA. VINA A.	PART TIME CONSULTANT AS SETTLEMENT OFFICER	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
31-Jan-19	CHAM	ROSITA C.	ASSOCIATED PERSON	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
31-Jan-19	RODRIGUEZ	JOSE GERARDO B.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
31-Jan-19	CALAQUIA	CHELISA V.	SALES - DEALER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
31-Jan-19	MANGUN	CHRISTOPHER JOHN F.	-	REGISTERED SALESPERSON	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
31-Jan-19	LAT	KRISTEL JOY T.	PROJECT HIRE	-	BPI SECURITIES CORPORATION	RESIGNATION
31-Jan-19	BUSTAMANTE	PAULO V.	COLLECTOR/ MESSENGER	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
31-Jan-19	TORRES	JOSELYN B.	INDEPENDENT DIRECTOR	-	DA MARKET SECURITIES, INC.	RESIGNATION
01-Feb-19	LOMOCSO, JR.	RONULFO C.	AUDITOR	AUDITOR/ ASSOCIATED PERSON	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-Feb-19	TAN	CHRISTIAN DAVID Y.	-	BUSINESS DEVELOPMENT OFFICER	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT

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01-Feb-19	DIARON	RONABIL M.	ACCOUNTANT	ASSISTANT COMPLIANCE OFFICER	GLOBALINKS SECURITIES & STOCKS, INC.	RE-DESIGNATION
01-Feb-19	TSAI	BERNHARD ALOYSIUS G.	-	CHIEF OPERATING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-19	LITTON	ALBERT CHRISTOPHER C.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
01-Feb-19	ONG	MARIA ANGELA C.	-	LICENSED SALESMAN	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
01-Feb-19	SEVIDAL	ALFONSO MIGUEL N.	-	RESEARCH ANALYST	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-19	TRIA II	GREGORIO JOSE B.	-	RISK OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-19	VIGO	JAN HENRI T.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Feb-19	ASI	PAMELA MAE J.	CORPORATE ACTIONS SPECIALIST	SECURITIES SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Feb-19	REYES	KIMBERLY MARIE S.	CORPORATE ACTIONS ASSOCIATE	SECURITIES SETTLEMENT ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Feb-19	MENDOZA	MADETH D.	CORPORATE ACTIONS OFFICER	PRODUCT & BUSINESS DEVELOPMENT OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Feb-19	TIJULAN	MARIEL G.	-	OFFICE CLERK	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
01-Feb-19	ACOSTA	DAVID CRIS F.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CITISECURITIES, INC.	RE-DESIGNATION
01-Feb-19	UY	GERALDINE T.	-	ADMINISTRATIVE OFFICER	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
04-Feb-19	VILLARICO - ARAGON	MARIA LARR	-	SR. MANAGER - HR/CSG	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
04-Feb-19	RACE	MARK ROME M.	ASSISTANT VICE PRESIDENT, HEAD OF SALES AND TRADING	-	RCBC SECURITIES, INC.	RESIGNATION

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05-Feb-19	NUÑEZ	AUDREY P.	CSR EQUITIES TRADER	-	CITISECURITIES, INC.	RESIGNATION (clearance under process)
05-Feb-19	NGO	VINCENT T.	-	CERTIFIED SECURITIES REPRESENTATIVE	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
06-Feb-19	LLAMERA	ARGIE M.	-	MESSENGER	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
06-Feb-19	GUTIERREZ	JOLLY C.	-	TRADER/ DEALER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
07-Feb-19	MEANA	MELANI G.	-	ELECTRONIC TRADER	SALISBURY BKT SECURITIES CORPORATION	NEW EMPLOYMENT
09-Feb-19	PESCASIO	KATHRENE NICOLE C.	ACCOUNTING STAFF	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
11-Feb-19	ESPINA	JOHNIR SERGS L.	-	ASSOCIATE, RESEARCH ANALYST	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
11-Feb-19	SOLEDAD	LANCE GABRIEL U.	-	JUNIOR RESEARCH ANALYST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
22-Feb-19	MACALINAO	ALPHA IAN GENESIS L.	NEW ACCOUNTS SPECIALIST	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
27-Feb-19	ARONG	CATHERINE JOY P.	-	ASSOCIATE, MARKETING	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT