



MEMORANDUM – 2019-016

TO : THE INVESTING PUBLIC AND THE TRADING PARTICIPANTS
SUBJECT : SUBMISSION OF ALL TRANSACTIONS OF PSE SHARES
DATE : 17 APRIL 2019

As mandated by Rule 5 of the Rules Governing the Trading of Philippine Stock Exchange (“PSE”) shares, a broker dealer shall submit not later than 12:00 noon of every Monday an original signed copy of the daily reports he has submitted during the immediately preceding week.

For ease in monitoring, the Securities and Exchange Commission (“Commission”), in its letter dated 23 August 2018, has directed all PSE trading participants to submit a copy of the weekly consolidated report, in parallel to the original signed copy, in Excel file format. The letter from the Commission was released through CMIC Memorandum 2018-021.

In its letter dated 15 April 2019¹, the Commission directed the Trading Participants to submit the said excel file in its new email address, **msrd_srogroup@sec.gov.ph** starting on **22 April 2019**. In addition, the subject of the email and file name should follow the following format:

| | |
|----------|---|
| Subject: | BROKER'S NAME_WEEKLY PSE SHARES TRANSACTION_YYYY-MON-DDTODD |
|----------|---|

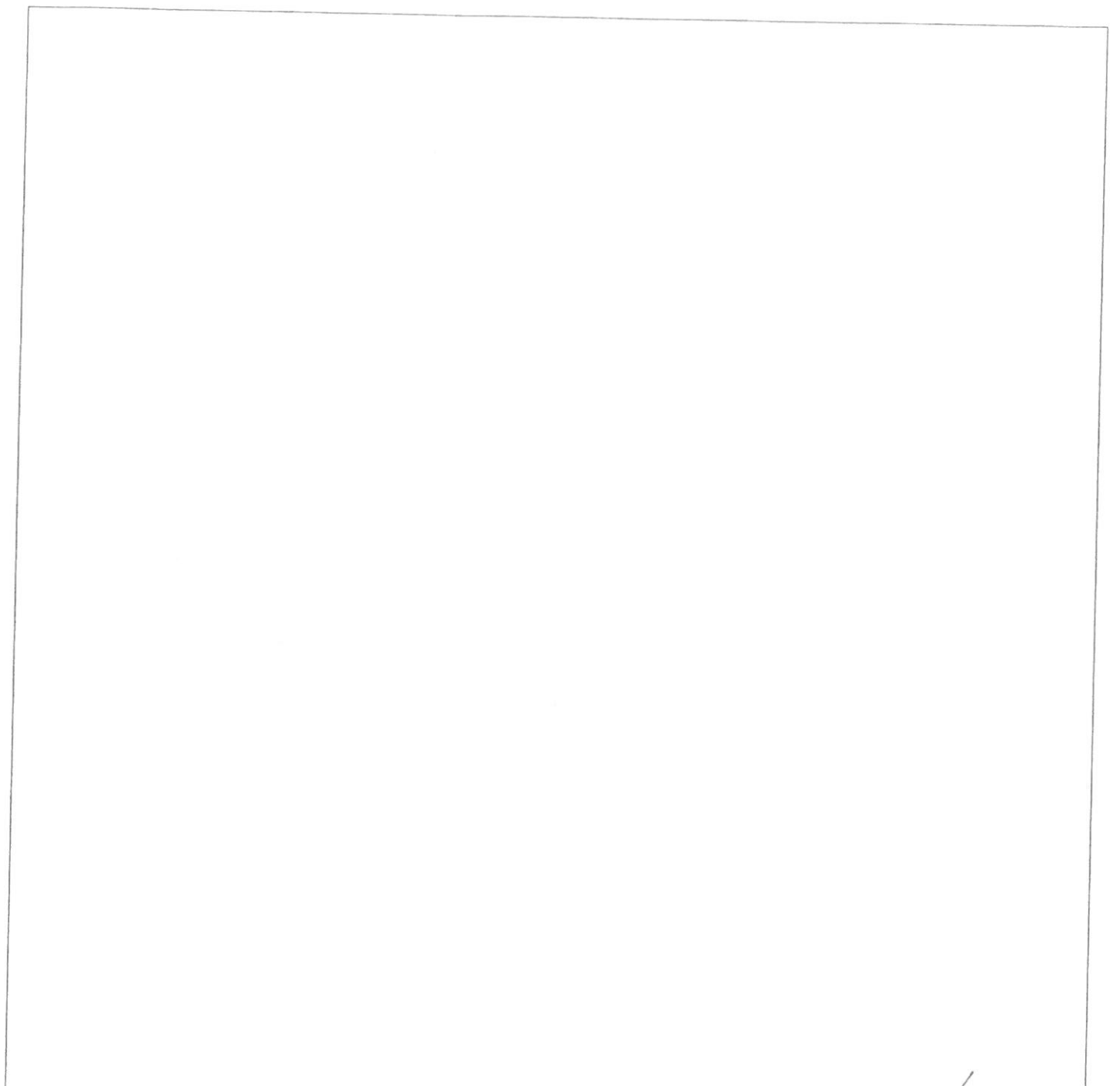
Example: ABC COMPANY_WEEKLY PSE SHARES TRANSACTION_2019-MAR-25TO29

For your compliance.


LENY E. MANSILUNGAN

OIC

¹ Annex A SEC Letter dated 15 April 2019 directing Trading Participants to submit the weekly consolidated report in excel format in its new email address



| | | | |
|-----|-------|-----------|-----------|
| | | <i>mw</i> | |
| ACD | FHRAD | IED | <i>SD</i> |



ANNEX



MARKETS AND SECURITIES REGULATION DEPARTMENT

15 April 2019

CAPITAL MARKETS INTEGRITY CORPORATION
10th Floor, PSE Tower, 5th Ave., Corner 28th St.,
Bonifacio Global City, Taguig City
Tel. No.: 819-4480
876-4588
Fax No.: 659-6001
856-3058

CMIC SURVEILLANCE DEPT

RECEIVED
APR 17 2019

BY: [Signature] 1:35 pm

Subject : Submission of All Transactions of PSE Shares
Pursuant to Rules Governing Trading of PSE Shares

Gentlemen:

In our letter dated 23 August 2018, we directed all trading participants of PSE through CMIC to submit a weekly consolidated report in excel format at mrd@sec.gov.ph, in parallel to the submission of original signed copy of all the transactions of PSE shares pursuant to Rule 5 of Rules Governing Trading of PSE Shares as provided below:

"5. A broker dealer shall submit not later than 12:00 noon of every Monday an original signed copy of the daily reports he has submitted during the immediately preceding week."

Relative thereto, please direct all trading participants to submit the particular excel file report at our new email address, msrd_srogroup@sec.gov.ph.

In addition, the subject of the email and file name should follow below format:

Subject: BROKER'S NAME_WEEKLY PSE SHARES TRANSACTION_YYYY- MON- DDTODD

e.g. ABC COMPANY_WEEKLY PSE SHARES TRANSACTION_2019- MAR- 25T029

The said submission of report to the new e-mail address should start on 22 April 2019.

For your compliance.

Very truly yours,

VICENTE GRACIANO F. FREIZMENIO, JR.
Director