



MEMORANDUM – 2019-031

TO : ALL TRADING PARTICIPANTS

SUBJECT : UNAUTHORIZED TRANSFER OF SHARES INVOLVING R& L INVESTMENTS, IN C.

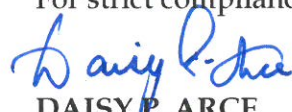
DATE : 15 NOVEMBER 2019

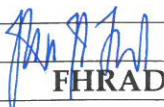
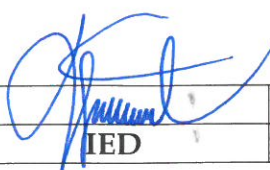
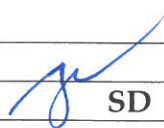
Pursuant to an *Order* from the Securities and Exchange Commission (“SEC” or “Commission”), which the Capital Markets Integrity Corporation (“CMIC”) received on 15 November 2019, all trading participants are **DIRECTED** to provide the SEC’s Enforcement and Investor Protection Department or EIPD with the following:

1. Accounts maintained by Mr. Juliето Sulapas (“Mr. Sulapas”) or Mr. Marlo Moron (“Mr. Moron”) with the brokers;
2. Certified true copies of the customer account information form/s (“CAIF”) and all account opening documents of Mr. Sulapas or Mr. Moron; and
3. Certified true copies of their customer ledger/s from the time of opening of the account/s to the present and all other pertinent documents evidencing any transactions, transfers, or conveyances made thereunder and in related accounts.

In addition, it being necessary to the effective conduct of the investigation on this matter and to forestall any grave damage and prejudice to all concerned, as well as to ensure the preservation of the customer assets for the benefit of investors, all trading participants with accounts of Mr. Sulapas and/or Mr. Moron are hereby **ENJOINED** in the meantime from transacting, transferring, disposing or conveying any and all shares, cash, and other assets pertaining to both the Sulapas and Moron accounts and related accounts, if any, which are under their custody and in which the aforesaid individuals may have any interest, claim or participation whatsoever, whether directly or indirectly, immediately, upon notice hereof, until further orders from the Commission.

For strict compliance.


 DAISY P. ARCE
 President

			
ACD	FHRAD	IED	SD