



MEMORANDUM – 2019-014

TO : ALL TRADING PARTICIPANTS

SUBJECT : 2019 TRADING PARTICIPANTS' SEMINAR PROGRAM




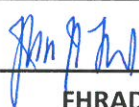
DATE : 2 APRIL 2019

Further to CMIC Memorandum 2019-010 dated 13 March 2019, which provided initial details on the Trading Participants' Seminar to be held on 05 April 2019, are the seminar program and a map of the venue.

Moreover, please be informed that the attire for the seminar is *smart casual*.

Very truly yours,


DAISY P. ARCE
President

			
SD	IED	ACD	FHRAD

2019 Trading Participants' Seminar
Ballrooms A & B, The City Club at Alphaland Makati Place
5 April 2019

PROGRAM

- 8:00 AM – 8:45 AM Registration
- 8:45 AM – 8:50 AM Invocation
- 8:50 AM – 8:53 AM National Anthem
- 8:53 AM - 9:00 AM **OPENING REMARKS**
Atty. Daisy P. Arce – President, CMIC
- 9:00 AM – 10:00 AM **CMIC FINDINGS (2018-2019)**
*Atty. Jose Martin O. General - AVP & Head,
Investigation and Enforcement Department, CMIC*
- 10:00 AM – 11:30 AM **THE REVISED CORPORATION CODE**
Atty. Teresita J. Herbosa - Former Chairperson, Securities & Exchange Commission
- 11:30 AM – 12:30 PM **GUIDELINES ON PDTC LENDING AGENCY SERVICE FOR
PSE'S SECURITIES BORROWING & LENDING PROGRAM**
Ms. Ma. Theresa B. Ravalo – COO, Philippine Depository & Trust Corporation
- 12:30 PM– 1:30 PM Lunch
- 1:30 PM **2018 IRR OF THE ANTI-MONEY LAUNDERING ACT AND THE
RESULTS OF THE 3RD ROUND OF MUTUAL EVALUATIONS**
*Atty. Allan Julius Azcueta - Legal Officer III
Anti-Money Laundering Council Secretariat*
- 3:00 PM **ORIENTATION ON THE NEW REQUIREMENTS FOR THE GENERAL
INFORMATION SHEET (GIS)**
*Atty. Jose P. Aquino - Director, Enforcement and Investor Protection Dept., SEC
Atty. Alfonso D. Lanuza, Jr. - OIC, Anti-Money Laundering Division, SEC
Atty. Sheara L. Lupango-Tamayo - OIC, Special Operation Division, SEC*
- 4:30 PM – 4:45 PM **LAUNCH OF THE CMIC INTEGRITY SEAL AWARDS**
Ms. Grace M. Calubaquib - AVP & Head, Surveillance Department
- 4:45 PM Distribution of Certificates

LOCATION MAP

