



MEMORANDUM – 2019-018

To : All Trading Participants

Subject : Employee Movement

Date : 7 Jun 2019

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2019.

For information and guidance.

(original signed)

DAISY P. ARCE

President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
01-Apr-19	NIEGAS	RONALD N.	MESSENGER	-	I. B. GIMENEZ SECURITIES, INC.	RESIGNATION (without clearance)
04-Apr-19	RAVIS	PATRICK G.	-	MESSENGER	I. B. GIMENEZ SECURITIES, INC.	NEW EMPLOYMENT
15-Apr-19	ARENAS	EVELYN P.	HEAD, FINANCE AND ACCOUNTING AND ACTING CFO	HEAD, FINANCE AND ACCOUNTING AND CFO	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Apr-19	ROY	KEITH JOSEPH S.	SENIOR VICE PRESIDENT/ HEAD OF SALES TRADING AND	EVP/ HEAD OF SALES TRADING AND EXECUTION	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Apr-19	TAN	KATHERINE T.	VICE PRESIDENT, RESEARCH	SVP, RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Apr-19	ESPIRITU	JACQUILINE O.	SETTLEMENTS ASSISTANT	SETTLEMENTS OFFICER	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
15-Apr-19	GO SEE IT	IRENE A.	ACCOUNTING OFFICER	HEAD, OPERATIONS ACCOUNTING, CAD, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RE-DESIGNATION
15-Apr-19	CUYANGOAN	VIVIAN D.	-	ACCOUNTING OFFICER, CAD, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
15-Apr-19	GUDELOS	JERICO ROLAND V.	TRADE & SETTLEMENT CLERK	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
16-Apr-19	TUMALE	JAR AR U.	-	SALES TRAINEE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
22-Apr-19	EDILLO	MARIA THERESE ANN F.	-	HUMAN RESOURCES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
27-Apr-19	ACOSTA	CONNIE ROSE A.	COMPLIANCE ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
29-Apr-19	ATENGCO	KYLIE B.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
30-Apr-19	MARZAN	LEONARDO C.	AUTHORIZED TRADER/ SALESMAN	-	R. COYIUTO SECURITIES, INC.	RESIGNATION
30-Apr-19	SOCO	GLEN ANTHONY	DIRECTOR	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (no clearance required)

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01-May-19	LIM	KATE JENNIFER C.	EQUITY ANALYST	MARKETING OFFICER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-May-19	MACAYAN	JANNA CARISSE O.	RECEPTIONIST	CUSTOMER CARE SPECIALIST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-May-19	MAGHIRANG	ARABELLE C.	SENIOR INVESTMENT ANALYST	HEAD OF RESEARCH	PAPA SECURITIES CORPORATION	RE-DESIGNATION
01-May-19	ANG	NICOLE KELVIN S.	CSR EQUITIES TRADER	-	TIMSON SECURITIES, INC.	RESIGNATION (cleared)
01-May-19	REYES	RON JAIME J.	EQUITY INVESTMENTS ADVISOR, PRIVATE CLIENTS GROUP	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
01-May-19	ENCARNACION	RHIZZA MAE P.	CUSTOMER SUPPORT ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
02-May-19	ASI	DANILO M.	-	MESSENGER/ COLLECTOR	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
02-May-19	BERNAL	MOISES JR. M.	MESSENGER/ COLLECTOR	-	AAA SOUTHEAST EQUITIES, INC.	RETIREMENT
02-May-19	DE LAS LLAGAS	RANIEL L.	-	OPERATIONS ASSISTANT, COG, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
02-May-19	QUINTANA	RIZZA MAE S.	-	TRADE INVOICE STAFF	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
02-May-19	JANAIRO	CHRISTIAN MARCO J.	-	RESEARCH ANALYST	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
02-May-19	INOSANTE	ALYZA P.	SALES SPECIALIST	SALES ASSOCIATE	COL FINANCIAL PHILIPPINES	RE-DESIGNATION
02-May-19	CARLOS	ALYSSA JOBIM D.	-	SALES STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
02-May-19	TUMABIENE	CHARINA S.	-	ACCOUNTING STAFF	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
02-May-19	CUSTODIO	ROSEMARIE T.	-	SETTLEMENT ASSISTANT	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT

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02-May-19	PISIGAN	CIELITO	ACCOUNTING STAFF	-	TRI-STATE SECURITIES, INC.	RETIREMENT
04-May-19	CABRERA	EDSON D.	CONTRACTUAL MESSENGER	-	CLSA PHILS., INC.	RESIGNATION (no clearance required)
06-May-19	MARASIGAN	DANA SHAE R/	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
06-May-19	MEDINA	RODOLFO Q.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
06-May-19	TIU	LOWELL KYLE L.	-	CONSULTANT	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
07-May-19	CHOZAS	YNAH THEA NICOLI A.	HR & ADMIN ASSISTANT	HR ASSISTANT	PHILS. EQUITY PARTNERS, INC.	RE-DESIGNATION
07-May-19	MARILAG	ANA LISA L.	OPERATION SUPPORT STAFF	ADMIN ASSISTANT	PHILS. EQUITY PARTNERS, INC.	RE-DESIGNATION
07-May-19	PEREZ	JANICE D.	UTILITY/ MESSENGER	UTILITY	PHILS. EQUITY PARTNERS, INC.	RE-DESIGNATION
07-May-19	DATO	JENNIFER D.	UTILITY	-	PHILS. EQUITY PARTNERS, INC.	RESIGNATION (cleared)
08-May-19	LOPEZ	RUBEN V.	DIRECTOR	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
08-May-19	DY	KATRINA THERESE L.	-	DIRECTOR	UCPB SECURITIES, INC.	NEW EMPLOYMENT
08-May-19	BANCHIRAN	ELLIJAH MAE S.	CLIENT RELATIONS ASSOCIATE	KYC ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
08-May-19	TRINIDAD	LUCKY JOHN S.	ACCOUNT OPENING ASSOCIATE	KYC ASSOCIATE	BPI SECURITIES CORPORATION	RE-DESIGNATION
08-May-19	ENCINAS	KIMBERLY ANNE O.	KYC ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
08-May-19	BUNAGAN	JANA CHRISTIANE C.	INSTITUTIONAL DEALER	-	BPI SECURITIES CORPORATION	RESIGNATION

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08-May-19	JIONGCO	JEL	INDEPENDENT DIRECTOR	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (no clearance required)
09-May-19	TAMASE	PATRICIA ISABEL S.	-	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
11-May-19	CHUA-CHAM	ATTY. ROSITA	-	INDEPENDENT DIRECTOR/ CHAIRMAN - AUDIT &	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
11-May-19	MORALES	WILFREDO PERRY B.	-	INDEPENDENT DIRECTOR/ MEMBER - AUDIT &	DA MARKET SECURITIES, INC.	NEW EMPLOYMENT
11-May-19	AGUILA	NESTOR S.	NOMINEE/ CHAIRMAN/ PRESIDENT	NOMINEE/ PRESIDENT	DA MARKET SECURITIES, INC.	RE-DESIGNATION
11-May-19	AGUAS	MARIO M.	INDEPENDENT DIRECTOR/ CHAIRMAN - AUDIT &	CHAIRMAN OF THE BOARD/ MEMBER - NOMINATION	DA MARKET SECURITIES, INC.	RE-DESIGNATION
11-May-19	NUNGA	MIA BIANCA S.	BOARD OF DIRECTOR/ TREASURER/ MEMBER -	TREASURER	DA MARKET SECURITIES, INC.	RE-DESIGNATION
13-May-19	DEL ROSARIO	MARICEL A.	-	DIRECTOR	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
13-May-19	INOLINO	EMERSON R.	-	INDEPENDENT DIRECTOR	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
15-May-19	BONILLA	CLARO P.	COO AND NOMINEE	-	BPI SECURITIES CORPORATION	RESIGNATION
15-May-19	LLAMERA	ARGIE M.	MESSENGER	-	SARANGANI SECURITIES, INC.	RESIGNATION
16-May-19	SORIANO	CHRIZELLE ANNE V.	-	OPERATIONS ASSISTANT, COG, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
16-May-19	TE	MATTHEW ANDERSON	RESEARCH ASSISTANT	EQUITY RESEARCH ANALYST	PAPA SECURITIES CORPORATION	RE-DESIGNATION
17-May-19	FORMALES	MINNIE I.	AVP, ASST. COMPLIANCE OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
20-May-19	RAMIREZ	EDGAR J.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION

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20-May-19	DESTAJO	NANCY A.	INDEPENDENT DIRECTOR	-	SARANGANI SECURITIES, INC.	RESIGNATION
20-May-19	SANORJO	FLORITA D.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
20-May-19	DEL VALLE	CORAZON D.	-	INDEPENDENT DIRECTOR	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
21-May-19	TAGYAM	KRISTINE M.	-	OFFICE CLERK	JSG SECURITIES, INC.	NEW EMPLOYMENT
23-May-19	ROA	HESSEVEL F.	-	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
27-May-19	GABION	PAUL BERNARD	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
27-May-19	ANGELES	ERWIN M.	TRADER	-	DA MARKET SECURITIES, INC.	RESIGNATION (clearance under process)
28-May-19	SOTERO	RHODILYN AIRRA B.	OPERATIONS STAFF, COG, CBC	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
28-May-19	VALENZUELA	ROBERTO III C.	OPERATIONS STAFF, COG, CBC	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
31-May-19	TARAPE	GIZELLE G.	ACCOUNTANT	-	VC SECURITIES CORPORATION	RESIGNATION (no clearance required)