



MEMORANDUM – 2019-020

To : All Trading Participants
Subject : Employee Movement
Date : 8 July 2019

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2019.

For information and guidance.

(Original Signed)

DAISY P. ARCE

President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
06-Feb-19	GAOIRAN	GENEVA CLAIRE	JUNIOR ACCOUNTANT	ASSOCIATED PERSON	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
07-Feb-19	SORIANO	PRESCILLA C.	ASSOCIATED PERSON	EXECUTIVE VICE-PRES.	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
04-Mar-19	TAGLE	HANNAH SHAIRA S.	-	ASSISTANT	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
11-Apr-19	GOCO	REYNALDO R.	-	DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
15-Apr-19	ARROYO	ERICK JOSHUA F.	-	ASSISTANT	EAGLE EQUITIES, INC.	NEW EMPLOYMENT
15-Apr-19	BANTA	JOSE S.	DIRECTOR	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
06-May-19	AY	BELEN W.	DIRECTOR	-	SB EQUITIES, INC.	RESIGNATION (no clearance required)
06-May-19	ESTRADA	ENRIQUE JOSE C.	DIRECTOR	-	SB EQUITIES, INC.	RESIGNATION (no clearance required)
06-May-19	GARROVILLO	RENE T.	-	DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
06-May-19	PENA	SHARON MELANIE S.	-	DIRECTOR	SB EQUITIES, INC.	NEW EMPLOYMENT
15-May-19	SIY	BEA CAMILLA MARGARET D.	TRAINEE	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
15-May-19	MANREZA	BENEDICTO J.	HEAD IT DESIGN/ DEV	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
16-May-19	JALANDONI	PAUL JOSEPH J.	-	INDEPENDENT DIRECTOR	MOUNT PEAK SECURITIES, INC.	NEW EMPLOYMENT
16-May-19	LADIA	BONIFACIO V.	INDEPENDENT DIRECTOR	-	MOUNT PEAK SECURITIES, INC.	RESIGNATION
16-May-19	CONCEPCION	CATHERINE Y.	-	ACCOUNTING STAFF	UCPB SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
20-May-19	BURLAUS	REY FERNAN E.	-	AUDIT ASSISTANT	HDI SECURITIES, INC.	NEW EMPLOYMENT
20-May-19	BARREDO	GERARDO R.	SALES AGENT	-	SB EQUITIES, INC.	RESIGNATION
21-May-19	MANIMBO	PATRICIA MARIE I.	ACCOUNTING STAFF	-	UCPB SECURITIES, INC.	RESIGNATION (did not apply for clearance)
21-May-19	REYES	MARIA ELEANOR C.	-	ACTING ASSISTANT TREASURER	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
21-May-19	MARQUEZ	MARIANNE A.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	RE-DESIGNATION
22-May-19	MANIAGO	GEENIKA M.	MARKETING ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
23-May-19	HERBOSA	EMMANUEL G.	-	CHAIRMAN	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
23-May-19	NAGASHIMA	SHUNTARO	-	DIRECTOR	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
23-May-19	BORROMEO	CECILIA C.	CHAIRMAN	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
23-May-19	KATO	HIROAKI	DIRECTOR	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (no clearance required)
23-May-19	TIONGCO	SANTIAGO, III	-	ALTERNATE CORPORATE SECRETARY	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
27-May-19	GABION	PAUL BERNARD	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
27-May-19	ESMANI	JESSIE MAE L.	OFFICE ASSISTANT/ RECEPTIONIST/ CERTIFIED SECURITIES REPRESENTATIVES	TRADING ASSISTANT/ CERTIFIED SECURITIES REPRESENTATIVES	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
27-May-19	JACOB	JAYFERSON L.	-	ACCOUNTANT	AP SECURITIES, INC.	NEW EMPLOYMENT

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27-May-19	VARGAS	RICHARD C.	-	JUNIOR ASSOCIATE, MESSENGER/ COLECTOR	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
28-May-19	ARONG	CATHERINE JOY P.	ASSOCIATE, MARKETING ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
30-May-19	VILLEGAS	MICHELLE E.	ONLINE MARKETING ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
31-May-19	PESTAÑO	LOUIE VINCENT R.	DOMESTIC INSTITUTIONAL SALES	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
31-May-19	MENCHACA	JUAN MIGUEL G.	EQUITIES DEALER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RESIGNATION (clearance under process)
31-May-19	LIBUTAN	JOHN PAULO K.	TRADER ASSISTANT	-	S. J. ROXAS & COMPANY, INC.	RESIGNATION
31-May-19	JERUSALEM	WINEFREDA B.	ACCOUNTING HEAD	-	VENTURE SECURITIES, INC.	RESIGNATION (cleared)
31-May-19	TRINIDAD	ANGELO MIGUELL T.	INVESTMENT ANALYST	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
31-May-19	ROSELLAS	DAVY JONES C.	SR. ACCOUNTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
31-May-19	SANTOS	ROMAN R.	AUDIT ASSISTANT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
01-Jun-19	QUILALA	GARRY D.	IT CONSULTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION
01-Jun-19	ABANCE	DIANA LYN G.	ACCOUNTING ASSISTANT	ACCOUNTANT	VENTURE SECURITIES, INC.	RE-DESIGNATION
01-Jun-19	DURANTE	MARIBEL M.	-	OPERATIONS ASSISTANT, COG- CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
01-Jun-19	JAUDAL	ALMIRA MAE B.	ACTING SETTLEMENT OFFICER	JUNIOR TRADER	THE FIRST RESOURCES MGMT. SECURITIES CORP.	RE-DESIGNATION
03-Jun-19	BUMAGAT	CHELSY RAY D.	ASSISTANT CASHIER	ACCOUNTING STAFF	EASTERN SECURITIES DEVT. CORPORATION	RE-DESIGNATION

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03-Jun-19	DE HONOR	SARAH RHEA B.	HR ASSISTANT	ASSISTANT CASHIER	EASTERN SECURITIES DEVT. CORPORATION	RE-DESIGNATION
03-Jun-19	TAGYAM	KRISTINE M.	OFFICE CLERK	-	JSG SECURITIES, INC.	RESIGNATION (no clearance required)
03-Jun-19	FORMARAN	MICHELLE A.	-	CASHIER	COL FINANCIAL PHILIPPINES	NEW EMPLOYMENT
03-Jun-19	MANZANO	MICHELL B.	TRAINEE	TRADING FLOOR ASSISTANT	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
03-Jun-19	LERRER	MA. SOCORRO JOHANNA B.	-	CHIEF COMPLIANCE OFFICER	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
03-Jun-19	SY	ANTHONY G.	ASSISTANT VICE PRESIDENT	VICE PRESIDENT	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
03-Jun-19	DIONISIO	PAOLO ANGELO R.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
04-Jun-19	UY-TIOCO	PATRICK CHARLES ANTHONY S.	HEAD, DEALING & EXECUTION, PRIVATE CLIENTS GROUP	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
04-Jun-19	REYES	LAWRENCE JOY S.	-	MARKETING MANAGER	HDI SECURITIES, INC.	NEW EMPLOYMENT
05-Jun-19	GARCIA	MA. ALYSSA AILA M.	ACCOUNTING ASSISTANT	-	COL FINANCIAL PHILIPPINES	RESIGNATION (clearance under process)
06-Jun-19	TOLENTINO	MARGARITO S.	-	MESSENGER	SARANGANI SECURITIES, INC.	NEW EMPLOYMENT
06-Jun-19	BAGUINON	ARSENIA ALLEH D.	-	ACCOUNTING ASSISTANT	LUCKY SECURITIES, INC.	NEW EMPLOYMENT
07-Jun-19	AYSON	ARIAN D.	TRADING ASSISTANT	-	SALISBURY BKT SECURITIES CORPORATION	RESIGNATION
10-Jun-19	DELA PASION	JOSEPH ANDRE P.	-	CUSTOMER SERVICE HEAD	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
10-Jun-19	CRUZ	KATRINA ANN B.	-	SALES TRADER	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT

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14-Jun-19	CLAMANO	BRYAN JAMES N.	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
14-Jun-19	VARGAS	LAWRENCE JAMES G.	ACCOUNTING ASSOCIATE, CAD, CBC	-	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	RESIGNATION (no clearance required)
14-Jun-19	LUMEN	KAREN FAYE L.	ACCOUNTING SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION
15-Jun-19	DIARON	RONABIL M.	ASST. COMPLIANCE OFFICER	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION
17-Jun-19	MANGUN	CHRISTOPHER J.	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
17-Jun-19	GABION	PAUL BERNARD	-	SALESMAN	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT
17-Jun-19	SABILE	HAZELL H.	-	RECEPTIONIST/ ADMINISTRATIVE ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Jun-19	MOROS	RONABEL D.	-	CUSTOMER EXPERIENCE OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
17-Jun-19	ASTURIANO	JOYCE ANN L.	RECEPTIONIST/ ADMINISTRATIVE ASSOCIATE	CUSTOMER SUPPORT ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
17-Jun-19	UNGAB	JENNILYN	-	TRAINEE	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-19	MAGNAYE	MARIA ARVINA	-	TRAINEE	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-19	GURROBAT	ELLAINE E.	-	ACCOUNTING SPECIALIST, CAD, CBC	CHINA BANK SECURITIES CORP. (formerly ATC SECURITIES,	NEW EMPLOYMENT
17-Jun-19	REYES	ANGELA SHAIRA J.	-	JR ACCOUNTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jun-19	BIACORA	MIGUEL AUGUSTINE P.	-	OJT - RESEARCH	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
17-Jun-19	LLANDA	KAYZER C.	RESEARCH ASSISTANT	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION

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18-Jun-19	CABARRUS	MIGUEL JAIME R.	-	INTERN	CLSA PHILS., INC.	NEW EMPLOYMENT
20-Jun-19	CHUA	JOHN KENDRICK O.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
20-Jun-19	LOFRANCO	LIANNA ZION M.	-	RESEARCH INTERN	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	NEW EMPLOYMENT
21-Jun-19	CORPUS	MARY ANN M.	VP - CHIEF COMPLIANCE OFFICER (INTERIM)	VP - HEAD OF OPERATIONS	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
21-Jun-19	CAPUNO	ELMER F.	AVP - HEAD OF OPERATIONS (INTERIM)	AVP - OPERATIONS	CREDIT SUISSE SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
24-Jun-19	DE GUZMAN	FREDRICK DANIEL D.	-	RESEARCH ANALYST	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
24-Jun-19	LIBORO	RICHARD ANTHONY Y.	-	SALESMAN/ CERTIFIED INVESTMENT SOLICITOR	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
26-Jun-19	MARTIREZ	JAIME J.	PRESIDENT AND CEO	ACTING PRESIDENT	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
27-Jun-19	LOPEZ	STEPHANY CRIS J.	CONTRACTUAL - LIAISON CLERK	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
28-Jun-19	LAUDE	JOHN KEITH M.	CLIENT RELATIONS ASSOCIATE	-	BPI SECURITIES CORPORATION	RESIGNATION
28-Jun-19	GIMENEZ	HANNAH CLARICE N.	PROJECT HIRE	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Jul-19	MARTINEZ	CYRAH D.	-	TRADING FLOOR ASSISTANT	AAA SOUTHEAST EQUITIES, INC.	NEW EMPLOYMENT