



MEMORANDUM – 2020-004

To : **All Trading Participants**

Subject : **Employee Movement**

Date : **7 February 2019**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 January 2020.

For information and guidance.

(Original Signed)
DAISY P. ARCE
President

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
18-Nov-19	MANCILLA	CHARMAINE LAURENE G.	AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	UPDATE: RESIGNATION (cleared)
01-Dec-19	ARCA	ROGELIO O.	MESSENGER	-	SB EQUITIES, INC.	RESIGNATION (cleared)
13-Dec-19	SANIEL	JAN MICHAEL P.	RESEARCH ANALYST	-	BPI SECURITIES CORPORATION	RESIGNATION
16-Dec-19	BAMBOO	LAZEL Y.	-	RECEPTIONIST	EASTERN SECURITIES DEVT. CORPORATION	NEW EMPLOYMENT
16-Dec-19	MIÑA	ALVIN B.	-	TRADER - FIXED INCOME TRADING UNIT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ACRICHE	MA. TERESA M.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	AGBULOS	RAE DENISE V.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ALFARO	BENMAR Y.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ALMENDRAL	SUSAN G.	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ANDRADA	NOEL L.	-	UNIT HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	BANQUE	SHARMAINE LOUIS T.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	BRIONES	ANESSA B .	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	BUENAVENTURA	LUIS RAMOS III	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	CAÑADA	IRISH C.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	CHAN	DOROTHY AGNES D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Dec-19	CHONGSON	EARVIN MAGIC J.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	CHU	PAOLO EMMANUEL N.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	DAGANZO	LESLEY LORELIE V.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	DE JESUS	CAMILLE SELENE V.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ELY	FLOR L.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	FERNANDEZ	CHRISTEL ANGEL V.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	FLORES	ANNA CARISSA R.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	FLORES	CARMINA APRIL C.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	GLORIANI	UZZIEL A.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	GO	KATRINA C.	-	TEAM HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	GOYENECHÉ	PATRICIA MAE C.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	GUINTO	LICHELE MARIE G.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	JAYME	FRANCO ANTONIO A.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	LACHENAL	MARIA ROWENA V.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	LALISAN	MA. JOVI M.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Dec-19	LIWAG	MICHAEL EDWARD ANTHONY L.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	MANLAPAS	JOHN VALMONT G.	-	TEAM HEAD (OIC)	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	MARCEL	ROSALINDA C.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	MEDRANO	MARIA KRISTINA C.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	NASOL	IVY Z.	-	TEAM HEAD (OIC)	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	NONATO	RAMON EDILBERTO R.	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	OLMILLA	JANELYN FEE L.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ONG	TRISHA STEPHANIE T.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	PANTANGCO	JAMES KEVIN A.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	PEÑAFLORES	CHERIZ ANNE ROSE C.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	RESUMA	ABIGAIL C.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	ROMINES	JENNIE L.	-	EXECUTIVE SECRETARY	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	SA-A	JOCELYN G.	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	SARDIDO	JAYMAR Q.	-	RM ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	SY	DIANNE LORRINA S.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
16-Dec-19	TICHAY	MADELEINE MICHAELA F.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	USTARE	TRICIA D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	VERSOZA	LIZA E.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	VICENTE	SHARON MAE S.	-	CENTER HEAD	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
16-Dec-19	CRUZ	MA. CHRISTINA S.	ACCOUNTING ASSISTANT	-	SB EQUITIES, INC.	RESIGNATION (cleared)
16-Dec-19	GERVACIO	MICAH DENISSE T.	-	ACCOUNTING ASSISTANT	SB EQUITIES, INC.	NEW EMPLOYMENT
21-Dec-19	APAT	MARNELLE B.	SUPERVISOR, BUSINESS DEVELOPMENT OFFICER	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
22-Dec-19	BAGUINON	ARSENIA ALLEH D.	ACCOUNTING ASSISTANT	ACCOUNTING ASSISTANT/ TRADING FLOOR ASSISTANT	LUCKY SECURITIES, INC.	RE-DESIGNATION
25-Dec-19	URREA	CHRISTINE JOYCE A.	ACCOUNTING ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
26-Dec-19	HARTLEY	ANDREW ERIC	-	DIRECTOR	CLSA PHILS., INC.	NEW EMPLOYMENT
26-Dec-19	YU	YANG	-	DIRECTOR	CLSA PHILS., INC.	NEW EMPLOYMENT
26-Dec-19	SKINNER	DONALD WILLIAM L.	DIRECTOR	-	CLSA PHILS., INC.	RESIGNATION (no clearance required)
27-Dec-19	VARGAS	RICHARD C.	JUNIOR ASSOCIATE, MESSENGER/COLLECTOR	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
27-Dec-19	ADOR	JOSEPH B.	JUNIOR ASSOCIATE, MESSENGER/COLLECTOR	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
27-Dec-19	KERR	EVERLY BLESS L.	TRAINEE/ TRADING FLOOR ASSISTANT	-	A. T. DE CASTRO SECURITIES CORPORATION	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
27-Dec-19	LAY	EDGAR V.	JUNIOR EQUITY ANALYST/ SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
28-Dec-19	TORRES	JUAN MIGUEL N.	DIRECTOR, HEAD OF SALES TRADING	-	UBS SECURITIES PHIL.	RESIGNATION
31-Dec-19	TAN	JAN MICHAEL A.	AGENT/ SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-19	DOMINGO	MAYLA S.	CORPORATE SECRETARY/ HEAD OF LEGAL	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
31-Dec-19	ARELLANO	HILARIO M.	CONTRACTUAL - MESSENGER (DAVAO)	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-19	DE GRACIA	RENIER P.	CONTRACTUAL - MOTORIZED MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-19	LEGASPI	AGNES F.	HEAD ACCOUNTING DEPARTMENT	-	AP SECURITIES, INC.	RETIREMENT
31-Dec-19	LELINA	GRACE T.	ADMINISTRATIVE HEAD	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-19	CHUA	ARNEL A.	COMPANY DRIVER/ MESSENGER	-	DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.	RETIREMENT
31-Dec-19	AUMENTADO	KRISLE M.	GENERAL CLERK	-	R. NUBLA SECURITIES, INC.	RESIGNATION (cleared)
31-Dec-19	SALCEDO	ELVIRA L.	ASSOCIATED PERSON	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
31-Dec-19	CASTILLO	CESAR D.	SALES DEALER	SALES AGENT	SB EQUITIES, INC.	RE-DESIGNATION
01-Jan-20	LIM	SHARON T.	ASSOCIATED PERSON/ CORPORATE SECRETARY/ AVP/ HEAD OF HR, LEGAL & COMPLIANCE DEPARTMENTS	ASSOCIATED PERSON/ CORPORATE SECRETARY/ AVP/ HEAD OF LEGAL & COMPLIANCE DEPARTMENTS	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jan-20	CAPINPIN	CONCHITA L.	SALESMAN	-	GOLDSTAR SECURITIES, INC.	RESIGNATION (cleared)

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01-Jan-20	MAUNAHAN	RONALDO	MESSENGER	-	TOWER SECURITIES, INC.	RESIGNATION
01-Jan-20	ESPIRITU	CHARISSE N.	SETTLEMENTS OFFICER	-	CLSA PHILS., INC.	RESIGNATION (clearance under process)
01-Jan-20	MATUGUINA	VILMA B.	MANAGER, MIDDLE OFFICE	ADMINISTRATIVE HEAD	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
01-Jan-20	TE	MATTHEW ANDERSON C.	EQUITY RESEARCH ANALYST	-	PAPA SECURITIES CORPORATION	RESIGNATION
01-Jan-20	ONG	CATHERINE L.	DIRECTOR/ SVP/ TREASURER	DIRECTOR/ SVP/ TREASURER/ CHIEF FINANCIAL OFFICER AND HEAD OF HUMAN RESOURCES	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
01-Jan-20	FONTANILLA	ROSEANNE JELYN D.	OPERATIONS TRAINEE	TRADER/ SALESMAN	DA MARKET SECURITIES, INC.	RE-DESIGNATION
01-Jan-20	MACASPAC	ABIGAIL C.	TELEPHONE CLERK	TREASURY ASSISTANT	DA MARKET SECURITIES, INC.	RE-DESIGNATION
01-Jan-20	LARESMA	MARIA CECILIA G.	-	CSS OFFICER/ CORPORATE SECRETARY	MAYBANK ATR KIM ENG SECURITIES, INC.	NEW EMPLOYMENT
01-Jan-20	IGUBAN	CHRISTINE D.	ADMINISTRATIVE SERVICES ASSOCIATE	ADMINISTRATIVE SERVICES SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-20	CHAN	CLARISSE C.	COMPLIANCE ASSISTANT	COMPLIANCE SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-20	GRAGASIN	SHELA MAY A.	CASH SETTLEMENT ASSOCIATE	CASH SETTLEMENT SPECIALIST	BPI SECURITIES CORPORATION	RE-DESIGNATION
01-Jan-20	YU	NIEL F.	-	CLIENT ACQUISITION OFFICER	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
01-Jan-20	BUENSUCESO	JOSE BENJAMIN D.	VICE PRESIDENT	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
01-Jan-20	OCAMPO	JUSTIN R.	DIVISION DIRECTOR	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)

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01-Jan-20	ONG	DONAL VINCENT S.	ANALYST	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
01-Jan-20	RAGRAGIO	JULIAN VICTOR M.	ASSOCIATE	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
01-Jan-20	RITO	JO-ANN M.	EXECUTIVE ASSISTANT	-	MACQUARIE CAPITAL SECURITIES (PHIL), INC.	RESIGNATION (cleared)
01-Jan-20	MORALES	PATRICK G.	SALESMAN/ TRADER	-	HDI SECURITIES, INC.	RESIGNATION (did not apply for clearance)
01-Jan-20	CAMIÑA	MA. OPHELIA L.	SVP/ TREASURER/ DIRECTOR	DIRECTOR	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
01-Jan-20	ABIGAN	PAUL LOUIE T.	MARKETING RELATIONSHIP OFFICER/ TRADER	TRADER	BDO NOMURA SECURITIES INC.	RE-DESIGNATION
01-Jan-20	DE GUZMAN	MA. CRISTINA C.	-	AVP/ MARKETING OFFICER	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
01-Jan-20	TAN	CHRISTIAN DAVID Y.	BUSINESS DEVELOPMENT OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
02-Jan-20	PANGILINAN	ANGELO A.	TRAINEE	TRADING FLOOR ASSISTANT	A. T. DE CASTRO SECURITIES CORPORATION	RE-DESIGNATION
02-Jan-20	SANTIAGO	JOANNA MARI R.	-	TRADING ASSISTANT	TRANS-ASIA SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-20	CHAN	KIRK PATRICK G.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-20	DEIPARINE	VERNARD C.	-	AUTHORIZED SALESMAN	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
02-Jan-20	MANZON	JOANNA MAE A.	EXECUTIVE ASSISTANT	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
02-Jan-20	VIRAY	CASPER P.	TELEPHONE CLERK	-	SUNSECURITIES, INC.	RESIGNATION (clearance under process)
02-Jan-20	ALAGON	ANGEL ANN BERNALENE H.	ACCOUNTING CLERK	ASSOCIATED PERSON	SINCERE SECURITIES CORPORATION	RE-DESIGNATION

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03-Jan-20	SIATON	CHRISTIAN A.	RESEARCHER/ ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION
06-Jan-20	TINIMBANG	AYRA KATRINA S.	-	ASSISTANT MANAGER - INSTITUTIONAL SALES OFFICER	RCBC SECURITIES, INC.	NEW EMPLOYMENT
06-Jan-20	BARCELON	JUAN CARLOS B.	-	FIRST OFFICER - RESEARCHER/ ANALYST	RCBC SECURITIES, INC.	NEW EMPLOYMENT
06-Jan-20	HOLANDEZ	MARY THERESE CELESTE S.	-	HR ADMIN SERVICES ASSISTANT	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
06-Jan-20	VILLAREAL	MARK JANIEL	OFFICE CLERK	-	SECURITIES SPECIALISTS, INC.	RESIGNATION (cleared)
07-Jan-20	AQUINO	MIGUEL LUIS R.	BRANCH MANAGER - DAVAO	SALES AGENT	MAYBANK ATR KIM ENG SECURITIES, INC.	RE-DESIGNATION
07-Jan-20	VELASCO	MARCO NINO M.	-	EQUITIES INVESTMENT MANAGER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Jan-20	GONZALES	VERNA JANNIL V.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Jan-20	MOSQUERA	MICHAEL VINCENT H.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Jan-20	LOPENA	MERSHA ROSE K.	-	EQUITIES INVESTMENT ADVISER/ SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Jan-20	LEE	GAVIN C.	-	ADVISER FOR RESEARCH	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
07-Jan-20	DEDACE	TOMAS C,	DEPUTY HEAD MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	SUMANTING	RONNIE M.	MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	ARGANA	ROLANDO N.	MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	NUEVAREZ	DIONES V.	MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)

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07-Jan-20	RAMOS	CAMILLE BIANCA L.	SETTLEMENTS COORDINATOR	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	DAGOHOY	RITCHEL A.	TRADING ASSISTANT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	LU	JENNIFER B.	BRANCH ACCOUNTANT, CEBU	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	NEBRIA	MARITER P.	ADMINISTRATIVE CLERK	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	DIAZ	MARIA THERESA R.	TRADING OFFICER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	STO. DOMINGO	MA. AURORA C.	DEALER, SALES TRADING AND EXECUTION	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	OLONAN	MINDA CLAVER A.	HEAD , RESEARCH AND STRATEGY	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
07-Jan-20	RAMOS	GERARD ANTON S.	VICE PRESIDENT/ TREASURER	PRESIDENT	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
07-Jan-20	RAMOS	PRESENTACION S.	CHAIRMAN OF THE BOARD/ PRESIDENT	CHAIRMAN OF THE BOARD	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
07-Jan-20	RAMOS	ADRIAN PAULINO S.	CORPORATE SECRETARY	TREASURER	ALAKOR SECURITIES CORPORATION	RE-DESIGNATION
07-Jan-20	RAMOS-PADILLA	MAUREEN ALEXANDRA S.	-	CORPORATE SECRETARY	ALAKOR SECURITIES CORPORATION	NEW EMPLOYMENT
08-Jan-20	CHUA	MICHAEL S.	DIRECTOR	-	REGIS PARTNERS INC.	RESIGNATION (no clearance required)
08-Jan-20	WEN	LEE Y.	DIRECTOR	-	REGIS PARTNERS INC.	RESIGNATION (no clearance required)
08-Jan-20	TAN	MERRY JOY F.	SETTLEMENT CLERK	ACCOUNTING ASSISTANT	GUILD SECURITIES, INC.	RE-DESIGNATION
09-Jan-20	BANGAYAN	CLAIRE N.	-	GENERAL CLERK	R. NUBLA SECURITIES, INC.	NEW EMPLOYMENT

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10-Jan-20	TE	JOSE MANUEL H.	ASSOCIATED PERSON/ COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
10-Jan-20	CASTILLO	JOHN OLIVER L.	OPERATIONS & COMPLIANCE OFFICER	OPERATIONS & COMPLIANCE OFFICER/ DATA PROTECTION OFFICER	SUNSECURITIES, INC.	RE-DESIGNATION
10-Jan-20	NANO	MARIGENE D.	ASSISTANT ASSOCIATED PERSON	ASSOCIATED PERSON	SUNSECURITIES, INC.	RE-DESIGNATION
10-Jan-20	THALIA	JOYCE ANN C.	ADMINISTRATIVE ASSISTANT	-	AP SECURITIES, INC.	RESIGNATION
10-Jan-20	VARELA	MARIA ANGELICA ELENA M.	AVP, HEAD OF MIDDLE OFFICE/ TRADER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (cleared)
13-Jan-20	LASACA	RAFAELA V.	CERTIFIED SECURITIES REPRESENTATIVE	SALESMAN - AGENT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
14-Jan-20	TING	KENN OLIVER S.	-	TRAINEE	WESTLINK GLOBAL EQUITIES, INC.	NEW EMPLOYMENT
15-Jan-20	MANARIN	MARC DELVY T.	SALESMAN	-	FIDELITY SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-20	OCAMPO	TIMOTEO T.	AGENT/ SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
15-Jan-20	DIÑO	MARIE BELEN F.	ACCOUNTANT	ACCOUNTANT/ ADMINISTRATIVE OFFICER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
15-Jan-20	PALMIERY	JOHN PAUL U.	TRADER	SENIOR SALESMAN FOR GOVERNMENT FINANCIAL INSTITUTIONS/ TRADER	PAPA SECURITIES CORPORATION	RE-DESIGNATION
16-Jan-20	LAMMERSKOTTER	LARS RAPHAEL J.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
16-Jan-20	GARCIA	ANTONIO JOSE K.	-	FIRST VICE PRESIDENT - HEAD OF RETAIL SALES AND ONLINE MARKETING	RCBC SECURITIES, INC.	NEW EMPLOYMENT

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16-Jan-20	LACONSAY	PAUL JOSEPH O.	-	FINANCIAL ANALYST	CTS GLOBAL EQUITY GROUP, INC. (formerly CITISECURITIES)	NEW EMPLOYMENT
16-Jan-20	FUJIWARA	HAJIME	-	TRAINEE	BDO NOMURA SECURITIES INC.	NEW EMPLOYMENT
16-Jan-20	BALDOSTAMON	LEAR B.	-	INVESTMENT COUNSELOR	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
17-Jan-20	VENTINILLA	JOEL D.	HEAD MESSENGER	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
17-Jan-20	SORIANO	JOAHNNA S.	CONSULTANT	EXECUTIVE VICE PRESIDENT	PAPA SECURITIES CORPORATION	RE-DESIGNATION
17-Jan-20	CRUZ	MANUEL P.	EXECUTIVE VICE PRESIDENT	EXECUTIVE VICE PRESIDENT - CUSTOMER DEVELOPMENT	PAPA SECURITIES CORPORATION	RE-DESIGNATION
17-Jan-20	DIARON	RONABIL M.	ACCOUNTANT	ASST. COMPLIANCE OFFICER	AP SECURITIES, INC.	RE-DESIGNATION
18-Jan-20	CHAN	HANNAH CECILLE L.	MANAGER, BUSINESS ANALYST FOR PRODUCTS AND SERVICES/ SALESMAN	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
20-Jan-20	GARCHITORENA	RAFAEL RENE P.	MD - RESEARCH CHIEF STRATEGIST	MD - RESEARCH CHIEF STRATEGIST/ DIRECTOR	REGIS PARTNERS INC.	RE-DESIGNATION
21-Jan-20	ROCES	RAFAEL LUIS R.	-	SALES AGENT	TOWER SECURITIES, INC.	NEW EMPLOYMENT
22-Jan-20	CASTRO	FREDERICK S.	SALESMAN	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (cleared)
22-Jan-20	PADLAN	JODY JAMES S.	-	OFFICER-IN-CHARGE	UCPB SECURITIES, INC.	NEW EMPLOYMENT
22-Jan-20	CAMAGAY	MAVIS C.	OIC/ NOMINEE	NOMINEE	UCPB SECURITIES, INC.	RE-DESIGNATION
23-Jan-20	BARCELON	JUAN CARLOS B.	FIRST OFFICER - RESEARCHER/ ANALYST	-	RCBC SECURITIES, INC.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	PRESENT EMPLOYER	REMARKS
24-Jan-20	VELASCO	KEVIN TRISTAN S.	SALES AGENT	-	MAYBANK ATR KIM ENG SECURITIES, INC.	RESIGNATION (clearance under process)
24-Jan-20	ELAMPARO	KARLO R.	SALES EXECUTIVE	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
27-Jan-20	VILLANUEVA	KATRINA D.	TELEPHONE CLERK - FLOOR	ACCOUNTING STAFF	SUNSECURITIES, INC.	RE-DESIGNATION
29-Jan-20	MANARIN	MARC DELVY T.	-	SALESMAN	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-20	LIM	JENNIFER L.	HR & FINANCE OFFICER	-	SUNSECURITIES, INC.	RESIGNATION (cleared)
03-Feb-20	SAROMINES	RAVIE B.	-	SALESMAN	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT
03-Feb-20	ANG	NICOLE KELVIN S.	-	SALESMAN	FIDELITY SECURITIES, INC.	NEW EMPLOYMENT