



# MEMORANDUM – 2019-028

**To** : **All Trading Participants**

**Subject** : **Employee Movement**

**Date** : **6 November 2019**

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2019.

For information and guidance.

*(Original Signed)*

**DAISY P. ARCE**

*President*

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
05-Aug-19	CHANGCO	MARNELLI M.	-	ACCOUNTANT I	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
31-Aug-19	SANCHEZ	JOY HILLEVI C.	AP/ COMPLIANCE OFFICER	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (cleared)
01-Sep-19	LARANANG	CHERRIE ANNE M.	ACCOUNTANT I	ACCOUNTANT II	GOLDSTAR SECURITIES, INC.	RE-DESIGNATION
01-Sep-19	MANCILLA	BLYTHE JAYNE O.	-	COMPLIANCE AND RISK OFFICER	GOLDSTAR SECURITIES, INC.	NEW EMPLOYMENT
01-Sep-19	MANREZA	BENEDICTO J.	-	MARKETING CONSULTANT	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
01-Sep-19	UY	JUSTIN GABRIEL Y.	-	CONTRACTOR, EQUITIES SALES	UBS SECURITIES PHIL.	NEW EMPLOYMENT
13-Sep-19	AGUILAR	NIÑA FEREN A.	DIRECTOR, ASSOCIATED PERSON/ COMPLIANCE OFFICER/ HEAD OF COMPLIANCE & OPERATIONAL RISK CONTROL/ DATA PROTECTION OFFICER	-	UBS SECURITIES PHIL.	RESIGNATION
13-Sep-19	DIAZ	CHRISTIAN CARL P.	AUTHORIZED OFFICER, COMPLIANCE STAFF/ ASSOCIATED PERSON	AUTHORIZED OFFICER, ASSOCIATED PERSON/ COMPLIANCE OFFICER, DATA PROTECTION OFFICER, AML OFFICER	UBS SECURITIES PHIL.	RE-DESIGNATION
16-Sep-19	HUANG	THOMAS EARLL A.	RESEARCH ANALYST OFFICER	-	BDO NOMURA SECURITIES INC.	RESIGNATION (no clearance required)
16-Sep-19	REINANTE	CZARINA VIELE G.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
16-Sep-19	SALES	MARIA BERNADETTE Y.	-	TRAINEE	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-19	LAGUIO-FLAMINIANO	ATTY. GUIA M.	CORPORATE SECRETARY	-	R. COYIUTO SECURITIES, INC.	RESIGNATION

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16-Sep-19	CUISIA-REMOLLO	ATTY. CRISTINE	-	CORPORATE SECRETARY	R. COYIUTO SECURITIES, INC.	NEW EMPLOYMENT
16-Sep-19	COYIUTO	ROSIE T.	SENIOR VICE PRESIDENT & TREASURER	SENIOR VICE PRESIDENT - TREASURER/ ASSISTANT CORPORATE SECRETARY	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
19-Sep-19	BERNARDO	KATRINA ISABELLE A.	OPERATIONS SUPPORT STAFF	-	PHIL. EQUITY PARTNERS, INC.	RESIGNATION (cleared)
20-Sep-19	BESMONTE	KAREN DANIELE	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
20-Sep-19	CARAGAN	VITO S.	VP/ AP - COMPLIANCE OFFICER	VP/ AP - COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	R. COYIUTO SECURITIES, INC.	RE-DESIGNATION
23-Sep-19	MARAVILLA	MICHELLE D.	-	TRADING ASSISTANT	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
23-Sep-19	OLARTE	NORLENDO C.	-	MESSENGER	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
23-Sep-19	FRANCISCO	JOAQUIN A.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
23-Sep-19	PRESNILLO	WINCEL M.	TECHNICAL SUPPORT	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
30-Sep-19	VALENCIANO	SONNY ARVIN C.	SALESMAN	-	BA SECURITIES, INC.	RESIGNATION
30-Sep-19	VILLAREAL	MARK JANIEL M.	TRADING FLOOR ASSISTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION
30-Sep-19	MIRANDA	MICHAEL A.	STOCK SETTLEMENT ASSOCIATE	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
30-Sep-19	BITUIN	KIM HARLEY T.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
30-Sep-19	NGO	RAENELLE EAN A.	EQUITY RESEARCH ANALYST	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)

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30-Sep-19	ESPIRITU	EDMUND G.	MESSENGER	-	STRATEGIC EQUITIES CORPORATION	RESIGNATION
30-Sep-19	TIU	JOSHUA NICHOLAS C.	TRAINEE	-	R. NUBLA SECURITIES, INC.	RESIGNATION (no clearance required)
30-Sep-19	GARALDE	ELENA MAY G.	SECURITIES SETTLEMENT STAFF	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
30-Sep-19	HIPOLITO	KATHLEEN KIRBY P.	CORPORATE SECRETARY	-	J. P. MORGAN SECURITIES PHILS, INC.	RESIGNATION (no clearance required)
01-Oct-19	CASTILLO	JOHN OLIVER L.	-	OPERATIONS & COMPLIANCE OFFICER	SUNSECURITIES, INC.	NEW EMPLOYMENT
01-Oct-19	LIM	JENNIFER L.	-	HR & FINANCE OFFICER	SUNSECURITIES, INC.	NEW EMPLOYMENT
01-Oct-19	JUICO	GASPAR A.	-	SALES TRAINEE	BA SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-19	CADANO	ARCHIE B.	ACCOUNTING OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
01-Oct-19	DE LEON	KENNETH ARISTOTLE C.	-	OPERATIONS SUPPORT STAFF	PHIL. EQUITY PARTNERS, INC.	NEW EMPLOYMENT
01-Oct-19	AGUSTIN	TERRI MAE D.	-	BUSINESS OPERATIONS ASSOCIATE	CHINA BANK SECURITIES CORP.	NEW EMPLOYMENT
01-Oct-19	DOMINGO	MAYLA S.	-	CORPORATE SECRETARY/ HEAD OF LEGAL	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-19	PAZ	GRACIELLA MARIE D.	CORPORATE SECRETARY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
01-Oct-19	MERCADO	RASTINE MACKIE D.	SENIOR RESEARCH ANALYST	RESEARCH DIRECTOR	CHINA BANK SECURITIES CORP.	RE-DESIGNATION
01-Oct-19	PAMA	HANS KERWIN T.	-	MESSENGER - PROBATIONARY	STRATEGIC EQUITIES CORPORATION	NEW EMPLOYMENT
01-Oct-19	AMOR	MENILYN O.	JUNIOR PROGRAMMER	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)

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01-Oct-19	OCIER	SEAN WILLIAM ESCOLAR Y.	-	RESEARCH ASSISTANT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
01-Oct-19	CABAYU	JOHN KELVIN C.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
01-Oct-19	CRUZ	CHRISTINE S.	MIDDLE OFFICE MANAGER	ACCOUNTING ANALYST	SB EQUITIES, INC.	RE-DESIGNATION
01-Oct-19	LEGASPI	RACQUEL A.	SETTLEMENT OFFICER	MIDDLE OFFICE MANAGER	SB EQUITIES, INC.	RE-DESIGNATION
02-Oct-19	STA. MARIA	REINIER C.	TRADER	-	UCPB SECURITIES, INC.	RESIGNATION (cleared)
03-Oct-19	LITAP	PERFECTO JR. S.	ASST. COMPLIANCE OFFICER/ ACTING ASSOCIATED PERSON	ASST. COMPLIANCE OFFICER/ ASSOCIATED PERSON	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
03-Oct-19	MADRAZO	DYVETTE F.	-	OFFICE CLERK (CONTRACTUAL)	RCBC SECURITIES, INC.	NEW EMPLOYMENT
03-Oct-19	SALAYOG	JOHN CARLO S.	-	RECON SPECIALIST	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
04-Oct-19	BINUYA	KATRINA CYNDIE O.	ACTING HEAD OF FINANCE AND ACCOUNTING	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
07-Oct-19	ROQUE	GABRIEL LUIS E.	RESEARCH ANALYST	-	BDO SECURITIES CORPORATION	RESIGNATION (cleared)
07-Oct-19	BAYON-ON	MICAELA ANDREA L.	SECURITIES CLERK	-	MDR SECURITIES, INC.	RESIGNATION
07-Oct-19	DE VENECIA	MONALYN	-	ACCOUNTING ASSISTANT	APEX PHILS. EQUITIES CORPORATION	REPLACEMENT
07-Oct-19	GALAMGAM	CHRISTINE JOY M.	-	ACCOUNTING ASSISTANT	APEX PHILS. EQUITIES CORPORATION	NEW EMPLOYMENT
07-Oct-19	SIATON	CHRISTIAN A.	-	RESEARCHER/ ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
07-Oct-19	ICASIANO	DERRICK MIKEANGELO D.C.	-	CUSTOMER SERVICE ASSOCIATE	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT

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07-Oct-19	MANUEL	AMALIA LOURDES R.	DIRECTOR/ VP/ CORPORATE SECRETARY/ SALESMAN	DIRECTOR/ VP/ CORPORATE SECRETARY	MDR SECURITIES, INC.	RE-DESIGNATION
07-Oct-19	RENON	BIANCA MAE R.	-	IT ASSISTANT	WEALTH SECURITIES, INC.	NEW EMPLOYMENT
09-Oct-19	OPULENCIA	JUAN ALFONSO S.	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
10-Oct-19	MUNSOD	JANA D.	-	SECURITIES CLERK	MDR SECURITIES, INC.	NEW EMPLOYMENT
10-Oct-19	VILLAREAL	MARK JANIEL M.	-	OFFICE CLERK	SECURITIES SPECIALISTS, INC.	NEW EMPLOYMENT
11-Oct-19	EVENGELISTA	DENZYL PAUL G.	-	TRAINEE OF TRADING ASSISTANT	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
14-Oct-19	RAMIREZ	CAMILLE P.	COMPLIANCE STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
14-Oct-19	MANUEL	AMALIA LOURDES R.	DIRECTOR/ VP/ CORPORATE SECRETARY	DIRECTOR/ VP/ CORPORATE SECRETARY/ ASSOCIATED PERSON	MDR SECURITIES, INC.	RE-DESIGNATION
15-Oct-19	GAITE	JOSE FERNANDO VICTOR M.	VICE PRES. FOR SALES AND MARKETING	VICE PRES. FOR SALES & MARKETING/ SALESMAN	BA SECURITIES, INC.	RE-DESIGNATION
15-Oct-19	JUICO	GASPAR A.	SALES TRAINEE	SALESMAN	BA SECURITIES, INC.	RE-DESIGNATION
15-Oct-19	MATRIANO	JOHN CARLOS RAFAEL H.	DEALER TRAINEE	INSTITUTIONAL DEALER	BPI SECURITIES CORPORATION	RE-DESIGNATION
16-Oct-19	OCAMPO	MONIQUE JULIANE U.	-	TRAINEE	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
16-Oct-19	SANGALANG	JEFF RAIGH O.	-	GENERAL CLERK	RCBC SECURITIES, INC.	NEW EMPLOYMENT
16-Oct-19	CHEN	YAHSIN A.	-	CLIENT RELATIONS ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

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18-Oct-19	NUNEZ	JOSE A. JR.	DIRECTOR/ VICE CHAIRMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
21-Oct-19	AYSON	ARIAN D.	-	TRADER	SUNSECURITIES, INC.	NEW EMPLOYMENT
21-Oct-19	PERALTA	JOEL P.	-	SETTLEMENTS ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
22-Oct-19	PANGILINAN	ANGELO A.	-	TRAINEE	A. T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
22-Oct-19	JARDELEZA	FRANCIS L.	-	DIRECTOR	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
22-Oct-19	BONOAN	FRANCISCO JAVIER P.	DIRECTOR AND TREASURER	-	BPI SECURITIES CORPORATION	RESIGNATION
23-Oct-19	JOSE	GISELLE C.	ASSISTANT CORPORATE SECRETARY	CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS, INC.	RE-DESIGNATION
23-Oct-19	CHAN	JAN FRANZ NORBERT JOSELITO A.	-	ASSISTANT CORPORATE SECRETARY	J. P. MORGAN SECURITIES PHILS, INC.	NEW EMPLOYMENT
24-Oct-19	PINEDA	VANIAH JESSICA S.	-	RESEARCHER/ ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
24-Oct-19	LLABRES	ANGELICO B.	-	EQUITY RESEARCH ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
25-Oct-19	LUCERO	JEFFREY	JUNIOR ASSISTANT MANAGER	-	RCBC SECURITIES, INC.	RESIGNATION
25-Oct-19	BACANI	MARGARET ANN C.	AUTHORIZED AGENT	-	WEALTH SECURITIES, INC.	RESIGNATION (cleared)
25-Oct-19	OMEGA	ANALYN B.	AUTHORIZED CLERK	-	WEALTH SECURITIES, INC.	RESIGNATION (clearance under process)
28-Oct-19	ALFONSO	RALPH ZAIN C.	SALES AND TRADING ASSOCIATE	-	CHINA BANK SECURITIES CORP.	RESIGNATION (cleared)
31-Oct-19	TRIA	GREGORIO JOSE B. II	RISK OFFICER	COMPLIANCE AND RISK OFFICER	BPI SECURITIES CORPORATION	RE-DESIGNATION

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01-Nov-19	SIMPAO	MARVIN ROY S.	SENIOR COMPLIANCE OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION
01-Nov-19	ALAVA	JACOB A.	CLIENT ACQUISITION OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION